

Arms Trade Treaty

**Seventh Conference of States Parties**

Geneva, 30 August – 03 September 2021

**REPORT ON THE WORK OF THE ATT VOLUNTARY TRUST FUND (VTF)  
FOR THE PERIOD AUGUST 2020 TO AUGUST 2021**

**INTRODUCTION**

1. This report is submitted by the ATT Secretariat, as administrator of the Voluntary Trust Fund (VTF), in accordance with its reporting obligations to the Conference of States Parties as contemplated in the VTF Terms of Reference and VTF Administrative Rules.
2. The report is subdivided into four parts:
  - a. Background.
  - b. Status of VTF operations.
  - c. Status of tasks allocated by CSP5 and CSP6.
  - d. Looking forward.

**I. BACKGROUND**

3. The VTF is a flexible multi-donor Fund that supports States in implementing their obligations under the Treaty through the deposit and disbursement of funds. The VTF was established by the Second Conference of States Parties pursuant to Article 16(3) of the Treaty to operate under its approved Terms of Reference (ATT/VTF18/2018/SEC/251/ToR.Cons.Dr.v1.Rev1) as amended at CSP4.
4. In accordance with Article 6 of the approved VTF Terms of Reference, the Sixth Conference of States Parties appointed *Albania, Australia, Canada, Finland, Germany, Japan, Madagascar, Mexico, New Zealand, Republic of Korea, South Africa, Sweden, Switzerland* and the *United Kingdom* to serve on the Selection Committee of the VTF for two years, from the Sixth Conference of States Parties to the Eighth Conference of States Parties (eligible for reappointment). Following the Conference, the Netherlands was also appointed to serve on the VTF Selection Committee via silence procedure.
5. On 11 September 2020, the VTF Selection Committee selected Ambassador Dell HIGGIE of New Zealand to serve as Chairperson until the Eighth Conference of States Parties.
6. Article 5 of the VTF Terms of Reference provides that the VTF shall be administered by the ATT Secretariat with the support of the VTF Selection Committee. The VTF Administrative Rules (which are founded on the VTF Terms of Reference) set out, in detail, the distinct yet complementary roles of the ATT Secretariat and the VTF Selection Committee with respect to the functioning of the VTF.

## II. STATUS OF VTF OPERATIONS

### FINANCIAL STATUS OF THE VTF

7. Since its first call for contributions in 2016, the VTF has received USD 10'109'584.5 in voluntary financial contributions from 28 States. This includes contributions specifically earmarked for VTF outreach activities from Germany and New Zealand. The breakdown of received financial contributions is reflected in Annex A.

8. The financial contributions received provided a sound basis for the first (2017), second (2018), third (2019), fourth (2020) and fifth (2021) VTF projects cycles.

9. Of the contributions received by the VTF, a total of USD 1'198'899 was used for projects approved for the first VTF (2017) cycle, USD 674'837 was used for projects in the second VTF (2018) cycle, USD 1'911'288 were committed for the projects of the third VTF (2019) cycle, USD 884'080 were committed for the projects of the fourth VTF (2020) cycle and a further USD 1'262'716 have thus far been allocated to the implementation of projects approved for the fifth (2021) VTF cycle, subject to the finalisation of Grant Agreements.

### STATUS OF VTF PROJECTS

10. Since the VTF was established by CSP2 in 2016, five calls for project proposals have been issued from the first VTF cycle (2017) to the fifth VTF cycle (2021). The fifth 'Call for Project Proposals' was issued on 02 December 2020, inviting States to submit applications during the period 02 December 2020 – 12 February 2021.

#### *First (2017) and Second (2018) VTF cycle*

11. The VTF funded 15 projects in the first (2017) VTF cycle and 9 projects in the second (2018) VTF cycle. Annex B and C provide an overview completed VTF projects for 2017 and 2018. All final reports of the completed projects are available on the VTF page of the ATT website.

#### *Third VTF cycle (2019)*

12. As reported to CSP5, the VTF Selection Committee approved 20 projects for funding in the second (2019) VTF cycle. Following the withdrawal of one application, 19 VTF projects were implemented upon conclusion of Grant Agreements with the ATT Secretariat. By the conclusion of this report, eight (8) VTF projects had been completed and one (1) VTF project had been discontinued due to the political situation. Annex D provides an overview of 2019 VTF projects approved and Annex E provides an overview of the status of 2019 VTF projects.

13. As a consequence of COVID-19 outbreak, all incomplete projects from the 2019 VTF cycle have been forced to suspend their project activities, and have been granted extensions of time to complete their project activities.

14. Accordingly, while the 2019 VTF-funded projects were scheduled for implementation between June 2019 and June 2021, the end of the cycle is now projected to be October 2021 at the latest. Upon finalization, the final reports of the VTF projects will be made available on the VTF page of the ATT website.

#### *Fourth VTF Cycle (2020)*

15. As reported to CSP6, the VTF Selection Committee approved 10 projects for funding in the fourth (2020) VTF cycle. Following the withdrawal of one application, nine (9) VTF projects were

implemented upon conclusion of Grant Agreements with the ATT Secretariat. Annex F provides an overview of 2020 VTF projects approved and Annex G provides an overview of the status of 2020 VTF projects.

### ***Fifth VTF Cycle (2021)***

16. By the closing date of 12 February 2021, the ATT Secretariat received 21 applications from 20 different States. In accordance with its mandate, the ATT Secretariat conducted the pre-screening exercise on the received applications and, in line with previous practice, gave concerned States the opportunity to address certain administrative errors in their project proposals.

17. The VTF Selection Committee met virtually on 27 May 2021 to review and consider the shortlisted applications by applying the VTF criteria set out in the VTF Terms of Reference with a view to assessing the merits and quality of project proposals. The VTF Selection Committee provisionally approved 13 projects for VTF funding, subject to further clarification of certain aspects and negotiation of grant agreements.

18. The 13 provisionally approved projects involve 13 States. The overview of the provisionally approved projects for the 2021 VTF cycle is provided in Annex H. The ATT Secretariat communicated the VTF Selection Committee's decisions to all applicants, notifying them of the outcomes of their applications to the Fund and is in the process of negotiating Grant Agreements with the successful applicants.

19. As part of the contracting process, grantees will be required to provide a COVID-19 risk-mitigation strategy that sets out the measures they will implement to ensure their project meets their government's health and safety guidelines associated with COVID-19 and/or aligns with the World Health Organisation (WHO) guidelines (e.g. social distancing during scheduled meetings; limited number of participants; access to hand sanitizer and/or the wearing of masks etc).

20. It is expected that the 2021 VTF projects will commence activities in August 2021, at the earliest.

### ***Sixth VTF cycle (2022)***

21. Subject to developments regarding COVID-19, it is envisaged that the sixth VTF project cycle (2022) will be structured along similar lines to the previous VTF project cycles, with a call for proposals to be issued in October 2021 with a deadline of mid-January 2022.

### **ACTIVITIES OF THE VTF SELECTION COMMITTEE BETWEEN CSP6 AND CSP7**

22. In accordance with the past practice, the VTF Selection Committee agreed on the document 'VTF Tasks and Timeline 2020/2021' to guide its work in terms of expected deliverables and timing of such deliverables (see Annex I).

23. As announced by the Chairperson of the VTF Selection Committee during CSP5, part of the funds contributed by New Zealand to the VTF Outreach Programme was used to fund instructional videos to help applicants complete and submit quality applications to the VTF. The videos were made publicly available on the dedicated VTF webpage on the ATT website.

### III. STATUS OF VTF TASKS ALLOCATED BY CSP5 and CSP6

24. At its plenary session from 26-30 August 2019, the Fifth Conference of States Parties took the following decisions:

- a. Noted the 'Guidance for VTF Project Evaluation' as contained in Annex H of the VTF Report to CSP5 referenced ATT/VTF/2019/CHAIR/531/Conf.Rep.
- b. Welcomed the decision for the VTF to undertake further outreach activities to promote the VTF in the period leading up to the Sixth Conference of States Parties.

25. The status of implementation of the above tasks is described below.

#### Guidance for the VTF Project Evaluation

26. The VTF Terms of Reference (paragraph 13) set out that the ATT Secretariat will evaluate reports on the implementation of VTF projects by beneficiaries. Accordingly, a 'Guidance for VTF Project Evaluation' was developed to help the ATT Secretariat to assess whether the VTF funded projects have met their set objectives. The evaluation of projects is orientated towards completed projects. The 2017 projects were concluded by the end of 2019 and it was planned that a selected sample will be evaluated in 2020. This plan was unexpectedly disrupted by the COVID-19 outbreak. The evaluation of the 2017 completed projects is planned to commence after CSP7.

#### VTF Outreach Activities

27. In line with the VTF Outreach Strategy, a number of outreach activities were undertaken in the reporting period. A member of the ATT Secretariat participated in the virtual side event organised by Stockholm International Peace Research Institute (SIPRI) in April 2021, and a representative of the VTF Chair and the ATT Secretariat participated in the ATT virtual course organized by GCSP in April 2021. As a result of COVID-19 restrictions, additional outreach activities could not be carried out. The VTF Chairperson, with the assistance of the ATT Secretariat, developed a series of instructional videos to help applicants complete and submit quality applications to the VTF, which are accessible on the VTF webpage on the ATT website.

### IV. LOOKING FORWARD

28. Noting the potential impact of the ongoing situation regarding COVID-19 on its activities, in the period up to CSP8, the VTF will endeavour to:

- a. Undertake further VTF outreach activities, in line with the VTF Outreach Strategy.
- b. Promote the submission of VTF project applications that contribute to Treaty implementation as well as Treaty universalisation.
- c. Provide assistance to States to improve the quality of VTF applications, with the intended goal of enabling more proposals that meet the eligibility and selection criteria for VTF funding by utilizing appropriate assistance material, including instructional videos to assist potential VTF applicants.
- d. Evaluate completed VTF projects, in line with the 'Guidance for VTF Project Evaluation', and report to future CSPs.

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**ANNEX A. FINANCIAL STATUS OF THE VTF (As of 20 July 2021)**

<b>Contributions to VTF Projects</b>			
1	Argentina	USD	10'000.00
2	Australia	USD	475'464.17
3	Austria	USD	1'970.17
4	Bulgaria	USD	2'039.77
5	Canada	USD	11'000.00
6	Costa Rica	USD	6'000.00
7	Cyprus	USD	33'279.30
8	Czech Republic	USD	51'102.84
9	Finland	USD	1'665'336.30
10	Government of Flanders, Belgium	USD	67'722.78
11	France	USD	179'186.79
12	Germany	USD	2'018'998.70
13	Ireland	USD	108'579.12
14	Japan	USD	3'000'000.00
15	Mexico	USD	45'000.00
16	Montenegro	USD	1'616.78
17	Netherlands	USD	452'889.00
18	New Zealand	USD	185'559.50
19	Norway	USD	137'148.74
20	Philippines	USD	5'000.00
21	Poland	USD	10'000.00
22	Portugal	USD	12'103.17
23	Republic of Korea	USD	140'000.00
24	Slovenia	USD	21'849.04
25	Spain	USD	59'277.18
26	Sweden	USD	563'955.87
27	Switzerland	USD	385'093.81
28	United Kingdom	USD	389'180.00
	<b>Total for VTF projects</b>	<b>USD</b>	<b>10'039'353.03</b>
<b>Contributions to VTF Outreach Programme</b>			
1	Germany	USD	82'328.89
	Germany, reimbursement	USD	-41'878.38
2	New Zealand	USD	29'781.00
	<b>Total for VTF Outreach Programme</b>	<b>USD</b>	<b>70'231.51</b>
<b>TOTAL CONTRIBUTIONS TO VTF RECEIVED</b>		<b>USD</b>	<b>10'109'584.54</b>

**ANNEX B. 1<sup>ST</sup> VOLUNTARY TRUST FUND CYCLE (2017): OVERVIEW OF PROJECTS APPROVED AND COMPLETED**

No.	State	Project Title	Implementing Partner	Type of implementation assistance	Total Budget Approved (USD)	Total Budget Spent (USD)
1	Cameroon	Fast Tracking the Universalization and Implementation of the ATT in Cameroon	Cameroon Youths and Students Forum for PEACE (CAMYOSFOP)	National workshops to promote ATT (Publication and dissemination of ATT manual; 'advocacy' workshops with Govt. Ministries and Parliamentarians; building capacity of journalists; building capacity of key Govt. Ministries)	94,642.00	94,642.00
2	Costa Rica	Training and facilitation of the exchange of experiences in the sub region: clarifying regional processes in customs and border control, as well as promoting inter-institutional coordination to better create national and joint policies for the effective implementation of the Arms Trade Treaty (ATT)	Arias Foundation for Peace and Human Progress	Two regional conferences	96,118.00	88,171.65
3	Côte d'Ivoire (1)	Building capacities of national stakeholders on the implementation of the Arms Trade Treaty	None	National workshops (x 3) to build capacity of 3 groups: Government Ministries, parliamentarians, civil society	78,096.00	69,801.94
4	Côte d'Ivoire (2)	Strengthening of tools and procedures for transfer control	None	Assessment and revision of existing transfer controls	28,187.00	25,981.38
5	El Salvador	Strengthening the capacities of the National Authority for the implementation of the ATT through equipment and training.	United Nations Regional Centre for Peace, Disarmament, and Development in Latin America and the Caribbean (UNLIREC)	National workshops (x 3) on legal assistance; technical assistance; and developing National Control List; plus equipment acquisition (marking machine)	97,081.00	90,975.17

6	Ghana	Adoption of National Control List and Capacity Building for Implementing Agencies in Ghana	UNDP	Development of National Control List and training on the list	89,345.00	89,345.00
7	Guatemala	Implementation of the Arms Trade Treaty in the Republic of Guatemala	United Nations Regional Centre for Peace, Disarmament and Development in Latin America and the Caribbean (UNLIREC)	Strengthen national capacities for establishing effective transfer controls; strengthening normative and institutional framework for ATT implementation; establishment of National Control Authority; Legal Assistance - review of current legislation	96,325.68	88,208.26
8	Liberia	Drafting legislations to support the effective implementation of the ATT in Liberia	Liberia Action Network on Small Arms (LANSA)	National awareness dialogue; Strengthen capacity of LANSA; Develop new legislation; Adopt Wassenaar Control List; Complete Broker registry; Establish NPC on ATT; establish cross-governmental Licensing Committee	91,501.05	64,945.57
9	Mauritius	Capacity Building for enforcement authorities and legal departments	None	National workshop to build capacity for Mauritius Police Force, Ports Authority, Revenue authority (Customs Department), State Law Office	19,795.00	14,544.70
10	Palau	Enhancing Palau's arms control system: Ready to meet Arms Trade Treaty obligations	Centre for Armed Violence Reduction (CAVR) - Secretariat for the Pacific Small Arms Action Group (PSAAG)	Assessment of legislative and procedural gaps in current transfer control system; Capacity building workshops for sub-region	85,505.00	72,704.25
11	Philippines	Developing and Implementing a Training Course on Licensing, Investigation and Enforcement Policies and Procedures on Conventional Weapons	Nonviolence International	Training course to build and enhance the capacity of the state's implementing agencies on the areas of licensing, investigation and enforcement	99,996.85	99,996.85

12	Senegal	Building Capacity in Effective Implementation of the Arms Trade Treaty (ATT)	Geneva Centre for Security Policy (GCSP)	5-Day Training Course for Officials involved in ATT implementation	85,739.00	82,361.62
13	Sierra Leone	Assessing Sierra Leone's ATT implementation compliance	Saferworld	Production of Assessment Report; Presentation of Final Report to Sierra Leone Stakeholders; Workshop to discuss development of National Action Plan for Adoption by Sierra Leone Government	78,495.00	75,310.00
14	Togo (1)	TCA appropriation and implementation training project; Advocacy for the adoption and popularization of the preliminary draft law on the arms regime	None	Training Workshops on the appropriation and implementation of the ATT in the chief locations of the five regions of Togo and Grand Lomé; Awareness and popularization campaign of the preliminary draft law on weapons (exchanges dialogues, radio broadcasts and broadcast of audio spots)	99,675.00	93,619.05
15	Togo (2)	Strengthening the capacities of African States in the implementation of the ATT	Permanent Delegation of the African Union in Geneva	Capacity building three-day workshops for better understanding of the ATT and of processes at its Secretariat, better synergy between Geneva-based Missions and African capitals, identify opportunities and challenges in implementing ATT, share experience among countries	180,438.38	148,291.65
		<b>Total</b>			<b>1,320,939.96</b>	<b>1,198,899.09</b>

ANNEX C. 2<sup>nd</sup> VOLUNTARY TRUST FUND CYCLE (2018): OVERVIEW OF PROJECTS APPROVED AND COMPLETED

No.	State	Implementing Partner(s)	Project Title	Type of Implementation Assistance	Total Budget Approved (USD)	Total Budget Spent (USD)
1	Burkina Faso	None	Awareness and Capacity Building Workshops for Effective Implementation of the ATT	Capacity building workshops for Customs officials (training on EUCs); awareness-raising workshops for defense and security forces, gunsmiths, private security companies and parliamentarians on ATT provisions.	73,013.00	73,177.27
2	Côte d'Ivoire	Secrétariat du Conseil National de Sécurité (S-CNS)	Mise en place d'une base de données des transferts d'armes - Conservation des données Establishment of an Arms Transfer Database - Data Retention	Build national capacities on ATT transparency measures and establish a record-keeping system to collect and keep data on transfers.	99,131.00	88,577.28
3	Dominican Republic	Centro Regional de las Naciones Unidas para la Paz, el Desarme y el Desarrollo en América Latina y el Caribe (UNLIREC)	Implementacion del Tratado sobre el Comercio de Armas en República Dominicana Implementation of the Arms Trade Treaty Dominican Republic	Strengthening of national capacities for the establishment and the application of effective controls on transfers of items under the ATT through workshops, training course (UNLIREC's CITCA course), assessment of security of existing arsenals and development of SOPs.	99,007.00	90,888.52
4	Ghana (1)	Lex Emporium Attorney @ Law	Adoption of an Institutional Legal Framework for the Implementation of the Arms Trade Treaty	Establishing a legal framework for the work of the competent national authority.	99,958.00	99,977.00

5	Ghana (2)	International Action Network on Small Arms	National Sensitization and Capacity Building Workshop for Members of Parliament	Capacity building workshop for Members of the Defence and Interior Committee of the Parliament of Ghana.	45,636.00	45,636.00
6	Madagascar	United Nations Regional Center for Peace and Disarmament - UNREC	Workshop on Appropriation of the ATT Issues for the National Authorities, the Private Sector and Civil Society	Workshop for national authorities, private sector and civil society on ATT issues.	69,875.00	47,594.00
7	Paraguay	United Nations Regional Centre for Peace, Disarmament and Development in Latin America and the Caribbean (UNLIREC)	Implementation of the Arms Trade Treaty in Paraguay	Strengthening of national capacities on ATT through workshops, training course (UNLIREC's CITCA course).	96,733.00	79,627.50
8	Samoa	Centre for Armed Violence Reduction (CAVR)	Streamlining Arms Data Collection and Reporting in Samoa	Implementation of an automated and centralised data collection and reporting system; build capacity of police and customs to use automated database system for record-keeping involving a 'training the trainers' programme.	67,670.00	67,053.00
9	Senegal	Geneva Centre for Security Policy (GCSP)	Building Capacity in Effective Implementation of the Arms Trade Treaty (ATT)	Training course for officials involved in ATT implementation in Senegal and neighbouring francophone countries.	85,786.00	82,306.43
<b>Total</b>					<b>736,809.00</b>	<b>674,837.00</b>

ANNEX D. 3<sup>RD</sup> VOLUNTARY TRUST FUND CYCLE (2019): OVERVIEW OF PROJECTS APPROVED FOR ATT VTF FUNDING

No.	State	Implementing Partner(s)	Project Title	Type of Implementation Assistance	Total Budget Approved (USD)	Total Budget Spent (USD)
1	Antigua and Barbuda	Coalition for Development and the Reduction of Armed Violence (CDRAV)	Implementing the United Nations Arms Trade Treaty - The CARICOM Way Forward	A regional workshop designed to: 1) identify and mobilize key state officials for strategic planning on universalization, implementation and reporting; 2) agree a regional plan of action for universalization, implementation and reporting; and 3) identify and agree a regional project to enable implementation (to be implemented with VTF funding).	97,064.00	64,998.00
2	Burkina Faso	None	Workshops to disseminate the law implementing the ATT in Burkina Faso	Organisation of workshops in three regions of the country to promote a Bill (Act) for the implementation of the ATT, which has been recently introduced to Parliament for consideration and possible adoption.	80,119.00	72,630.25
3	Chile	United Nations Regional Centre for Peace, Disarmament and Development in Latin America and the Caribbean (UNLIREC)	Course for interdicting smalls arms, ammunitions and their parts and components (ISSAP)	Five (5) days training of front-line officers who control import, export and transit operations in fighting against diversion and illicit trafficking of arms.	54,889.00	
4	Côte d'Ivoire	None	Training workshop on safe and secure ammunition management	Two (2) training workshops for capacity building on safe and secure ammunition management for security and defence forces.	68,039.00	57,852.00

5	Fiji	Centre for Armed Violence Reduction (CAVR)	Strengthening inter-agency cooperation and reporting practices for ATT ratification	National capacity building workshops to facilitate ATT ratification and implementation; amendment of existing legislation to comply with ATT and installation of a database to store arms transfer information.	98,023.00	
6	Kazakhstan	UN Regional Centre for Peace and Disarmament in Asia and the Pacific (UNRCPD)	Arms Trade Treaty Universalization and implementation workshop for Central Asia and Mongolia	Regional workshop to promote universalization of the ATT in the region and build institutional capacity for future implementation.	97,160.00	47,839.00
7	Lebanon <sup>1</sup>	Permanent Peace Movement (PPM) and Saferworld	Assessing Lebanon's ATT implementation compliance	Assessment of Lebanon's system's readiness to comply with the ATT implementation requirements through analysis of legislative framework, institutional arrangements, capacities and arms transfer regulation processes.	95,501.00	17,899.64
8	Madagascar	United Nations Regional Centre for Peace and Disarmament in Africa - UNREC	Technical and financial assistance for the procurement of a laser technology ammunition marking machine	Acquisition of ammunition marking machine and training on use of the marking machine.	95,190.00	95,190.00

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<sup>1</sup> The VTF project implemented by Lebanon was discontinued due to political situation in the country.

9	Mali	Group for Research and Information on Peace and Security (GRIP)	Implementation of the ATT in Mali - Roadmap	Assessment of Mali's legislative framework for ATT compliance, capacity building for agencies responsible for ATT implementation and development of a national roadmap to guide Mali's systematic implementation of the ATT.	98,138.00	
10	Mozambique	UNDP	Small arms, light weapons and explosives management system	Completion of a project commenced in 2009 to establish an online national registry to enable the Ministry of Interior to effectively manage the ownership of state and civilian fire arms, ammunitions and explosives.	98,868.00	
11	Namibia	Control Arms (Non-Violence International)	ATT Academy in Southern Africa	Capacity building training workshops for 10 members of the Southern African Development Community (SADC).	230,895.00	
12	Palau	Centre for Armed Violence Reduction (CARV)	Strengthening inter-agency cooperation and reporting practices for ATT ratification	National capacity building workshops to facilitate ATT ratification and implementation; amendment of existing legislation to comply with ATT and installation of a database to store arms transfer information.	94,749.00	
13	Serbia	Small Arms Survey	Building national capacity to prevent and address diversion	Capacity building and technical knowledge to further strengthen the ability of Serbia to prevent and address the diversion of conventional arms.	96,247.00	

14	Sierra Leone	Sierra Leone Action Network on Small Arms (SLANCA)	Advocacy Campaigns with Members of Parliament (MPs) on Amendment of relevant legislations (the SLNCSA and Arms and Ammunition Acts)	Five (5) advocacy campaigns for Members of Parliament and key stakeholders on the ATT provisions and requirements for compliance, to speed up amendments to the relevant legislation.	28,578.00	
15	South Sudan	Regional Centre on Small Arms (RESCA)	Support towards accession to the Arms Trade Treaty (ATT) in the Republic of South Sudan	ATT awareness raising and development of strategy to guide South Sudan's efforts towards accession to the ATT.	86,199.00	
16	Togo	Permanent delegation of the African Union in Geneva	Incorporation of the Arms Trade Treaty (ATT) provisions into the domestic legal systems of the French-speaking African States Parties	Regional workshop to help States Parties in the region identify key elements of the ATT for incorporation into their national laws and building capacity of parliamentarians to domesticate the ATT.	269,315.00	233,316.00
17	Tuvalu <sup>2</sup>	Centre for Armed Violence Reduction (CAVR)	Tuvalu ATT implementation	Review of Tuvalu's legislation to provide for ATT obligations; establishment of national control list; establishment of national database for arms transfer information and improvement of Tuvalu's interagency coordination.	[87,840.00]	
18	Vanuatu	Centre for Armed Violence Reduction (CAVR)	Strengthening inter-agency cooperation and reporting practices for ATT ratification	National capacity building workshops to facilitate ATT ratification and implementation; amendment of existing legislation to comply with ATT and installation of a database to store arms transfer information.	97,381.00	

<sup>2</sup> The VTF application submitted by Tuvalu was approved by the VTF Selection Committee, however, Tuvalu's application was withdrawn prior to the finalisation of a Grant Agreement with the ATT Secretariat, and this project is not proceeding.

19	Zambia (1)	Small Arms Survey	Building capacity to establish and maintain Zambia's national control list	Capacity building and technical knowledge to enable Zambia to adopt a national control list in fulfilment of Article 5 of the ATT.	48,000.00	48,080.59
20	Zambia (2)	Small Arms Survey	Building capacity to fulfil Zambia's ATT reporting obligations	Capacity building to enable Zambia to submit its initial report and establish procedures to ensure the timely submission of annual reports, sharing lessons learned on developing national procedures for ATT reporting.	76,933.00	74,338.42
<b>Total</b>					<b>1,911,288.00</b>	<b>712,143.90</b>

## ANNEX E. STATUS OF IMPLEMENTATION OF 2019 VTF PROJECTS



Issued by the ATT Secretariat  
20 July 2021

## STATUS OF 2019 CYCLE VTF PROJECTS, 20 JULY 2021

No	State	Grant Agreement signed	1 <sup>st</sup> instalment transferred	Interim Report received	2 <sup>nd</sup> instalment transferred	Final Report received	3 <sup>rd</sup> /Final instalment transferred	Status
1	Antigua and Barbuda	✓	✓	NA	NA	06-Dec-19	NA	Completed
2	Burkina Faso	✓	✓	01-Dec-19	✓	28-Sep-20	NA	Completed
3	Chile	✓	✓	15-Feb-20	✓	15-Jan-22		Underway
4	Côte d'Ivoire	✓	✓	NA	NA	31-Jan-20	NA	Completed
5	Fiji	✓	✓	30-Apr-20	✓	08-Apr-21		Underway
6	Kazakhstan	✓	✓	NA	NA	04-Nov-20*	NA	Completed
7	Lebanon	✓	✓	NA	NA	30-Jan-21	NA	Discontinued due to political situation
8	Madagascar	✓	✓	NA	NA	16-Dec-19	✓	Completed
9	Mali	✓	✓	06-Jan-20	✓	12-Apr-21		Underway
10	Mozambique	✓	✓	19-Jan-20		TBC		Underway
11	Namibia	✓	✓	15-Jan-20	✓	28-Oct-21		Underway
12	Palau	✓	✓	18-Jun-20		30-Sep-21		Underway
13	Serbia	✓	✓	11-Feb-20		29-Aug-21		Underway
14	Sierra Leone	✓	✓	NA	NA	TBC		Underway
15	South Sudan	✓	✓	02-Dec-19	✓	30-Aug-21		Underway
16	Togo	✓	✓	NA	NA	14-Jan-20	✓	Completed
17	Tuvalu	WITHDRAWN						
18	Vanuatu	✓	✓	15-Mar-20	✓	30-Jun-21		Underway

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<b>19</b>	Zambia (1)	✓	✓	07-Feb-20	✓	30-Sep-20	✓	Completed
<b>20</b>	Zambia (2)	✓	✓	07-Feb-20	✓	30-Sep-20	✓	Completed

**ANNEX F. 4<sup>TH</sup> VOLUNTARY TRUST FUND CYCLE (2020): OVERVIEW OF PROJECTS APPROVED FOR ATT FUNDING**

No.	Applicant State	Partner(s)	Project Title	Type of Implementation Assistance	Total Budget Approved (USD)( <i>tbc</i> )
1	Botswana <sup>3</sup>	Small Arms Survey	Building Capacity to fulfil the Republic of Botswana's ATT reporting obligations	Capacity building effort to enable ATT reporting in terms of establishment of reporting processes and procedures as well as submission of initial report and annual reports	[79,702.00]
2	Côte d'Ivoire	None	Interconnection of end-use weapons sites	Development of an integrated data management IT system for stockpile management and training of stockpile managers	98,119.00
3	Ghana	LEX EMPORIUM ATTORNEY @LAW	Adoption of a comprehensive harmonized legislation for the implementation of the ATT	Development and adoption of a comprehensive and harmonised single legislation for the implementation of the ATT in Ghana	99,826.00
4	Kenya	Regional Centre on Small Arms (RECSA)	ATT awareness raising and creation of momentum for Kenya's accession to the ATT	National workshop to raise awareness about the ATT and its implications with a view to building momentum for Kenya's accession to the ATT	95,604.00
5	Madagascar	Controls Arms (Non-Violence International)	ATT Academy in the Indian Ocean Region	Regional workshop to build capacity of five Indian Ocean States (IOC) to implement the ATT	193,015.00

<sup>3</sup> The VTF application submitted by Botswana was approved by the VTF Selection Committee, however, Botswana's application was withdrawn prior to the finalisation of a Grant Agreement with the ATT Secretariat.

6	Nigeria	IANSA Women Network Nigeria	Strengthening inter-agency cooperation for the Treaty implementation and reporting	National capacity building workshop to strengthen inter-agency cooperation to facilitate the implementation of the ATT and to enhance national capacity to meet its reporting obligations	95,102.00
7	Sierra Leone	None	Capacity building workshops for effective ATT implementation at regional level	Capacity building workshops on ATT implementation conducted at regional level	55,977.00
8	South Sudan	Regional Centre on Small Arms (RECSA)	Support towards operationalization of the National ATT Accession and Implementation Strategy for the Republic of South Sudan	Implementation of South Sudan's national ATT implementation strategy by undertaking the following activities: 1) review of national legislation, 2) development and adoption of national control list , 3) capacity building workshop for customs officials and, 4) capacity building workshop for parliamentarians on ATT domestication	99,524.00
9	Togo	None	Training workshops on the safe and secure management of weapons and ammunition stockpiles	Workshops to train defence and security officers in the safe and secure management of weapons and ammunition stocks throughout the country to prevent diversion	53,716.00
10	Zambia	Small Arms Survey	Building capacity to draft Zambia's Conventional Arms Control Act to implement the ATT	Building of Zambia's legislative drafting capacity and preparation of Zambia's legislation to implement the ATT	93,197.00
	<b>Total</b>				<b>884,080.00</b>

## ANNEX G. STATUS OF IMPLEMENTATION OF 2020 VTF PROJECTS



Issued by the ATT Secretariat  
20 July 2021

## STATUS OF 2020 CYCLE VTF PROJECTS, 20 JULY 2021

No.	State	Grant Agreement signed	1 <sup>st</sup> instalment transferred	Interim Report due	2 <sup>nd</sup> instalment transferred	Final Report due	3 <sup>rd</sup> /Final instalment transferred	Status
1	Botswana	<b>WITHDRAWN</b>						
2	Côte d'Ivoire	✓	✓	1-Mar-21	✓	01-Mar-22		Underway
3	Ghana	✓	✓	NA		28-May-21		Underway
4	Kenya	✓	✓	14-Jul-21		31-Oct-21		Delayed due to COVID
5	Madagascar	✓	✓	30-Jun-21		01-Mar-22		Underway
6	Nigeria	✓	✓	01-Jun-21		03-Jan-22		Underway
7	Sierra Leone	✓	✓	NA		11-Mar-22		Delayed due to local elections
8	South Sudan	✓	Pending	30-Jul-21		29-Apr-22		Delayed due to pending transfer consultations
9	Togo	✓	✓	NA		14-Jul-21		Underway
10	Zambia	✓	✓	01-Jun-21		31-Jan-22		Underway

**ANNEX H. 5<sup>TH</sup> VOLUNTARY TRUST FUND CYCLE (2021): OVERVIEW OF PROJECTS PROVISIONALLY<sup>4</sup> APPROVED FOR ATT FUNDING**

No.	Applicant State	Partner(s)	Project Title	Type of Implementation Assistance	Value of (USD)
1	Chile	Small Arms Survey	Build capacity to prevent, detect, and respond to the diversion of conventional weapons in line with Article 11 of the ATT in Chile and Colombia	Development and implementation of training for Chilean and Colombian security forces on preventing and detecting diversion of conventional arms	170,034.00
2	Costa Rica	Fundación Arias para la Paz y el Progreso Humano (Arias Foundation for Peace and Human Progress)	Digital platform of the Costa Rican control system of conventional arms transfers	Development of a digital platform to enable inter-ministerial coordination on national control, licencing, risk analysis and integration of existing databases	100,000.00
3	DRC	Group for Research and Information on Peace and Security (Groupe de recherche et d'information sur la paix et la sécurité)(GRIP)	Develop and validate a roadmap for DRC accession to the ATT	Organisation of seminars for stakeholders involved in the DRC's accession to the ATT, and drafting of the DRC's roadmap to ATT accession	93,433.00
4	El Salvador	None	Purchase of a basic military version MTI Projectile Recovery Chamber of 24 inches	Procurement of equipment to be used in recovering projectiles for forensic analysis	98,500.00

<sup>4</sup> The projects are provisionally approved subject to Requests for Clarification and negotiations of Grant Agreements.

5	Fiji	Centre for Armed Violence Reduction (CAVR)	Final steps to ATT accession and full compliance	Facilitation of ATT accession process, review of the Arms and Ammunition Acts and customization of record keeping system	92,491.00
6	Lebanon	None	Lebanese ISF Territorial Intervention Units Firearms Control	Security upgrade of stockpile of warehouses to ensure safe and secure weapons and ammunition	70,675.00
7	Liberia	Centre for Armed Violence Reduction (CAVR)	Filling arms control record keeping gaps in Liberia	Installation of a record keeping and reporting system (Arms Tracker) as well as training of government officials on the use of the record keeping system	98,654.00
8	Mali	Group for Research and Information on Peace and Security (Groupe de recherche et d'information sur la paix et la sécurité) (GRIP)	Building knowledge and capacities to strengthen the implementation of the ATT Roadmap	Implementation of the national ATT roadmap by conducting legal analysis and organising seminars for government officials, parliamentarians, private sector and civil society	69,250.00
9	Mexico	None	Fortalecimiento del Registro y Controles de los Flujos de Armas en Mexico	Establishment of a system to complement the National Arms Database to control and record arms transfers in Mexico. And to facilitate coordination and information sharing between national and federal authorities in Mexico as well as with Central and South American States	95,200.00
10	Namibia	Control Arms (Nonviolence International)	ATT Implementation in Namibia: Toward a Comprehensive National Control System	Review of legislation governing arms transfers; development of National Controls List; preparation of Initial Report; and recommendations for future areas of work	79,709.00

11	Rwanda	Regional Centre on Small Arms (RECSA)	Support towards Operationalization of the ATT ratification for the Republic of Rwanda	Raising awareness and building support for ratification of the ATT	95,829.00
12	Sudan	Regional Centre on Small Arms (RECSA)	Support towards Development of the National Strategy for the Implementation of the Arms Trade Treaty in the Republic of the Sudan	Development of a National Strategy for implementation of the ATT through consultation meetings and validation workshop	99,645.00
13	Trinidad and Tobago	United Nations Regional Centre for Peace, Disarmament and Development in Latin America and the Caribbean (UNLIREC)	Technical and financial assistance for a capacity building workshop on the ATT and the development of an integrated data management IT system for better stockpile management and reduce loopholes for diversion	Capacity building workshop and development of an integrated data management IT system for stockpile management to facilitate reporting and information sharing	99,296.00
<b>Total</b>					<b>1'262'716.00</b>

## ANNEX I. VTF TASKS AND TIMELINE 2020/2021



Prepared by: the ATT Secretariat  
12 March 2021

## VTF TIMELINE: 2020/2021

This timeline reflects major VTF milestones foreseen for the period between CSP6 and CSP7

	TASK	TIMELINE	RESPONSIBILITY
1.	VTF Selection Committee meeting Geneva (online)	11 September 2020	ATT Secretariat CSP7 President
2.	Call for project proposals	30 November 2020 –12 February 2021	ATT Secretariat VTF Chairperson
3.	Deadline for submission of project proposals	12 February 2021	ATT Secretariat
4.	Pre-screening of project proposals and preparation of shortlist	15 February – 23 April 2021	ATT Secretariat
5.	Circulation of shortlist of project proposals to Selection Committee	04 May 2021	ATT Secretariat
6.	Selection Committee meeting consideration and approval of successful project proposals (online)	27 May 2021	Selection Committee ATT Secretariat
7.	Reserve date for continuation of Selection Committee meeting	28 May 2021	Selection Committee ATT Secretariat
8.	Notification to applicants on the Selection Committee's final decision	11 June 2021	ATT Secretariat
9.	Request for clarification of approved proposals (selected applicants asked to respond to request for refinement of certain	11 June 2021 – 30 July 2021	ATT Secretariat Grant Recipients

	aspects of their proposals as determine by Selection Committee)		
10.	Contracting (Grant Agreement) between VTF and successful Grant Recipients; transfer of grants (1 <sup>st</sup> instalment)	30 June – 15 September 2021	ATT Secretariat Grant Recipients
11.	Finalisation of VTF report to CSP7	23 July 2021	ATT Secretariat
12.	Presentation to CSP7	02 September 2021	ATT Secretariat VTF Chairperson

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