



Federal Office
for Economic Affairs
and Export Control

Arms Trade Treaty - Eighth Conference of States Parties thematic discussion on Post-Shipment-Controls

Unpacking of the Post-Shipment-Control „Toolbox“ context – elements - recommendations

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The discussion on Post-Shipment-Controls within the ATT Framework

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WORKING PAPER PRESENTED BY THE PRESIDENT OF THE EIGHTH CONFERENCE OF STATES PARTIES
TO THE ARMS TRADE TREATY (ATT)

POST-SHIPMENT CONTROLS AND COORDINATION
EFFECTIVE EXPORT VERIFICATION AND GOOD-FAITH COOPERATION BETWEEN EXPORTERS AND
IMPORTERS

- STATUS QUO AND GUIDANCE ("TOOLBOX") -

Table of contents:

- I. Executive summary
- II. Introduction
- III. Measures to identify and/or prevent "diversion" – an overview
- IV. Post-shipment controls and post-delivery coordination
- V. Post-shipment controls – previous and current initiatives within the scope of the ATT
- VI. Operational steps for the introduction and implementation of post-shipment controls
- VII. Recommendations and suggestions for the next steps within the scope of the ATT

I. Executive summary

Preventing diversion is one of the key priorities of the Arms Trade Treaty (ATT). By joining the ATT, States Parties have committed to take effective measures to address this risk and prevent diversion. In addition to the further development of traditional control and coordination approaches, other monitoring options are to be discussed within the scope of the ATT in order to ensure holistic monitoring of arms. One such option is the implementation of on-site post-shipment controls.

On this basis, this working paper follows on from the previous discussions within the scope of the ATT and aims to assist States Parties in taking measures to prevent diversion while preserving the cooperative and coordinative approach of the ATT, including in the post-shipment phase. It should be emphasised that this approach complements existing control measures. Post-shipment controls do not replace the thorough ex-ante assessment of the end-use control of arms exports.

Finally, the working paper provides ideas and recommendations for further discussions among the ATT's States Parties and stakeholders.

Road to CSP 9

CSP 8: Working Paper

PrepCom 1 +2
Side Events / Working Group / dialogue
with think tanks and industry



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Post-Shipment-Controls or Verifications / Post-Delivery Coordination

- Physical checks
- On the territory of the importing State
- Conducted by the exporting State
- After the transfer to an authorized end user



Recommendation:

State Parties are encouraged to define a common approach and understanding of the term „Post-Shipment-Controls“ or „Post-Delivery Coordination“

Implementation of Post-Shipment-Controls

- Tailor made approach
- Legal amendments
- Coordination and cooperation with all involved parties



Recommendation:

State Parties should consider sharing their experiences with the implementation in order to promote further understanding and awareness of Post-Shipment measures.

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Thank you very much for your attention !



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