THE ARMS TRADE TREATY

REPORTING TEMPLATE

ANNUAL REPORT IN ACCORDANCE WITH ARTICLE 13(3) - EXPORTS AND IMPORTS OF CONVENTIONAL ARMS COVERED UNDER ARTICLE 2 (1)

This provisional template is intended for use by States Parties to the Arms Trade Treaty when preparing their annual report in accordance with the Treaty's Article 13(3).

The template has two main tables, one for exports and the other for imports. The tables are similar in construction, making it possible to have a common set of explanatory notes for both.

Article 5(3) of the Treaty states that "National definitions of any of the categories covered under Article 2 (1) (a)-(g) shall not cover less than the descriptions used in the United Nations Register of Conventional Arms at the time of entry into force of this Treaty". Against this background, Annex 1 reproduces the UN Registry Definitions of Categories I-VII at the time of the ATT's entry into force. For category VIII (small arms and light weapons), the UN Registry template for voluntary reporting of this category at the time of the ATT's entry into force has been employed as an approximation.

Annex 2 allows reporting States Parties to, if they so wish, include more specific information on national definitions of reported categories.

Annex 3 comprises two templates for nil reports, one for exports and one for imports. They may be used in place of a report in table format if a States Party has no transactions to report.

The title page of the template contains information on the submitting country and authority, but also a 'table of contents' in tick-box form, to indicate which of the different available forms have been included in the national submission. There is also a (voluntary) section where the reporting Government may indicate whether any commercially sensitive and/or national security-related data has been withheld in accordance with Article 13.3 of the Treaty

On the title page of each of the four reporting forms (exports, imports, nil exports, nil imports) a State Party has the option of indicating that the form is for distribution <u>only</u> to other States Parties to the Treaty. This makes it possible to restrict access to some forms but not others, which provides an additional measure of flexibility to the reporting States Party.

GOVERNMENT OF THE SLOVAK REPUBLIC

ANNUAL REPORT ON EXPORTS AND IMPORTS OF CONVENTIONAL ARMS, IN ACCORDANCE WITH ARTICLE 13(3) OF THE ARMS TRADE TREATY

REPORT FOR THE CALENDAR YEAR 2018

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Date of Submission : 23 May 2019

Co	ntents of report (check as appropriate)	Yes	No
i)	Nil report on exports of conventional arms		\boxtimes
ii)	Nil report on imports of conventional arms		\boxtimes
iii)	Annual report on exports of conventional arms	\boxtimes	
iv)	Annual report on imports of conventional arms	\boxtimes	
v)	National definitions of categories of conventional arms reported		\boxtimes

Scope of report (voluntary information)	Yes	No
In the submitted report, some commercially sensitive and/or national security-related data has been withheld in accordance with Article 13.3 of the Treaty		\boxtimes

EXPORTS OF CONVENTIONAL ARMS¹

- SHADED COLUMNS AND ROWS REPRESENT VOLUNTARY INFORMATION -

Reporting country :	SLOVAK REPUBLIC	Calendar Year : 2018	Cutoff date ² : 31. December
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In this report, the following definition of the term exports was used ³ (check as appropriate) :									
Physical transfer of items across a national border :	Yes 🖂	No 🗌							
Transfer of title :	Yes 🗌	No 🖂							
Transfer of control :	Yes 🗌	No 🖂							
Other (please provide a brief description below) :	Yes 🗌	No 🖂							

This Annual Report on exports is available only to States Parties		
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	Category of arms ⁴ [I-VIII]	Authorised or actual exports ⁵		Extent of exports ⁶ (choose one or both)		Final importing	State of origin	Remarks ¹¹			
		Auth.	Act.	Number of items ⁷	Value ⁸	Final importing State ⁹	State of origin (if not exporter) ¹⁰	Description of Item	Comments on the transfer		
	1	2	3	4	5	6	7	8	9		
A. I-VII UN Registry Categories ¹² (national definitions shall not cover less than the definitions provided in Annex 1 ¹³)											
I.	Battle tanks		\boxtimes	\boxtimes		\boxtimes					

Category of arms ⁴			rised or exports ⁵		Extent of exports ⁶ (choose one or both)			Remarks ¹	1
	[I-VIII]	Auth.	Act.	Number of items ⁷	Value ⁸	Final importing State ⁹	State of origin (if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1	2	3	4	5	6	7	8	9
II.	Armoured combat vehicles		\boxtimes	2		Bangladesh		Mines layer PM300, URAL 6x6	
II.	Armoured combat vehicles		\boxtimes	1		Bangladesh		Remote Controlled Mechanical Mine/Improvised Explosive Device Clearing Vehicle Božena	
II.	Armoured combat vehicles		\boxtimes	1		Czech Republic		BRDM-2	For collection purposes
II.	Armoured combat vehicles		\boxtimes	25		Czech Republic		BMP-1	After overhaul
II.	Armoured combat vehicles		\boxtimes	10		Czech Republic		OT-64 without weapon system	
II.	Armoured combat vehicles		\boxtimes	1		Czech Republic		Gerlach 4x4	Return after testing
II.	Armoured combat vehicles		\boxtimes	3		Hungary		PTS-10	
II.	Armoured combat vehicles		\boxtimes	3		Indonesia		Law Enforcement Božena Riot Ver2 w/with JCB Vehicle	
III.	Large-calibre artillery systems		\boxtimes	1		Czech Republic		122 mm Multiple BM- 21 Rocket Launcher	
III.	Large-calibre artillery systems		\boxtimes	3		Israel		RM-70/85 Multiple Rocket Launcher on T-815	
III.	Large-calibre artillery systems		\boxtimes	18		Israel		152 mm ShKH DANA on T-815	
IV.	Combat Aircraft a) manned		\boxtimes	\square					
IV.	Combat Aircraft b) unmanned		\boxtimes	\boxtimes		\square			

	Contra constante de la	4		rised or exports ⁵		f exports ⁶ ne or both)			Remarks ¹	1
	Category of arms ⁴ [I-VIII]		Auth.	Act.	Number of items ⁷	Value ⁸	 Final importing State⁹ 	State of origin (if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1	1	2	3	4	5	6	7	8	9
V.	Attack helicopters	a) manned		\boxtimes						
•••	V. Attack helicopters	b) unmanned		\boxtimes						
VI.	VI. Warships			\boxtimes	\square		\square			
		a) Missiles etc		\boxtimes	600		Cyprus		Missile 155 mm ERF B-BT HE	
		a) Missiles etc		\boxtimes	600		Cyprus		Missile 155 mm ERF B-BB HE	
		a) Missiles etc		\boxtimes	70		Czech Republic		Missile 155 mm	
VII.	Missiles & missile launchers	a) Missiles etc		\boxtimes	44		Poland		155 mm OFd MKM Inert	
		a) Missiles etc		\boxtimes	6042		Poland		155 mm OFd MKM DV without fuse	
		a) Missiles etc		\boxtimes	4		Poland		Missile 152 mm HE ERBB Inert	
		b) MANPADS		\boxtimes	\square					
B. VI	II. Small Arms and	Light Weapons ¹	14, 15							
	Small Arms (aggre	egated) ¹⁶								
1.	Revolvers and self-	-loading pistols		\boxtimes	54		Austria			
1.	Revolvers and self-	-loading pistols		\boxtimes	29		Bahrain			
1.	Revolvers and self-	-loading pistols		\boxtimes	42		Belgium			
1.	Revolvers and self	-loading pistols		\boxtimes	795		Bosnia- Herzegovina			
1.	Revolvers and self-	-loading pistols		\boxtimes	6060		Bolivia			

	Category of arms ⁴		rised or exports ⁵	Extent of (choose on				Remarks ¹	L
	[I-VIII]		Act.	Number of items ⁷	Value ⁸	Final importing State ⁹	State of origin (if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1	2	3	4	5	6	7	8	9
1.	Revolvers and self-loading pistols		\boxtimes	10		Brazil			
1.	Revolvers and self-loading pistols		\square	1		Bulgaria			
1.	Revolvers and self-loading pistols		\boxtimes	214		Canada			
1.	Revolvers and self-loading pistols		\boxtimes	103		Czech Republic			
1.	Revolvers and self-loading pistols		\boxtimes	40		Denmark			
1.	Revolvers and self-loading pistols		\boxtimes	19		France			
1.	Revolvers and self-loading pistols		\boxtimes	20		Germany			
1.	Revolvers and self-loading pistols		\boxtimes	25		Great Britain			
1.	Revolvers and self-loading pistols		\boxtimes	400		Guatemala			
1.	Revolvers and self-loading pistols			22		Hungary			
1.	Revolvers and self-loading pistols		\boxtimes	21		Ireland			
1.	Revolvers and self-loading pistols		\boxtimes	10		Iceland			
1.	Revolvers and self-loading pistols		\boxtimes	3710		Israel			
1.	Revolvers and self-loading pistols		\boxtimes	27		Italy			
1.	Revolvers and self-loading pistols		\boxtimes	356		Kazakhstan			
1.	Revolvers and self-loading pistols		\square	850		Kyrgyzstan			
1.	Revolvers and self-loading pistols		\square	2		Lithuania			
1.	Revolvers and self-loading pistols		\boxtimes	14		Latvia			

	Category of arms ⁴ [I-VIII]		rised or exports ⁵	Extent of (choose on		D. 1. (1		Remarks ¹	l
			Act.	Number of items ⁷ Value ⁸		Final importing State ⁹	State of origin (if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1	2	3	4	5	6	7	8	9
1.	Revolvers and self-loading pistols		\boxtimes	44		Malaysia			
1.	Revolvers and self-loading pistols		\boxtimes	500		Mali			
1.	Revolvers and self-loading pistols		\boxtimes	156		Mexico			
1.	Revolvers and self-loading pistols		\boxtimes	1783		Moldova (Rep. of)			
1.	Revolvers and self-loading pistols		\boxtimes	246		Montenegro			
1.	Revolvers and self-loading pistols		\boxtimes	2		Namibia			
1.	Revolvers and self-loading pistols		\boxtimes	17		Netherlands			
1.	Revolvers and self-loading pistols		\boxtimes	25		Norway			
1.	Revolvers and self-loading pistols		\boxtimes	950		Paraguay			
1.	Revolvers and self-loading pistols		\boxtimes	86		Poland			
1.	Revolvers and self-loading pistols		\boxtimes	1		Portugal			
1.	Revolvers and self-loading pistols		\boxtimes	39		Romania			
1.	Revolvers and self-loading pistols		\boxtimes	556		Serbia			
1.	Revolvers and self-loading pistols		\boxtimes	20		Slovenia			
1.	Revolvers and self-loading pistols		\boxtimes	3321		South Africa			
1.	Revolvers and self-loading pistols		\boxtimes	1		Sweden			
1.	Revolvers and self-loading pistols		\boxtimes	30		Switzerland			
1.	Revolvers and self-loading pistols		\boxtimes	200		Tanzania			

			rised or exports ⁵	Extent of (choose on	f exports ⁶ ne or both)			Remarks	1
	Category of arms ⁴ [I-VIII]	Auth.	Act.	Number of items ⁷	Value ⁸	 Final importing State⁹ 	State of origin (if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1	2	3	4	5	6	7	8	9
1.	Revolvers and self-loading pistols		\boxtimes	10269		Thailand			
1.	Revolvers and self-loading pistols		\boxtimes	32		Ukraine			For civilian use
1.	Revolvers and self-loading pistols		\square	2011		United States			
2.	Rifles and carbines		\boxtimes	37		Belgium			
2.	Rifles and carbines		\boxtimes	10		Bulgaria			
2.	Rifles and carbines		\square	163		Czech Republic			
2.	Rifles and carbines		\square	259		France			
2.	Rifles and carbines		\square	15		Georgia			
2.	Rifles and carbines		\square	27		Germany			
2.	Rifles and carbines		\square	2		Ireland			
2.	Rifles and carbines		\boxtimes	120		Italy			
2.	Rifles and carbines		\square	34		Netherlands			
2.	Rifles and carbines		\square	17		Poland			
2.	Rifles and carbines		\square	159		Portugal			
2.	Rifles and carbines		\square	3		Slovenia			
2.	Rifles and carbines		\square	80		Switzerland			
2.	Rifles and carbines		\square	3		Sweden			
2.	Rifles and carbines		\square	250		Taiwan			

			rised or exports ⁵	Extent of (choose or				Remarks ¹	1
	Category of arms ⁴ [I-VIII]	Auth.	Act.	Number of items ⁷	Value ⁸	- Final importing State ⁹	State of origin (if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1	2	3	4	5	6	7	8	9
2.	Rifles and carbines		\square	385		United States			
3.	Sub-machine guns		\square	3		Hungary			
3.	Sub-machine guns		\boxtimes	40		Slovenia			
4.	Assault rifles		\boxtimes	1300		Hungary			
4.	Assault rifles		\bowtie	1000		Mali			
5.	Light machine guns		\square	\square		\boxtimes			
6.	Others		\square			\boxtimes			
	Light Weapons (aggregated) ¹⁷								
1.	Heavy machine guns		\square	526		Czech Republic			
1.	Heavy machine guns		\square	60		Mali			
1.	Heavy machine guns		\square	4		United States			
1.	Heavy machine guns		\boxtimes	100		United Arab Emirates			
2.	Hand-held under-barrel and mounted grenade launchers		\boxtimes	32		Mali			
2.	Hand-held under-barrel and mounted grenade launchers		\boxtimes	65		Rwanda			Directly from Bulgaria
3.	Portable anti-tank guns		\boxtimes	\square					
4.	Recoilless rifles		\bowtie						
5.	Portable anti-tank missile		\square	18		Czech Republic			

	Cotogory of orms4		rised or exports ⁵	Extent of (choose on	e or both)	Final importing	State of origin	Remarks ¹¹	L
	Category of arms ⁴ [I-VIII]	Auth.	Act.	Number of items ⁷	Value ⁸	Final importing State ⁹	(if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1 launchers and rocket systems		3	4	5	6	7	8	9
	launchers and rocket systems								
6.	Mortars of calibres less than 75 mm		\boxtimes						
7.	Others		\boxtimes	1		Czech Republic		23 mm anti-aircraft gun ZU-23-2	
7.	Others		\boxtimes	16		Finland		30 mm cannon 2A42	
7.	Others		\boxtimes	2		Israel		30 mm cannon 2A42	
C. Vo	luntary National Categories ¹⁸ (pleas	e define in	n Annex 2		-	-	-	-	

IMPORTS OF CONVENTIONAL ARMS¹

- SHADED COLUMNS AND ROWS REPRESENT VOLUNTARY INFORMATION -

Reporting country :	SLOVAK REPUBLIC	Calendar Year : 2018	Cutoff date ² : 31. December
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In this report, the following definition of the term imports was used ³ (check as appropriate) :									
Physical transfer of items across a national border :	Yes 🖂	No 🗌							
Transfer of title :	Yes 🗌	No 🖂							
Transfer of control :	Yes 🗌	No 🖂							
Other (please provide a brief description below) :	Yes 🗌	No 🖂							

This Annual Report on imports is available only to States Parties	
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	Cotocom of arms ⁴	Authorised or actual imports ⁵		Extent of imports ⁶ (choose one or both)			State of origin	Remarks ¹¹	
	Category of arms ⁴ [I-VIII]	Auth.	Act.	Number of items ⁷	Value ⁸	Exporting State ⁹	State of origin (if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1	2	3	4	5	6	7	8	9
A. I-V	II UN Registry Categories ¹² (natio	onal defini			n the definitions p	· · ·			
I.	Battle tanks		\boxtimes	\boxtimes		\square			

	Catagory of a		Author actual in		Extent of (choose on	² imports ⁶ ne or both)		State of origin	Remarks	11
	Category of a [I-VIII]		Auth.	Act.	Number of items ⁷	Value ⁸	Exporting State ⁹	State of origin (if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1		2	3	4	5	6	7	8	9
II.	Armoured cor	nbat vehicles		\square	10		Czech Republic		BMP-1	After repair, modernisati on
II.	Armoured cor	nbat vehicles		\boxtimes	1		Czech Republic		OT-65 FUG without weapon system	For collection purposes
II.	Armoured cor	nbat vehicles		\boxtimes	10		Czech Republic		BMP-1	For repair
II.	Armoured cor	nbat vehicles		\boxtimes	1		Czech Republic		Gerlach 4x4	Borrowing for tests
III.	Large-calibre arti	llery systems		\boxtimes	1		Bulgaria		Towed howitzer 100 mm MT-12 RAPIRA	
IV.	Combat aircraft	a) manned		\boxtimes	\boxtimes					
1 V.	Combat aircrait	b) unmanned		\boxtimes	4		Israel		MicroFalcon UAV	
V.	Attack	a) manned		\bowtie	2		United States		UH-60M BLACKHAWK	
	helicopters	b) unmanned		\bowtie	\square					
VI.	Warships			\boxtimes	\boxtimes		\square			
		a) Missiles etc		\boxtimes	2000		Belarus		152 mm VOF546 (VOF32)	
		a) Missiles etc		\boxtimes	3000		Belarus		152 mm VOF27	
VII.	Missiles & missile	a) Missiles etc		\boxtimes	1000		Czech Republic		Bi-Modular Charge System	For testing purposes
	launchers	a) Missiles etc		\boxtimes	10725		Czech Republic		Missile 152 mm EOFd	
		a) Missiles etc		\boxtimes	5		Italy		155 mm BER VULCANO, wert configuration	

	Cotocom of owned		rised or mports ⁵	Extent of (choose on			State of origin	Remarks	11
	Category of arms ⁴ [I-VIII]	Auth.	Act.	Number of items ⁷	Value ⁸	Exporting State ⁹	(if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1	2	3	4	5	6	7	8	9
	a) Missiles etc			3		Malaysia		R-73E	For testing purposes
	a) Missiles etc		\square	72		Poland		Missile 152 mm	
	a) Missiles etc		\square	32		Poland		155 mm Ofd MKM	
	b) MANPADS		\square	\square					
B. VI	II. Small Arms and Light Weap	ons ^{14, 15}							
	Small Arms (aggregated) ¹⁶								
1.	Revolvers and self-loading pisto	s 🗆		2631		Austria			
1.	Revolvers and self-loading pisto	s 🗌		47		Belgium			
1.	Revolvers and self-loading pisto	s 🗆		119		Croatia			
1.	Revolvers and self-loading pisto	s 🗌		1654		Czech Republic			
1.	Revolvers and self-loading pisto	s 🗌		6		Denmark			
1.	Revolvers and self-loading pisto	s 🗌		1163		Germany			
1.	Revolvers and self-loading pisto	s 🗌		111		Italy			
1.	Revolvers and self-loading pisto	s 🗌		19		Philippines			
1.	Revolvers and self-loading pisto	s 🗌		23		Slovenia			
1.	Revolvers and self-loading pisto	s 🗌		597		United States			
2.	Rifles and carbines			1596		Austria			
2.	Rifles and carbines			40		Belgium			

		Author actual in		Extent of (choose on				Remarks	11
	Category of arms ⁴ [I-VIII]	Auth.	Act.	Number of items ⁷	Value ⁸	Exporting State ⁹	State of origin (if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1	2	3	4	5	6	7	8	9
2.	Rifles and carbines		\square	20		Bulgaria			
2.	Rifles and carbines		\boxtimes	2		Canada			
2.	Rifles and carbines		\boxtimes	1054		Czech Republic			
2.	Rifles and carbines		\square	335		Finland			
2.	Rifles and carbines		\square	7		France			
2.	Rifles and carbines		\square	2081		Germany			
2.	Rifles and carbines		\square	6		Great Britain			
2.	Rifles and carbines		\boxtimes	16		Hungary			
2.	Rifles and carbines		\boxtimes	10		Israel			
2.	Rifles and carbines		\boxtimes	1136		Italy			
2.	Rifles and carbines		\boxtimes	7		Philippines			
2.	Rifles and carbines		\boxtimes	3		Slovenia			
2.	Rifles and carbines		\boxtimes	315		Turkey			
2.	Rifles and carbines		\boxtimes	419		United States			
3.	Sub-machine guns		\boxtimes	19		Austria			
3.	Sub-machine guns		\boxtimes	45		Germany			
4.	Assault rifles		\boxtimes	70		United States			
5.	Light machine guns		\boxtimes	1		Austria			

			rised or mports ⁵		imports ⁶ ne or both)			Remarks	11
	Category of arms ⁴ [I-VIII]	Auth.	Act.	Number of items ⁷	Value ⁸	Exporting State ⁹	State of origin (if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1	2	3	4	5	6	7	8	9
6.	Others								
Ι	ight Weapons (aggregated) ¹⁷								
1.	Heavy machine guns			27		Czech Republic			
1.	Heavy machine guns			10		Hungary			
2.	Hand-held under-barrel and mounted grenade launchers			65		Bulgaria			
2.	Hand-held under-barrel and mounted grenade launchers			32		Czech Republic			
3.	Portable anti-tank guns			1		Israel		Launch system Rafael Spike for integration into the TURRA-30	
3.	Portable anti-tank guns		\square	6000		Romania		PG7VM	
4.	Recoilless rifles		\square	\square					
5.	Portable anti-tank missile launchers and rocket systems								
6.	Mortars of calibres less than 75 mm			1		Austria		60 mm mortar	
7.	Others								
C. Vo	luntary National Categories ¹⁸ (plea	ase define	in Annex	2)					

	Category of arms ⁴		rised or mports ⁵	Extent of imports ⁶ (choose one or both)			State of origin	Remarks ¹¹	
[I-VIII]		Auth.	Act.	Number of items ⁷	Value ⁸	Exporting State ⁹	(if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1	2	3	4	5	6	7	8	9

EXPLANATORY NOTES

- 1) States Parties that do not have any exports and/or imports to report should file a "nil report" clearly stating that no exports/imports have taken place in any of the categories during the reporting period. Templates for such nil reports are included in Annex 3.
- 2) Date for collected statistics (for instance 30 June or 31 December).
- 3) Based on UN Registry practice. An international arms transfer could mean, in addition to the physical movement of equipment to or from national territory, the transfer of title to- and control over the equipment. Other criteria are also possible. States Parties should here provide a description of the national criteria used to determine, for control purposes, exactly <u>when</u> an arms transfer takes place.
- 4) As outlined in Articles 2 (1) (a)-(h) and 5(3). For more precise definitions of the categories, see Annex 1.
- 5) Article 13(3) allows reporting of either authorised or actual exports / imports. The choice can be made at the national level for a report as a whole or category by category. Please indicate by ticking the appropriate box for each category reported whether the value represents authorisations (Auth.) or actual exports (Act.). It is highly desirable that national choices in this respect, once made, should remain stable over time for reasons of consistency and continuity. A State Party wishing to report <u>both</u> quantity and value may of course do so, but then needs to submit two tables, one for authorised exports / imports and the other for actual exports / imports.
- 6) The size of exports / imports may be indicated <u>either</u> as quantity or as value. The choice can be made at the national level for each category of arms, but, **once made, should remain stable over time for reasons of consistency and continuity**. A State Party wishing to report <u>both</u> quantity and value may of course do so.
- 7) Standard UN Registry reporting variable. Please indicate unit, if not 'pieces'
- 8) Optional alternative. Please indicate unit (for example national currency)
- 9) In line with UN Registry practice
- 10) In line with UN Registry practice. NB: This is a shaded column, voluntary in terms of the obligations of the ATT

- 11) In line with UN Registry practice. In the first "Remarks" column, States Parties may, if they so wish, describe the item transferred by entering the designation, type, model or any other information considered relevant. The second column may be used to explain or clarify the nature of the transfer for instance if it is temporary (e.g. for exhibitions or repairs), or if it is industrial in nature (perhaps intended for integration into a larger system). NB: These are shaded columns, voluntary in terms of the obligations of the ATT
- 12) As outlined in Article 2 (1) (a)-(g), See Annex 1 for the UN Registry's more precise definitions of the categories I-VII, including subcategories.
- 13) See Article 5(3)
- 14) As outlined in Article 2 (1) (h), with sub-categories taken from the UN Registry template for voluntary reporting of Small Arms and Light Weapons. This choice has been made provisionally, pending later agreement between States Parties on the desirability of using this or another UN definition of SALW sub-categories (for instance from the UN Firearms Protocol or the International Tracing Instrument ITI). NB: The SALW sub-categories in this report are shaded, representing voluntary information in terms of the obligations of the ATT
- 15) "national definitions shall not cover less than the descriptions used in relevant United Nations instruments at the time of entry into force of this Treaty" (Article 5(3))
- 16) In line with UN Registry practice, States Parties may choose between reporting small arms by sub-type or as an aggregate.
- 17) In line with UN Registry practice, States Parties may choose between reporting light weapons by sub-type or as an aggregate.
- 18) Article 5(3) encourages States Parties to apply the provisions of the Treaty to the broadest range of conventional weapons. Any such additional categories are voluntary and categories used may vary between States Parties. If provided at all, extra categories should be more precisely defined in Annex 2.

ANNEX 1

UN Registry Definitions of Categories I-VII¹

I. Battle tanks

Tracked or wheeled self-propelled armoured fighting vehicles with high cross-country mobility and a high-level of self-protection, weighing at least 16.5 metric tons unladen weight, with a high muzzle velocity direct fire main gun of at least 75 millimetres calibre.

II. Armoured combat vehicles

Tracked, semi-tracked or wheeled self-propelled vehicles, with armoured protection and cross-country capability, either: (a) designed and equipped to transport a squad of four or more infantrymen, or (b) armed with an integral or organic weapon of at least 12.5 millimetres calibre or a missile launcher.

III. Large-calibre artillery systems

Guns, howitzers, artillery pieces, combining the characteristics of a gun or a howitzer, mortars or multiple-launch rocket systems, capable of engaging surface targets by delivering primarily indirect fire, with a calibre of 75 millimetres and above.

IV. Combat aircraft

- a) Manned fixed-wing or variable-geometry wing aircraft, designed, equipped or modified to engage targets by employing guided missiles, unguided rockets, bombs, guns, cannons or other weapons of destruction, including versions of these aircraft which perform specialized electronic warfare, suppression of air defence or reconnaissance missions;
- b) Unmanned fixed-wing or variable-geometry wing aircraft, designed, equipped or modified to engage targets by employing guided missiles, unguided rockets, bombs, guns, cannons or other weapons of destruction.

The term "combat aircraft" does not include primary trainer aircraft, unless designed, equipped or modified as described above.

¹ Excerpted from the 2014 UN Registry reporting template

V. Attack helicopters

- a) Manned rotary-wing aircraft, designed, equipped or modified to engage targets by employing guided or unguided anti-armour, air-to-surface, air-to-subsurface, or air-to-air weapons and equipped with an integrated fire control and aiming system for these weapons, including versions of these aircraft which perform specialized reconnaissance or electronic warfare missions;
- b) Unmanned rotary-wing aircraft, designed, equipped or modified to engage targets by employing guided or unguided anti-armour, air-to-surface, air-to-subsurface, or air-to-air weapons and equipped with an integrated fire control and aiming system for these weapons.

VI. Warships

Vessels or submarines armed and equipped for military use with a standard displacement of 500 metric tons or above, and those with a standard displacement of less than 500 metric tons, equipped for launching missiles with a range of at least 25 kilometres or torpedoes with similar range.

VII. Missiles and missile launchers²

- a) Guided or unguided rockets, ballistic or cruise missiles capable of delivering a warhead or weapon of destruction to a range of at least 25 kilometres, and means designed or modified specifically for launching such missiles or rockets, if not covered by categories I through VI. For the purpose of the Register, this sub-category includes remotely piloted vehicles with the characteristics for missiles as defined above but does not include ground-to-air missiles.
- b) Man-Portable Air-Defence Systems (MANPADS)³.

² Multiple-launch rocket systems are covered by the definition of category III.

³ MANPADS should be reported if the MANPAD system is supplied as a complete unit, i.e. the missile and launcher/Grip Stock form an integral unit. In addition, individual launching mechanisms or grip-stocks should also be reported. Individual missiles, not supplied with a launching mechanism or grip stock need not be reported.

ANNEX 2

Reporting country :	Calendar Ye	
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Specific (diverging or more detailed) national definitions of categories I-VIII

(or simple reference to initial report, if this information was provided there)

No	Description
I.	
II.	
III.	
IV.	
V.	
VI.	
VII.	
VIII.	

Definitions of voluntary national categories - Section C of table(s)

(or simple reference to initial report, if this information was provided there)

No	Description

ANNEX 3 A

NIL REPORT Exports of Conventional Arms¹

Reporting	Calendar Year	
country :	:	

The Government of ______,

with reference to Article 13 (3) of the Arms Trade Treaty, hereby submits a 'nil report' for exports from territory under our jurisdiction. This report serves to confirm that

no actual exports of conventional arms listed in Article 2 (1) of the Arms Trade Treaty have taken place from territory under our jurisdiction during the reporting period indicated above.
no export authorizations have been issued for conventional arms listed in Article 2 (1) of the Arms Trade Treaty during the reporting period indicated above.

This nil report on exports is available only to States Parties	
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ANNEX 3 B

NIL REPORT Imports of Conventional Arms¹

Reporting	Calendar Year	
country :	:	

The Government of ______,

with reference to Article 13 (3) of the Arms Trade Treaty, hereby submits a 'nil report' for imports from territory under our jurisdiction. This report serves to confirm that

no actual imports of conventional arms listed in Article 2 (1) of the Arms Trade Treaty have taken place to territory under our jurisdiction during the reporting period indicated above.
no import authorizations have been issued for conventional arms listed in Article 2 (1) of the Arms Trade Treaty during the reporting period indicated above.

imports is available only to States Parties
