
Arms Trade Treaty
First Conference of States Parties
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THE ARMS TRADE TREATY

PROVISIONAL TEMPLATE

INITIAL REPORT ON MEASURES UNDERTAKEN TO IMPLEMENT THE ARMS TRADE TREATY, IN ACCORDANCE WITH ARTICLE 13(1)

This provisional template is intended for use by States Parties to the Arms Trade Treaty when preparing their initial report in accordance with the Treaty's Article 13(1).

The template is divided into two sections. Section A encompasses information which relates to binding obligations under the Treaty. Section B (shaded) contains information which relates to provisions in the Treaty which are estimated to be binding to a lesser degree, or non-binding.

The division of provisions into binding and non-binding has been undertaken - solely for the purpose of this Template - on the basis of a strict observance of the qualifiers included in the text of the Treaty. Thus,

- if a provision in the text is prefaced with “shall” only, it is considered binding and information on that topic should be provided in the initial report.
- if a provision in the text has qualifiers, such as “shall...subject to its national laws”, or “shall...pursuant to national law”, or “shall...consistent with national law”, or “shall...where necessary/appropriate”, then a binding obligation is deemed to exist unless certain conditions are fulfilled - and information should be provided at least to indicate whether the obligation is considered applicable or not in the specific national context.
- if States Parties are only encouraged to take, or invited to consider taking, certain actions, the provision is deemed non-binding and information on the topic is voluntary. This category also includes qualifiers such as “may include...” or actions to be initiated “by mutual consent” with another State Party.

In Section B, States Parties are obliged according to Article 13(1) to provide information on the listed measures to the extent that such measures have been undertaken to implement the Treaty. On a voluntary basis, more information may also be provided.

GOVERNMENT OF THE REPUBLIC OF LIBERIA**INITIAL REPORT ON MEASURES UNDERTAKEN TO IMPLEMENT THE ARMS
TRADE TREATY, IN ACCORDANCE WITH ITS ARTICLE 13(1)**

This Initial Report may be made publicly available	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
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SECTION A.
INFORMATION RELATED TO BINDING OBLIGATIONS IN THE TREATY

1. NATIONAL CONTROL SYSTEM AND LIST

A. Overview of legislation and ordinances governing the national control system [Article 5(2)] (please list below. If the national control system is governed in whole or part by other means than legislation or ordinances, please indicate these also)			
<ul style="list-style-type: none"> ▪ Liberia Firearms & Ammunition Control Act ▪ An Act to establish the Liberia National Commission on Small Arms ▪ Act Repealing the 1956 National Defense Act/2008 National Defense Act ▪ Liberian Maritime Regulations ▪ 2011 National Security Reform & Intelligence Act ▪ 2013 Liberia Executive Order 48—Maritime Zones ▪ An Act to Establish the Liberia Revenue Authority 			
B. The national control system includes the following:		Yes	No
i)	competent national authorities (further specified below) [Article 5(5)]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
ii)	a control list [Article 5(2)]	<input type="checkbox"/>	<input checked="" type="checkbox"/>
iii)	one or more national points of contact to exchange information on ATT implementation [Article 5(6)]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
If 'No' to any of the items above, please provide background below.			
Liberia does not currently have a control list as required by the ATT. However, the Liberia National Commission on Small Arms (LiNCSA) recommended adopting the Wassenaar Munitions List to the Government of Liberia in the ATT Assessment Report. Developing a control list is a priority for Liberia.			
C. The national point(s) of contact has (have) been notified to the Secretariat of the Treaty [Article 5(6)] (if 'No', please clarify below)		Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
D. The national control list covers the following:		Yes	No
i)	Battle tanks [Article 2(1a)]	<input type="checkbox"/>	<input checked="" type="checkbox"/>
ii)	Armored combat vehicles [Article 2(1b)]	<input type="checkbox"/>	<input checked="" type="checkbox"/>
iii)	Large-caliber artillery systems [Article 2(1c)]	<input type="checkbox"/>	<input checked="" type="checkbox"/>
iv)	Combat aircraft [Article 2(1d)]	<input type="checkbox"/>	<input checked="" type="checkbox"/>
v)	Attack helicopters [Article 2(1e)]	<input type="checkbox"/>	<input checked="" type="checkbox"/>
vi)	Warships [Article 2(1f)]	<input type="checkbox"/>	<input checked="" type="checkbox"/>
vii)	Missiles and missile launchers [Article 2(1g)]	<input type="checkbox"/>	<input checked="" type="checkbox"/>

viii)	Small arms and light weapons [Article 2(1h)]	<input type="checkbox"/>	<input checked="" type="checkbox"/>
The national control list also includes the following, in order to enable the application of Articles 3 and 4:		Yes	No
ix)	Ammunition/Munitions for the conventional arms covered in Article 2(1) [for the application of Article 3]	<input type="checkbox"/>	<input checked="" type="checkbox"/>
x)	Parts and components in a form that provides the capability to assemble the conventional arms covered in Article 2(1) [for the application of Article 4]	<input type="checkbox"/>	<input checked="" type="checkbox"/>
If 'No' to any of the items above, please provide background below			
Compiling a national control list which will include the above provisions is a priority.			
E. The national control list has been provided to the Secretariat of the Treaty [Article 5.4] (if 'No', please elaborate further below)		Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
A control list will be communicated to the Secretariat as soon as it is adopted.			

2. PROHIBITIONS

A. The national control system prohibits authorization of transfers as defined by Article 2(2), of conventional arms covered under Article 2(1) and items covered under Articles 3 and 4, in the circumstances specified in Articles 6(1) to 6(3) (if 'No' in any respect, please elaborate below)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
The existing national control system will be expanded to include this provision.		
B. International agreements to which the country is a Party, and which are considered relevant for the application of Article 6(2) (please list below)		
<p>ECOWAS Convention on Small Arms and Light Weapons, Their Ammunition And Other Related Materials</p> <p>1997 Convention on the Prohibition of the Use, Stockpiling, Production and Transfer of Anti-Personnel Mines and on their Destruction</p> <p>1980 Convention on the Prohibitions or Restrictions on the Use of Certain Conventional Weapons which may be deemed to be Excessively Injurious or to have Indiscriminate Effects and all Protocols</p> <p>Protocol against the Illicit Manufacturing of and Trafficking in Firearms, Their Parts and Components and Ammunition, supplementing the United Nations Convention against Transnational Organized Crime</p> <p>The 2008 Convention on Cluster Munitions</p>		
C. International agreements to which the country is a Party, and which are considered relevant for the application of Article 6(3) (please list below)		
<p>The four Geneva Conventions of 1949</p> <p>1948 Convention on the Prevention and Punishment of the Crime of Genocide</p> <p>1998 Rome Statute of the International Criminal Court</p> <p>1951 Convention Relating to the Status of Refugees and its 1967 Protocol</p> <p>The Geneva Declaration on Armed Violence and Development</p>		

3. EXPORTS

A. The national control system includes the following:	Yes	No
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i)	an authorization or licensing system for arms exports [Article 5(2)]	<input type="checkbox"/>	<input checked="" type="checkbox"/>
ii)	prohibition assessment guidelines [Article 6]	<input type="checkbox"/>	<input checked="" type="checkbox"/>
iii)	export assessment criteria [Article 7]	<input type="checkbox"/>	<input checked="" type="checkbox"/>
iv)	a risk assessment procedure [Article 7]	<input type="checkbox"/>	<input checked="" type="checkbox"/>
If 'No' to any of the items above, please provide background below			
The national control system will be expanded to include these provisions.			
Assessment guidelines and procedures are not yet in place. Establishing these is a priority for Liberia.			
B. National export controls apply to the conventional arms covered under Article 2(1), and the items covered under Articles 3 and 4 (if 'No', please provide further information below)		Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
The existing national control system will be expanded to include this provision. However, the Firearms & Ammunition Control Act includes an export licensing system and sets out the export assessment criteria, but only with respect to SALW, ammunition and related materials			
C. The national control system includes measures to ensure that all export authorizations are detailed and issued prior to export [Article 7(5)] (if 'No', please provide further information below)		Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
The national control system will be expanded to include this provision.			
D. The national risk assessment procedure includes all the criteria described in Article 7(1)(a) and (b), and Article 7(4) (if 'No' in any respect, please elaborate below)		Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
Assessment guidelines and procedures are not yet in place. Establishing these is a priority for Liberia.			
E. The competent national authority(-ies) for the control of exports [Article 5(5)] (please specify below)			
Liberia is developing a national control system for export and the competent national authority (ies) will be identified.			
F. The national control system allows appropriate information about an export authorization to be made available, upon request, to the importing State Party and/or to the transit or trans-shipment States Parties [Article 7(6)] (if 'No' please elaborate below)		Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
This requirement will be addressed in a comprehensive national control system.			

4. IMPORTS

A. The national control system includes measures that allow the regulation, where necessary, of imports of conventional arms covered under Article 2(1). [Articles 6(1) to 6(3) and Article 8(2)] (if 'Yes', please provide further information below on the nature of control measures and confirm whether they apply to all items in the national control list. If 'No', please elaborate below)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
Provisions under the Firearms & Ammunition Control Act will be expanded beyond Small Arms Light Weapons, ammunition and related materials to all categories under the ATT.		
If 'Yes', please also answer the following question		
B. The competent national authority(-ies) for the regulation of imports [Article 5(5)] (please specify below)		
The Ministry of Justice, Ministry of Defense and the Liberia National Commission on Small Arms.		
C. The national control system allows for the provision, pursuant to national law	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>

and upon request, of appropriate and relevant information to assist an export assessment by a potential exporting State Party [Article 8(1)] (if 'No', please elaborate below)		
The national control system will be expanded to include this provision.		

5. TRANSIT & TRANS-SHIPMENT

A. The national control system includes measures that allow the regulation, where necessary and feasible, of transit of conventional arms covered under Article 2(1) [Articles 6(1) to 6(3) and Article 9] (if 'Yes', please provide further information below on the nature of control measures and confirm whether they apply to all items in the national control list. If 'No', please elaborate below)		Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
Provisions under the Firearms & Ammunition Control Act will be expanded beyond Small Arms Light Weapons, ammunition and related materials in compliance with the ATT.			
B. The national control system includes measures that allow the regulation, where necessary and feasible, of trans-shipment of conventional arms covered under Article 2(1) [Articles 6(1) to 6(3) and Article 9] (if 'Yes', please provide further information below on the nature of control measures and confirm whether they apply to all items in the national control list. If 'No', please elaborate below)		Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
This provision will be addressed in a comprehensive national control system.			
C. Control measures for the regulation of transit and/or trans-shipment cover : (If 'Yes' to (ii) or (iii), please indicate in the free text field how enforcement is conceived - systematic control or only when information is available?)		Yes	No
i)	Transit / trans-shipment through land territory (including internal waters)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
ii)	Transit / trans-shipment through territorial waters	<input type="checkbox"/>	<input checked="" type="checkbox"/>
iii)	Transit / trans-shipment through national air space	<input checked="" type="checkbox"/>	<input type="checkbox"/>
These provisions will be expanded. However, enforcement is systematically controlled but only with respect to SALW, Ammunition and Related Materials			
D. The competent national authority(-ies) for the regulation of transit and trans-shipment [Article 5(5)] (please specify below)			
The competent national authority (ies) will be identified in collaboration with the Liberia National Commission on Small Arms (LiNCSA).			

6. BROKERING

A. The national control system includes measures that allow the regulation, pursuant to national laws, of brokering of conventional arms covered under Article 2(1) [Articles 6(1) to 6(3) and Article 10] (if 'Yes', please provide further information below on the nature of control measures and confirm that they apply to all items in the national control list. If 'No', please elaborate below)		Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
This provision will be expanded to include all categories in the ATT. However, the Firearms Act states that to engage in brokering activities, the broker must be registered as such and must obtain a brokering license for the specific brokering activity, but only with respect to the brokering of SALW, ammunition and related materials.			
B. The definition of brokering used in national legislation [Articles 6(1) to 6(3) and Article 10] (please specify in particular if there are extraterritorial elements in the definition, for instance the activities of nationals resident abroad, or transfers that take place between two third countries)			

This definition will be expanded to include extraterritorial element in a comprehensive national control system. However, the Firearms & Ammunition Control Act defines Brokering as work carried out as an intermediary between any manufacturer, supplier or distributor of small arms and light weapons and any buyer or user; which includes the provision of financial support and the transportation of small arms and light weapons.

C. The competent national authority(-ies) for the regulation of brokering

[Article 5(5)]

(please specify below)

The Liberia National Police and the Liberia National Commission on Small Arms but only for regulating national brokering activities. National authority (ies) for the regulation of international trade will be identified.

7. DIVERSION

A. Measures foreseen in the national control system to prevent the diversion of conventional arms covered by Article 2(1) [Article 11(1)]		Yes	No
i)	assessing the risk of diversion of an export [Article 11(2)] (if 'No', please provide background below)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Yes, to some extent but will be expanded beyond SALW.			
ii)	cooperation and information exchange, where appropriate and feasible and pursuant to national law, with other States Parties [Article 11(3)] (if 'No', please provide background below)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
B. The national control system includes appropriate measures to be taken, pursuant to national law and in accordance with international law, when a diversion of transferred conventional arms under Article 2(1) has been detected [Article 11(4)] (if 'No', please elaborate below)		<input type="checkbox"/>	<input checked="" type="checkbox"/>
This provision will be addressed in a comprehensive national control system.			

8. RECORD KEEPING

A. The national control system includes provisions for maintaining records regarding: [Article 12(1)] (mandatory to retain records for one of the two options below)		Yes	No
i)	issued authorizations for the export of conventional arms covered under Article 2(1) of the Treaty [Article 12(1)]	<input type="checkbox"/>	<input checked="" type="checkbox"/>
ii)	actual exports of conventional arms covered under Article 2(1) of the Treaty [Article 12(1)] (if 'No' to both (i) and (ii), please elaborate below)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
The System and mechanism for this provision are being developed. However, the Firearms & Ammunition Control Act requires records to be kept of all authorizations of exports and actual exports, but only with respect to SALW, ammunition and related materials.			
B. Records are kept for a minimum of 10 years [Article 12(4)] (if 'No', please elaborate below)		Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>

9. REPORTING

A. The national control system allows for the provision of information as required by Article 13(3) (if 'No', please elaborate below)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
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10. ENFORCEMENT

A. Measures are in place that provide the ability to enforce of the national laws and regulations that implement the provisions of the Arms Trade Treaty [Article 14] (if 'No', please elaborate below)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
This provision will be expanded beyond SALW to include all categories of conventional arms.		
B. National legislation allows the provision to another State Party of jointly agreed assistance in investigations, prosecutions and judicial proceedings in relation to violations of national measures established pursuant to this Treaty [Article 15(5)] (if 'No', please elaborate below)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>

11. INTERNATIONAL COOPERATION

A. Cooperation is possible with other States Parties of the Treaty with a view to its effective implementation, where such cooperation is consistent with national law and security interests [Article 15(1)] (if 'No', please elaborate below)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>

12. INTERNATIONAL ASSISTANCE

A. National regulations and policy allow for the provision - upon request and if in a position to do so - of implementation assistance as set out in Article 16(1) (if 'No', please elaborate below)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>

13. DISPUTE SETTLEMENT

A. National regulations and policy allow for consultations and, by mutual consent, cooperation in the settlement of disputes regarding the interpretation or application of the Treaty by at least one of the means outlined in Article 19(1) (if 'No', please elaborate below)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>

SECTION B.

INFORMATION RELATED TO NON-BINDING PROVISIONS IN THE TREATY

States Parties are obliged according to Article 13(1) to provide information on the measures listed below, to the extent that such measures have been undertaken to implement the Treaty. On a voluntary basis, more information may also be provided

1. NATIONAL CONTROL SYSTEM AND LIST

F. Arms for recreational, cultural, historical and sporting purposes are included in the national control list [Article 2(1)(h) & Preamble, 13th para]	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
If 'No', please provide further information below, for instance whether a separate set of controls are applied to these types of arms		
This provision will be considered in the national control list.		
G. Additional categories not listed under Section A1.D are included in the national control list [Article 5(3)] (If 'Yes', please specify below)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
H. Control list definitions are supplemented by more detailed definitions not in the control list itself [Article 5(3)] (If 'Yes', please provide further information below)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
I. The national control list is publicly available [Article 5(4)] (if 'Yes', please provide information below as to how your control list is made publicly available - if available on the open internet please provide the hyperlink)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
J. Additional voluntary information about non-obligatory aspects of a national control system (please elaborate below - for instance regarding inter-agency coordination structures, training systems for relevant officials, transparency and accountability mechanisms, outreach to private actors such as industry, or any ongoing / planned review or change of the national control system or parts thereof)		
LiNCSA has recommended to the Government of Liberia through the ATT Assessment Report the expansion of the national control system in compliance with the Treaty.		

2. PROHIBITIONS

D. Additional voluntary information relevant to prohibitions under Article 6 (please elaborate below)

3. EXPORTS

G. The national risk assessment procedure includes the consideration of risk mitigation measures that could be undertaken to mitigate identified risks [Article 7(2)] (if 'Yes' please elaborate below, including an indication of types of risk mitigation measures that are most often used)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
H. The national control system allows exports of controlled equipment without a licence or under simplified procedure under certain circumstances [for instance temporary exports or exports to trusted partners] (if 'Yes' please provide further information below)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>

I. The national risk assessment procedure includes other criteria <u>not</u> mentioned in the articles cited in 3.D above (if 'Yes' please specify below)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
J. Measures to exercise control over exports are applicable also to other categories of conventional arms than those covered in Articles 2(1), 3 and 4 [Article 5(3)] (if 'Yes', please elaborate below)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
Yes—grenades per the Firearms & Ammunition Control Act		
K. An export authorization can be reassessed if new relevant information becomes available [Art 7(7)] (if 'Yes', please provide further information below. Are there also legal provisions for suspension or withdrawal of a license?)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
This provision will be expanded beyond the limit of the Firearms & Ammunition Control Act.		
L. Information / documentation included in an application for an export authorization (please specify below)		
These have not yet been stipulated.		
M. Apart from the competent national authority, the following ministries or government authorities may be involved in the decision-making process for an export authorization [Article 5(5)] (please specify below)		
Office of the President of the Republic of Liberia. Ministry of Justice, National Security Council, LiNCSA and Liberia Revenue Authority		
N. The national control system allows a State of final destination to request information concerning pending or actual export authorizations pertaining to it [Article 8(3)] (if 'No', please elaborate below)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
The national control system will be expanded to include this provision.		
O. Additional voluntary information relevant to national export controls (please specify below - for instance on the control of re-exports.)		

4. IMPORTS

D. Imports of conventional arms subject to control are under specific circumstances permitted without regulation or under simplified procedure (if 'Yes' please provide further information below)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
E. Measures to regulate imports are applicable also to other categories of conventional arms than those covered in Article 2(1) [Article 5(3)] (if 'Yes', please elaborate below)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
The Firearms & Ammunition Control Act applies import controls to ammunition and parts and components for SALW.		
F. Apart from the competent national authority, the following ministries or government authorities may be involved in the decision-making process for an import authorization (when such an authorization is required) [Article 5(5)] (please specify below)		
Office of the President of the Republic of Liberia. Ministry of Justice, National Security Council, LiNCSA and Liberia Revenue Authority		

G. Information / documentation required for an import authorization (please specify below)
Per the Firearms & Ammunition Control Act, the license applicant must provide proof of identity and there must be documentary proof of the necessary safe-storage capacity however, this will be expanded beyond SALW to all categories of the Treaty.
H. Additional voluntary information relevant to national import controls (please specify below)
Imports are only allowed through designated ports of entry.

5. TRANSIT & TRANS-SHIPMENT

E. Transit / trans-shipment of controlled equipment is permitted without regulation or under simplified procedure under certain circumstances (for instance in a free trade area) (if 'Yes' please provide further information below)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
F. National control of transit and/or trans-shipment goes beyond the fulfillment of obligations under Article 6 of the Treaty (if 'Yes', please specify the additional scope of control and indicate whether the additional control applies to all items in the national control list)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
G. Measures to regulate transit and/or trans-shipment are applicable also to other categories of conventional arms than those covered in Article 2(1) [Article 5(3)] (if 'Yes', please elaborate below)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
H. Apart from the competent national authority, the following ministries or government authorities may be involved in the decision-making process for a transit or trans-shipment authorization (when such an authorization is required) [Article 5(5)] (please specify below)		
Yet to be identified.		
I. Information / documentation required in an application for a transit / trans-shipment authorization (please specify below)		
Documentation requirements are still to be established.		
J. Additional voluntary information relevant to national transit / trans-shipment controls (please specify below)		

6. BROKERING

	Yes <input type="checkbox"/>	No <input type="checkbox"/>
E. National brokering controls go beyond the fulfillment of obligations under Article 6 of the Treaty (for instance regulating brokering in other situations) (if 'Yes', please specify the additional scope of control)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
F. Measures to regulate brokering are applicable also to other categories of conventional arms than those covered in Article 2(1) [Article 5(3)]	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>

(if 'Yes', please elaborate below)		
G. Apart from the competent national authority, the following ministries or government authorities may be involved in the decision-making process for a control measure related to brokering [Article 5(5)] (please specify below)		
Additional authorizing institutions will be identified		
H. Information / documentation required in an application related to brokering (please elaborate below)		
Documentation requirements with regard to applying for a brokering license are still to be established.		
I. Additional voluntary information relevant to national brokering controls (please specify below)		
Any entity applying to be registered as a broker must pass a fit-and-proper-person test. That test shall consider, <i>inter alia</i> : <ul style="list-style-type: none"> ▪ previous registration as a broker, and their conduct in that regard ▪ financial status ▪ criminal record of the entity, where an individual, or of key personnel where a body corporate 		

7. DIVERSION

C. Measures included in the national control system to prevent the diversion of conventional arms covered by Article 2(1) [Article 11(1)] :		Yes	No
iii)	establishment of mitigation measures [Article 11(2)]	<input type="checkbox"/>	<input checked="" type="checkbox"/>
iv)	provision, upon request, of end use / end user documentation to the exporting State [Article 8(1)]	<input type="checkbox"/>	<input checked="" type="checkbox"/>
v)	requirement for end use / end user assurances from an importing State (or industry) [Article 8(1)]	<input type="checkbox"/>	<input checked="" type="checkbox"/>
vi)	examination, where appropriate, of parties involved in a transfer [Article 11(2)]	<input type="checkbox"/>	<input checked="" type="checkbox"/>
viii)	requirement, where appropriate, for additional documentation, certificates, assurances for a transfer [Article 11(2)]	<input type="checkbox"/>	<input checked="" type="checkbox"/>
ix)	exchange of relevant information with other States Parties on effective measures to address diversion, as well as on illicit activities and actors [Articles 11(5) & 15(4)]	<input type="checkbox"/>	<input checked="" type="checkbox"/>
x)	reporting through the Secretariat to other States Parties on measures taken to address diversion of transferred conventional arms covered under Article 2(1) [Articles 11(6) & 13(2)]	<input type="checkbox"/>	<input checked="" type="checkbox"/>
xi)	other measures [Article 11(1)] (if 'Yes', please specify below)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
The national control system will be expanded beyond SALW to prevent diversion of all conventional arms. However, the existing national control system does not include specific explicit guidance or regulation on these matters; however in many case the issues listed above will be managed on a case by case basis, for example sharing relevant information with other States Parties or requesting additional information. However,			
D. Measures included in the national control system, to be taken when a diversion of transferred conventional arms has been detected [Article 11(4)]		Yes	No
i)	alerting potentially affected States Parties	<input type="checkbox"/>	<input checked="" type="checkbox"/>
ii)	investigative and law enforcement measures at the national level	<input checked="" type="checkbox"/>	<input type="checkbox"/>

iii)	using international tracing mechanisms to identify points of diversion	<input type="checkbox"/>	<input checked="" type="checkbox"/>
iv)	other measures (if 'Yes', please specify below)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
E. Measures taken to prevent or address diversion are applicable also to other categories of conventional arms than those covered in Article 2(1) [Article 5(3)] (if 'Yes', please elaborate below)		Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
Measures taken to prevent or address diversion are applied to ammunition and parts and components for SALW.			
F. Additional voluntary information relevant to the prevention of diversion of conventional arms (please specify below - for instance regarding measures in place to avoid diversion in the context of the international movement of conventional arms referred to in Article 2(3) of the Treaty)			

8. RECORD KEEPING

C. The national control system includes provisions for maintaining records regarding :		Yes	No
i)	imports of conventional arms covered under Article 2(1) of the Treaty into national territory as final destination [Article 12(2)]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
ii)	authorizations for the transit and/or trans-shipment through national territory of conventional arms covered under Article 2(1) of the Treaty [Article 12(2)]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
iii)	authorizations related to the conduct of brokering activities included in the scope of the national control system (for instance relating to a register of brokers) [Article 10]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
D. Records cover other categories of conventional arms than those specified in Article 2(1) (if 'Yes', please elaborate below)		Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
Record-keeping requirements apply also to ammunition and parts and components for SALW.			
E. Additional voluntary information relevant to national record keeping (please specify below - for instance types of information preserved in national records for exports and imports respectively)			
Records are to be kept permanently			

9. REPORTING

B. Additional voluntary information relevant to national reporting (please specify below, for instance if national reports are publicly available - if available on the open internet please provide the relevant hyperlink)

10. ENFORCEMENT

C. National measures have been taken to prevent, in cooperation with other States Parties, the transfer of conventional arms covered under Article 2(1) of the Treaty becoming subject to corrupt practices [Article 15(6)] (please elaborate below)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
D. Additional voluntary information relevant to national enforcement (please elaborate below - for instance measures taken to criminalize offences against national laws and regulations that implement the provisions of the Arms Trade Treaty and to prescribe legal penalties in these cases.)		

Illicit import, export, brokering and trafficking of items covered by the Firearms & Ammunition Control Act constitutes felonies of the first degree under the Penal Law.
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11. INTERNATIONAL COOPERATION

B. Additional voluntary information relevant to participation in international cooperation (please elaborate below - for instance in terms of the measures suggested in Article 15, or in terms of participation in international or regional cooperation in the transfer control area)

12. INTERNATIONAL ASSISTANCE

B. National regulations and policy allow the provision of financial resources to the voluntary trust fund established under Article 16(3) of the Treaty (if 'No', please elaborate below)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
C. Additional voluntary information relevant to the provision- or receipt of implementation assistance (please specify below - for instance regarding assistance provision capacities or assistance needs,)		
Liberia is seeking technical and financial assistance across a range of activities as identified in the 'Assessment report on Liberia's compliance with the ATT' (July 2016)		

13. DISPUTE SETTLEMENT

B. National regulations and policy allow for the settlement of disputes concerning the interpretation or application of the Treaty through recourse by mutual consent to arbitration as outlined in Article 19(2) (if 'No', please elaborate below)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
This requirement will be considered in a comprehensive national control system.		
C. Additional voluntary information relevant to dispute settlement under the terms of the Treaty (please specify below)		