

Arms Trade Treaty  
**First Conference of States Parties**  
Cancun, Mexico, 24-27 August, 2015

## THE ARMS TRADE TREATY

### PROVISIONAL TEMPLATE

#### INITIAL REPORT ON MEASURES UNDERTAKEN TO IMPLEMENT THE ARMS TRADE TREATY, IN ACCORDANCE WITH ARTICLE 13(1)

This provisional template is intended for use by States Parties to the Arms Trade Treaty when preparing their initial report in accordance with the Treaty's Article 13(1).

The template is divided into two sections. Section A encompasses information which relates to binding obligations under the Treaty. Section B (shaded) contains information which relates to provisions in the Treaty which are estimated to be binding to a lesser degree, or non-binding.

The division of provisions into binding and non-binding has been undertaken - solely for the purpose of this Template - on the basis of a strict observance of the qualifiers included in the text of the Treaty. Thus,

- if a provision in the text is prefaced with "shall" only, it is considered binding and information on that topic should be provided in the initial report.
- if a provision in the text has qualifiers, such as "shall...subject to its national laws", or "shall...pursuant to national law", or "shall...consistent with national law", or "shall...where necessary/appropriate", then a binding obligation is deemed to exist unless certain conditions are fulfilled - and information should be provided at least to indicate whether the obligation is considered applicable or not in the specific national context.
- if States Parties are only encouraged to take, or invited to consider taking, certain actions, the provision is deemed non-binding and information on the topic is voluntary. This category also includes qualifiers such as "may include..." or actions to be initiated "by mutual consent" with another State Party.

In Section B, States Parties are obliged according to Article 13(1) to provide information on the listed measures to the extent that such measures have been undertaken to implement the Treaty. On a voluntary basis, more information may also be provided.

## GOVERNMENT OF SAMOA

INITIAL REPORT ON MEASURES UNDERTAKEN TO IMPLEMENT THE ARMS  
TRADE TREATY, IN ACCORDANCE WITH ITS ARTICLE 13(1)

This Initial Report may be made publicly available	✓ Yes <input type="checkbox"/>	No <input type="checkbox"/>
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SECTION A.  
INFORMATION RELATED TO BINDING OBLIGATIONS IN THE TREATY

## 1. NATIONAL CONTROL SYSTEM AND LIST

<b>A. Overview of legislation and ordinances governing the national control system</b> [Article 5(2)] (please list below. If the national control system is governed in whole or part by other means than legislation or ordinances, please indicate these also)		
i. <i>Arms Ordinance 1960</i>		
ii. <i>Conventional Arms Bill 2015 (which implements the Arms Trade Treaty)</i>		
<b>B. The national control system includes the following:</b>	Yes	No
i) competent national authorities (further specified below) [Article 5(5)]	✓ <input type="checkbox"/>	<input type="checkbox"/>
ii) a control list [Article 5(2)]	✓ <input type="checkbox"/>	<input type="checkbox"/>
iii) one or more national points of contact to exchange information on ATT implementation [Article 5(6)]	✓ <input type="checkbox"/>	<input type="checkbox"/>
If 'No' to any of the items above, please provide background below.		
<b>C. The national point(s) of contact has (have) been notified to the Secretariat of the Treaty</b> [Article 5(6)] (if 'No', please clarify below)	Yes <input type="checkbox"/>	No <input type="checkbox"/>
MINISTRY OF FOREIGN AFFAIRS AND TRADE		
<b>D. The national control list covers the following:</b>	Yes	No
i) Battle tanks [Article 2(1a)]	✓ <input type="checkbox"/>	<input type="checkbox"/>
ii) Armored combat vehicles [Article 2(1b)]	✓ <input type="checkbox"/>	<input type="checkbox"/>
iii) Large-caliber artillery systems [Article 2(1c)]	✓ <input type="checkbox"/>	<input type="checkbox"/>
iv) Combat aircraft [Article 2(1d)]	✓ <input type="checkbox"/>	<input type="checkbox"/>
v) Attack helicopters [Article 2(1e)]	✓ <input type="checkbox"/>	<input type="checkbox"/>
vi) Warships [Article 2(1f)]	✓ <input type="checkbox"/>	<input type="checkbox"/>
vii) Missiles and missile launchers [Article 2(1g)]	✓ <input type="checkbox"/>	<input type="checkbox"/>
viii) Small arms and light weapons [Article 2(1h)]	✓ <input type="checkbox"/>	<input type="checkbox"/>
<b>The national control list also includes the following, in order to enable the application of Articles 3 and 4:</b>	Yes	No
ix) Ammunition/Munitions for the conventional arms covered in Article 2(1)	✓ <input type="checkbox"/>	<input type="checkbox"/>

	[for the application of Article 3]		
x)	Parts and components in a form that provides the capability to assemble the conventional arms covered in Article 2(1) [for the application of Article 4]	✓ <input type="checkbox"/>	<input type="checkbox"/>
If 'No' to any of the items above, please provide background below			
<b>E. The national control list has been provided to the Secretariat of the Treaty [Article 5.4]</b> (if 'No', please elaborate further below)		Yes <input type="checkbox"/>	✓ No <input type="checkbox"/>
<i>The national control list for Samoa covers the list mentioned above in Section D</i>			

## 2. PROHIBITIONS

<b>A. The national control system prohibits authorization of transfers as defined by Article 2(2), of conventional arms covered under Article 2(1) and items covered under Articles 3 and 4, in the circumstances specified in Articles 6(1) to 6(3)</b> (if 'No' in any respect, please elaborate below)	Yes <input type="checkbox"/>	No <input type="checkbox"/>
	✓	
<b>B. International agreements to which the country is a Party, and which are considered relevant for the application of Article 6(2)</b> (please list below)		
i. <i>Hague Code of Conduct Against Ballistic Missile Proliferation 2002</i> ii. <i>Convention on Cluster Munitions 2008</i>		
<b>C. International agreements to which the country is a Party, and which are considered relevant for the application of Article 6(3)</b> (please list below)		
i. <i>Red Cross Conventions on Protection of War Victims, 1949</i> ii. <i>Geneva Convention for Amelioration of the Condition of the Wounded, Sick and Shipwrecked Members of the Armed Forces at Sea, 1949</i> iii. <i>Geneva Convention Relative to the Treatment of Prisoners of War, 1949</i> iv. <i>Geneva Convention Relative to the Protection of Civilian Persons in Time of War, 1949</i>		

## 3. EXPORTS

<b>A. The national control system includes the following:</b>		Yes	No
i)	an authorization or licensing system for arms exports [Article 5(2)]	<input type="checkbox"/>	✓ <input type="checkbox"/>
ii)	prohibition assessment guidelines [Article 6]	<input type="checkbox"/>	✓ <input type="checkbox"/>
iii)	export assessment criteria [Article 7]	<input type="checkbox"/>	✓ <input type="checkbox"/>
iv)	a risk assessment procedure [Article 7]	<input type="checkbox"/>	✓ <input type="checkbox"/>
If 'No' to any of the items above, please provide background below			
<i>Arms export is prohibited (except for the purposes of transshipment), pursuant to clause 5 of the Arms Bill, thus there is no system for authorization or licensing.</i>			
<b>B. National export controls apply to the conventional arms covered under Article 2(1), and the items covered under Articles 3 and 4</b> (if 'No', please provide further information below)		Yes <input type="checkbox"/>	No <input type="checkbox"/>
		✓	

<b>C. The national control system includes measures to ensure that all export authorizations are detailed and issued prior to export [Article 7(5)]</b> (if 'No', please provide further information below)	Yes <input type="checkbox"/>  ✓	No <input type="checkbox"/>
<b>D. The national risk assessment procedure includes all the criteria described in Article 7(1)(a) and (b), and Article 7(4)</b> (if 'No' in any respect, please elaborate below)	Yes <input type="checkbox"/>  ✓	No <input type="checkbox"/>
<b>E. The competent national authority(-ies) for the control of exports [Article 5(5)]</b> (please specify below)		
<i>The Ministry of Foreign Affairs and Trade is the main competent Authority, however we have other stakeholders that are involved in the process such as the following:</i> <ul style="list-style-type: none"> <li>- Ministry for Revenue (Customs Division)</li> <li>- Airport Authority</li> <li>- Samoa Ports Authority</li> <li>- Ministry of Works Transport and Infrastructure</li> <li>- Ministry of Police</li> <li>- Ministry of the Prime Minister and Cabinet</li> </ul>		
<b>F. The national control system allows appropriate information about an export authorization to be made available, upon request, to the importing State Party and/or to the transit or trans-shipment States Parties [Article 7(6)]</b> (if 'No' please elaborate below)	Yes <input type="checkbox"/>  ✓	No <input type="checkbox"/>
<i>This is not reflected in the Conventional Arms Bill but can be prescribed by regulations pursuant to clause 4(2)(c).</i>		

#### 4. IMPORTS

<b>A. The national control system includes measures that allow the regulation, where necessary, of imports of conventional arms covered under Article 2(1). [Articles 6(1) to 6(3) and Article 8(2)]</b> (if 'Yes', please provide further information below on the nature of control measures and confirm whether they apply to all items in the national control list. If 'No', please elaborate below)	Yes <input type="checkbox"/>  ✓	No <input type="checkbox"/>
<i>Restrictions on imports of conventional arms – only for the purpose of transshipment and transit (as per clause 7(2)(a)(i) of the Arms Bill) and it applies to all items in Articles 2, 3 and 4.</i>		
If 'Yes', please also answer the following question		
<b>B. The competent national authority(-ies) for the regulation of imports [Article 5(5)]</b> (please specify below)		
<i>Same as 3E.</i>		
<b>C. The national control system allows for the provision, pursuant to national law and upon request, of appropriate and relevant information to assist an export assessment by a potential exporting State Party [Article 8(1)]</b> (if 'No', please elaborate below)	Yes <input type="checkbox"/>	No <input type="checkbox"/>  ✓
<i>There is room for this provision to be included in our national control system under clause 4(2)(c)</i>		

#### 5. TRANSIT & TRANS-SHIPMENT

<b>A. The national control system includes measures that allow the regulation, where necessary and feasible, of transit of conventional arms covered under Article 2(1) [Articles 6(1) to 6(3) and Article 9]</b> (if 'Yes', please provide further information below on the nature of control measures and confirm whether they apply to all items in the national control list. If	Yes <input type="checkbox"/>  ✓	No <input type="checkbox"/>
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‘No’, please elaborate below)			
<i>Control measures include imposing restrictions on granting of permits that are subject to conditions (i.e. for transit – the items must remain on board the vessel for the entire period that they pass through the International waters of Samoa), clause 6(2)(a)(i), (b) and (c).</i>			
<b>B. The national control system includes measures that allow the regulation, where necessary and feasible, of trans-shipment of conventional arms covered under Article 2(1)</b> [Articles 6(1) to 6(3) and Article 9] (if ‘Yes’, please provide further information below on the nature of control measures and confirm whether they apply to all items in the national control list. If ‘No’, please elaborate below)		Yes <input type="checkbox"/> ✓	No <input type="checkbox"/>
<i>The control measures include imposing restrictions on granting of permits that are subject to conditions (ie. Transshipment – they are transshipped onto another ship or aircraft at a port of entry or airport in Samoa but to be exported after 30 days from the date of importation) clause 6(2)(a)(ii), (b) and (c).</i>			
<b>C. Control measures for the regulation of transit and/or trans-shipment cover :</b> (If ‘Yes’ to (ii) or (iii), please indicate in the free text field how enforcement is conceived - systematic control or only when information is available?)		Yes	No
i)	Transit / trans-shipment through land territory (including internal waters)	✓ <input type="checkbox"/>	<input type="checkbox"/>
ii)	Transit / trans-shipment through territorial waters	✓ <input type="checkbox"/>	<input type="checkbox"/>
iii)	Transit / trans-shipment through national air space	✓ <input type="checkbox"/>	<input type="checkbox"/>
<i>Control systems are in place for the responsible Competent Authority and relevant parties involved as mentioned above.</i>			
<b>D. The competent national authority(-ies) for the regulation of transit and trans-shipment</b> [Article 5(5)] (please specify below)			
Same as 3E			

## 6. BROKERING

<b>A. The national control system includes measures that allow the regulation, pursuant to national laws, of brokering of conventional arms covered under Article 2(1)</b> [Articles 6(1) to 6(3) and Article 10] (if ‘Yes’, please provide further information below on the nature of control measures and confirm that they apply to all items in the national control list. If ‘No’, please elaborate below)		Yes <input type="checkbox"/>	No <input type="checkbox"/> ✓
<b>B. The definition of brokering used in national legislation</b> [Articles 6(1) to 6(3) and Article 10] (please specify in particular if there are extraterritorial elements in the definition, for instance the activities of nationals resident abroad, or transfers that take place between two third countries)			
N/A			
<b>C. The competent national authority(-ies) for the regulation of brokering</b> [Article 5(5)] (please specify below)			
N/A			

## 7. DIVERSION

<b>A. Measures foreseen in the national control system to prevent the diversion of conventional arms covered by Article 2(1)</b> [Article 11(1)]		Yes	No
i)	assessing the risk of diversion of an export [Article 11(2)]	<input type="checkbox"/>	✓ <input type="checkbox"/>

	(if 'No', please provide background below)		
Samoa does not have the resources to implement (Diversion) ; however it is a policy matter that is still being considered before finalizing the Bill.			
ii)	cooperation and information exchange, where appropriate and feasible and pursuant to national law, with other States Parties [Article 11(3)] (if 'No', please provide background below)	<input checked="" type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/>
<b>B. The national control system includes appropriate measures to be taken, pursuant to national law and in accordance with international law, when a diversion of transferred conventional arms under Article 2(1) has been detected</b> [Article 11(4)] (if 'No', please elaborate below)			
		<input type="checkbox"/>	<input checked="" type="checkbox"/> <input type="checkbox"/>

## 8. RECORD KEEPING

<b>A. The national control system includes provisions for maintaining records regarding:</b> [Article 12(1)] (mandatory to retain records for one of the two options below)		Yes	No
i)	issued authorizations for the export of conventional arms covered under Article 2(1) of the Treaty [Article 12(1)]	<input checked="" type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/>
ii)	actual exports of conventional arms covered under Article 2(1) of the Treaty [Article 12(1)] (if 'No' to both (i) and (ii), please elaborate below)	<input checked="" type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/>
<i>Regulations may be made by the Authority for the import and export of Conventional Arms which include record keeping of the same.</i>			
<b>B. Records are kept for a minimum of 10 years</b> [Article 12(4)] (if 'No', please elaborate below)		Yes <input type="checkbox"/>	No <input type="checkbox"/>
<i>The Conventional Arms Bill does not reflect the minimum year – but can be amended to reflect this.</i>			

## 9. REPORTING

<b>A. The national control system allows for the provision of information as required by Article 13(3)</b> (if 'No', please elaborate below)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/>	No <input type="checkbox"/>
MINISTRY OF FOREIGN AFFAIRS AND TRADE		

## 10. ENFORCEMENT

<b>A. Measures are in place that provide the ability to enforce of the national laws and regulations that implement the provisions of the Arms Trade Treaty</b> [Article 14] (if 'No', please elaborate below)	Yes <input type="checkbox"/> <input checked="" type="checkbox"/>	No <input type="checkbox"/>
<b>B. National legislation allows the provision to another State Party of jointly agreed assistance in investigations, prosecutions and judicial proceedings in relation to violations of national measures established pursuant to this Treaty</b> [Article 15(5)] (if 'No', please elaborate below)	Yes <input type="checkbox"/> <input checked="" type="checkbox"/>	No <input type="checkbox"/>

**11. INTERNATIONAL COOPERATION**

<p><b>A. Cooperation is possible with other States Parties of the Treaty with a view to its effective implementation, where such cooperation is consistent with national law and security interests</b>  <b>[Article 15(1)]</b>          (if 'No', please elaborate below)</p>	✓ Yes <input type="checkbox"/>	No <input type="checkbox"/>

**12. INTERNATIONAL ASSISTANCE**

<p><b>A. National regulations and policy allow for the provision - upon request and if in a position to do so - of implementation assistance as set out in Article 16(1)</b>          (if 'No', please elaborate below)</p>	✓ Yes <input type="checkbox"/>	No <input type="checkbox"/>

**13. DISPUTE SETTLEMENT**

<p><b>A. National regulations and policy allow for consultations and, by mutual consent, cooperation in the settlement of disputes regarding the interpretation or application of the Treaty by at least one of the means outlined in Article 19(1)</b>          (if 'No', please elaborate below)</p>	✓ Yes <input type="checkbox"/>	No <input type="checkbox"/>

**SECTION B.**  
**INFORMATION RELATED TO NON-BINDING PROVISIONS IN THE TREATY**

States Parties are obliged according to Article 13(1) to provide information on the measures listed below, to the extent that such measures have been undertaken to implement the Treaty. On a voluntary basis, more information may also be provided

**1. NATIONAL CONTROL SYSTEM AND LIST**

<b>F. Arms for recreational, cultural, historical and sporting purposes are included in the national control list [Article 2(1)(h) &amp; Preamble, 13<sup>th</sup> para]</b>	✓ Yes <input type="checkbox"/>	No <input type="checkbox"/>
If 'No', please provide further information below, for instance whether a separate set of controls are applied to these types of arms		
<b>G. Additional categories not listed under Section A1.D are included in the national control list [Article 5(3)] (If 'Yes', please specify below)</b>	Yes <input type="checkbox"/>	✓ No <input type="checkbox"/>
<b>H. Control list definitions are supplemented by more detailed definitions not in the control list itself [Article 5(3)] (If 'Yes', please provide further information below)</b>	Yes <input type="checkbox"/>	✓ No <input type="checkbox"/>
<b>I. The national control list is publicly available [Article 5(4)] (if 'Yes', please provide information below as to how your control list is made publicly available - if available on the open internet please provide the hyperlink)</b>	✓ Yes <input type="checkbox"/>	No <input type="checkbox"/>
<b>J. Additional voluntary information about non-obligatory aspects of a national control system</b> (please elaborate below - for instance regarding inter-agency coordination structures, training systems for relevant officials, transparency and accountability mechanisms, outreach to private actors such as industry, or any ongoing / planned review or change of the national control system or parts thereof)		
<i>The Ministry of Police under its Arms Division now has a complete Firearms Database which has total control for all firearm registrations and permit issuance. The Arms Division has a strict policy and screening process for the issuance of permits to applicants to ensure that they adhere to all safety measures and the law. As part of the screening process, applicants are also required to sit an exam as well as participate in a training exercise. The Ministry of Police also has outreach and awareness programmes on national media outlet for this process. In January 2016 the Ministry of Police held a Firearms Amnesty which collected 322 illegal firearms which have all been destroyed and witnessed by the public.</i>		

**2. PROHIBITIONS**

<b>D. Additional voluntary information relevant to prohibitions under Article 6</b> (please elaborate below)

**3. EXPORTS**

<b>G. The national risk assessment procedure includes the consideration of risk mitigation measures that could be undertaken to mitigate identified risks [Article 7(2)] (if 'Yes' please elaborate below, including an indication of types of risk)</b>	Yes <input type="checkbox"/>	✓ No <input type="checkbox"/>
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mitigation measures that are most often used)		
<b>H. The national control system allows exports of controlled equipment without a licence or under simplified procedure under certain circumstances [for instance temporary exports or exports to trusted partners]</b> (if 'Yes' please provide further information below)	✓ Yes <input type="checkbox"/>	No <input type="checkbox"/>
<i>The Ministry of Police under the Arms Division has the authority to export a firearm to the sending state if the necessary permits have not been applied or the permit details do not match the firearm received.</i>		
<b>I. The national risk assessment procedure includes other criteria <u>not</u> mentioned in the articles cited in 3.D above</b> (if 'Yes' please specify below)	Yes <input type="checkbox"/>	✓ No <input type="checkbox"/>
<b>J. Measures to exercise control over exports are applicable also to other categories of conventional arms than those covered in Articles 2(1), 3 and 4 [Article 5(3)]</b> (if 'Yes', please elaborate below)	Yes <input type="checkbox"/>	✓ No <input type="checkbox"/>
<b>K. An export authorization can be reassessed if new relevant information becomes available [Art 7(7)]</b> (if 'Yes', please provide further information below. Are there also legal provisions for suspension or withdrawal of a license?)	✓ Yes <input type="checkbox"/>	No <input type="checkbox"/>
<i>This can be assessed by the National authority and relevant departments as necessary.</i>		
<b>L. Information / documentation included in an application for an export authorization</b> (please specify below)		
<i>The Ministry of Police issues an authorization letter to allow for export of firearm.</i>		
<b>M. Apart from the competent national authority, the following ministries or government authorities may be involved in the decision-making process for an export authorization [Article 5(5)]</b> (please specify below)		
<i>Ministry of Police, Ministry for Revenue, Airport Authority, Ministry of Works, Transport and Infrastructure.</i>		
<b>N. The national control system allows a State of final destination to request information concerning pending or actual export authorizations pertaining to it [Article 8(3)]</b> (if 'No', please elaborate below)	✓ Yes <input type="checkbox"/>	No <input type="checkbox"/>
<b>O. Additional voluntary information relevant to national export controls</b> (please specify below - for instance on the control of re-exports.)		

#### 4. IMPORTS

<b>D. Imports of conventional arms subject to control are under specific circumstances permitted without regulation or under simplified procedure</b> (if 'Yes' please provide further information below)	✓ Yes <input type="checkbox"/>	No <input type="checkbox"/>
<i>A firearms permit will have to be applied before importation and ensure the Ministry of Police is satisfied with the application before issuance of permit.</i>		
<b>E. Measures to regulate imports are applicable also to other categories of conventional arms than those covered in Article 2(1) [Article 5(3)]</b> (if 'Yes', please elaborate below)	✓ Yes <input type="checkbox"/>	No <input type="checkbox"/>

This can be assessed by the National authority and relevant department as necessary.
<b>F. Apart from the competent national authority, the following ministries or government authorities may be involved in the decision-making process for an import authorization (when such an authorization is required)</b> [Article 5(5)] (please specify below)
<i>Ministry for Revenue, Airport Authority, Samoa Ports Authority, Ministry of Works, Transport and Infrastructure, Ministry of Police and Ministry of the Prime Minister and Cabinet.</i>
<b>G. Information / documentation required for an import authorization</b> (please specify below)
<i>The applicant is required to complete an import permit form from the Ministry of Police. They also should have received authorized export permit from sending state to be submitted together with their application for an import permit.</i>
<b>H. Additional voluntary information relevant to national import controls</b> (please specify below)

## 5. TRANSIT & TRANS-SHIPMENT

<b>E. Transit / trans-shipment of controlled equipment is permitted without regulation or under simplified procedure under certain circumstances (for instance in a free trade area)</b> (if 'Yes' please provide further information below)	Yes <input type="checkbox"/>	✓ No <input type="checkbox"/>
<b>F. National control of transit and/or trans-shipment goes beyond the fulfillment of obligations under Article 6 of the Treaty</b> (if 'Yes', please specify the additional scope of control and indicate whether the additional control applies to all items in the national control list)	Yes <input type="checkbox"/>	✓ No <input type="checkbox"/>
<b>G. Measures to regulate transit and/or trans-shipment are applicable also to other categories of conventional arms than those covered in Article 2(1)</b> [Article 5(3)] (if 'Yes', please elaborate below)	✓ Yes <input type="checkbox"/>	No <input type="checkbox"/>
<i>Control systems are in place for the responsible competent authorities and relevant parties to be carried out as necessary.</i>		
<b>H. Apart from the competent national authority, the following ministries or government authorities may be involved in the decision-making process for a transit or trans-shipment authorization (when such an authorization is required)</b> [Article 5(5)] (please specify below)		
<i>Ministry for Revenue, Airport Authority, Samoa Ports Authority, Ministry of Works, Transport and Infrastructure, Ministry of Police and Ministry of the Prime Minister and Cabinet.</i>		
<b>I. Information / documentation required in an application for a transit / trans-shipment authorization</b> (please specify below)		
<b>J. Additional voluntary information relevant to national transit / trans-shipment controls</b> (please specify below)		

## 6. BROKERING

<b>D. National brokering controls contain exemptions (for instance for national armed forces or defence industry)</b> [Articles 6 & 10] (if 'Yes', please provide further information below)	Yes <input type="checkbox"/>	✓ No <input type="checkbox"/>
<b>E. National brokering controls go beyond the fulfillment of obligations under Article 6 of the Treaty (for instance regulating brokering in other situations)</b> (if 'Yes', please specify the additional scope of control)	Yes <input type="checkbox"/>	✓ No <input type="checkbox"/>
<b>F. Measures to regulate brokering are applicable also to other categories of conventional arms than those covered in Article 2(1)</b> [Article 5(3)] (if 'Yes', please elaborate below)	Yes <input type="checkbox"/>	✓ No <input type="checkbox"/>
<b>G. Apart from the competent national authority, the following ministries or government authorities may be involved in the decision-making process for a control measure related to brokering</b> [Article 5(5)] (please specify below)		
N/A		
<b>H. Information / documentation required in an application related to brokering</b> (please elaborate below)		
N/A		
<b>I. Additional voluntary information relevant to national brokering controls</b> (please specify below)		
N/A		

## 7. DIVERSION

<b>C. Measures included in the national control system to prevent the diversion of conventional arms covered by Article 2(1)</b> [Article 11(1)] :		Yes	No
iii)	establishment of mitigation measures [Article 11(2)]	✓ <input type="checkbox"/>	<input type="checkbox"/>
iv)	provision, upon request, of end use / end user documentation to the exporting State [Article 8(1)]	✓ <input type="checkbox"/>	<input type="checkbox"/>
v)	requirement for end use / end user assurances from an importing State (or industry) [Article 8(1)]	✓ <input type="checkbox"/>	<input type="checkbox"/>
vi)	examination, where appropriate, of parties involved in a transfer [Article 11(2)]	✓ <input type="checkbox"/>	<input type="checkbox"/>
viii)	requirement, where appropriate, for additional documentation, certificates, assurances for a transfer [Article 11(2)]	✓ <input type="checkbox"/>	<input type="checkbox"/>
ix)	exchange of relevant information with other States Parties on effective measures to address diversion, as well as on illicit activities and actors [Articles 11(5) & 15(4)]	✓ <input type="checkbox"/>	<input type="checkbox"/>
x)	reporting through the Secretariat to other States Parties on measures taken to address diversion of transferred conventional arms covered under Article 2(1) [Articles 11(6) & 13(2)]	✓ <input type="checkbox"/>	<input type="checkbox"/>
xi)	other measures [Article 11(1)] (if 'Yes', please specify below)	<input type="checkbox"/>	✓ <input type="checkbox"/>

<b>D. Measures included in the national control system, to be taken when a diversion of transferred conventional arms has been detected [Article 11(4)]</b>		Yes	No
i)	alerting potentially affected States Parties	✓ <input type="checkbox"/>	<input type="checkbox"/>
ii)	investigative and law enforcement measures at the national level	✓ <input type="checkbox"/>	<input type="checkbox"/>
iii)	using international tracing mechanisms to identify points of diversion	✓ <input type="checkbox"/>	<input type="checkbox"/>
iv)	other measures (if 'Yes', please specify below)	<input type="checkbox"/>	✓ <input type="checkbox"/>
<b>E. Measures taken to prevent or address diversion are applicable also to other categories of conventional arms than those covered in Article 2(1) [Article 5(3)] (if 'Yes', please elaborate below)</b>		✓ Yes <input type="checkbox"/>	No <input type="checkbox"/>
<i>Control systems are in place for the responsible competent authorities and relevant parties to be carried out as necessary.</i>			
<b>F. Additional voluntary information relevant to the prevention of diversion of conventional arms</b> (please specify below - for instance regarding measures in place to avoid diversion in the context of the international movement of conventional arms referred to in Article 2(3) of the Treaty)			

## 8. RECORD KEEPING

<b>C. The national control system includes provisions for maintaining records regarding :</b>		Yes	No
i)	imports of conventional arms covered under Article 2(1) of the Treaty into national territory as final destination [Article 12(2)]	✓ <input type="checkbox"/>	<input type="checkbox"/>
ii)	authorizations for the transit and/or trans-shipment through national territory of conventional arms covered under Article 2(1) of the Treaty [Article 12(2)]	✓ <input type="checkbox"/>	<input type="checkbox"/>
iii)	authorizations related to the conduct of brokering activities included in the scope of the national control system (for instance relating to a register of brokers) [Article 10]	✓ <input type="checkbox"/>	<input type="checkbox"/>
<b>D. Records cover other categories of conventional arms than those specified in Article 2(1) (if 'Yes', please elaborate below)</b>		Yes <input type="checkbox"/>	✓ No <input type="checkbox"/>
<b>E. Additional voluntary information relevant to national record keeping</b> (please specify below - for instance types of information preserved in national records for exports and imports respectively)			

## 9. REPORTING

<b>B. Additional voluntary information relevant to national reporting</b> (please specify below, for instance if national reports are publicly available - if available on the open internet please provide the relevant hyperlink)

## 10. ENFORCEMENT

<b>C. National measures have been taken to prevent, in cooperation with other States Parties, the transfer of conventional arms covered under Article 2(1) of the Treaty becoming subject to corrupt practices [Article 15(6)]</b> (please elaborate below)	✓ Yes <input type="checkbox"/>	No <input type="checkbox"/>
<i>Ministry of Police was able to host its third successful amnesty in January 2016 which allowed for a number of illegal firearms to be collected and destroyed. This has been one of the most successful and effective programmes by the Ministry of Police to collect illegal and unregistered firearms. The Ministry of Police also has community awareness programmes to inform people on arms and law enforcement.</i>		
<b>D. Additional voluntary information relevant to national enforcement</b> (please elaborate below - for instance measures taken to criminalize offences against national laws and regulations that implement the provisions of the Arms Trade Treaty and to prescribe legal penalties in these cases.)		
<i>National laws and regulations are already in place to prosecute those in possession of illegal firearms or do not hold valid permits.</i>		

**11. INTERNATIONAL COOPERATION**

<b>B. Additional voluntary information relevant to participation in international cooperation</b> (please elaborate below - for instance in terms of the measures suggested in Article 15, or in terms of participation in international or regional cooperation in the transfer control area)

**12. INTERNATIONAL ASSISTANCE**

<b>B. National regulations and policy allow the provision of financial resources to the voluntary trust fund established under Article 16(3) of the Treaty</b> (if 'No', please elaborate below)	✓ Yes <input type="checkbox"/>	No <input type="checkbox"/>
<b>C. Additional voluntary information relevant to the provision- or receipt of implementation assistance</b> (please specify below - for instance regarding assistance provision capacities or assistance needs,)		

**13. DISPUTE SETTLEMENT**

<b>B. National regulations and policy allow for the settlement of disputes concerning the interpretation or application of the Treaty through recourse by mutual consent to arbitration as outlined in Article 19(2)</b> (if 'No', please elaborate below)	✓ Yes <input type="checkbox"/>	No <input type="checkbox"/>
<b>C. Additional voluntary information relevant to dispute settlement under the terms of the Treaty</b> (please specify below)		