
Arms Trade Treaty
First Conference of States Parties
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THE ARMS TRADE TREATY

PROVISIONAL TEMPLATE

INITIAL REPORT ON MEASURES UNDERTAKEN TO IMPLEMENT THE ARMS TRADE TREATY, IN ACCORDANCE WITH ARTICLE 13(1)

This provisional template is intended for use by States Parties to the Arms Trade Treaty when preparing their initial report in accordance with the Treaty's Article 13(1).

The template is divided into two sections. Section A encompasses information which relates to binding obligations under the Treaty. Section B (shaded) contains information which relates to provisions in the Treaty which are estimated to be binding to a lesser degree, or non-binding.

The division of provisions into binding and non-binding has been undertaken - solely for the purpose of this Template - on the basis of a strict observance of the qualifiers included in the text of the Treaty. Thus,

- if a provision in the text is prefaced with “shall” only, it is considered binding and information on that topic should be provided in the initial report.
- if a provision in the text has qualifiers, such as “shall...subject to its national laws”, or “shall...pursuant to national law”, or “shall...consistent with national law”, or “shall...where necessary/appropriate”, then a binding obligation is deemed to exist unless certain conditions are fulfilled - and information should be provided at least to indicate whether the obligation is considered applicable or not in the specific national context.
- if States Parties are only encouraged to take, or invited to consider taking, certain actions, the provision is deemed non-binding and information on the topic is voluntary. This category also includes qualifiers such as “may include...” or actions to be initiated “by mutual consent” with another State Party.

In Section B, States Parties are obliged according to Article 13(1) to provide information on the listed measures to the extent that such measures have been undertaken to implement the Treaty. On a voluntary basis, more information may also be provided.

GOVERNMENT OF DOMINICAN REPUBLIC

**INITIAL REPORT ON MEASURES UNDERTAKEN TO IMPLEMENT THE ARMS
TRADE TREATY, IN ACCORDANCE WITH ITS ARTICLE 13(1)**

This Initial Report may be made publicly available	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
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SECTION A.

INFORMATION RELATED TO BINDING OBLIGATIONS IN THE TREATY

1. NATIONAL CONTROL SYSTEM AND LIST

A. Overview of legislation and ordinances governing the national control system [Article 5(2)] (please list below. If the national control system is governed in whole or part by other means than legislation or ordinances, please indicate these also)		
B. The national control system includes the following:	Yes	No
i) competent national authorities (further specified below) [Article 5(5)]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
ii) a control list [Article 5(2)]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
iii) one or more national points of contact to exchange information on ATT implementation [Article 5(6)]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
If 'No' to any of the items above, please provide background below.		
C. The national point(s) of contact has (have) been notified to the Secretariat of the Treaty [Article 5(6)] (if 'No', please clarify below)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
REITERATING INFORMATION THE CONTACT POINT IS THE MINISTRY OF INTERIOR AND POLICE (MIP).		
D. The national control list covers the following:	Yes	No
i) Battle tanks [Article 2(1a)]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
ii) Armored combat vehicles [Article 2(1b)]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
iii) Large-caliber artillery systems [Article 2(1c)]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
iv) Combat aircraft [Article 2(1d)]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
v) Attack helicopters [Article 2(1e)]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
vi) Warships [Article 2(1f)]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
vii) Missiles and missile launchers [Article 2(1g)]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
viii) Small arms and light weapons [Article 2(1h)]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
The national control list also includes the following, in order to enable the application of Articles 3 and 4:	Yes	No
ix) Ammunition/Munitions for the conventional arms covered in Article 2(1) [for the application of Article 3]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
x) Parts and components in a form that provides the capability to assemble the conventional arms covered in Article 2(1) (for the application of Article 4)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
If 'No' to any of the items above, please provide background below		

E. The national control list has been provided to the Secretariat of the Treaty [Article 5.4] (if 'No', please elaborate further below)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
REITERATING THE INFORMATION, IN THE DOMINICAN REPUBLIC ARE, BY DEFAULT, CONTROLLED ALL ITEMS RELATED TO TRADE IN FIREARMS, PARTS, AMMUNITIONS, ETC., AND EXPRESSLY REQUIRE AUTHORIZATION, ASI APPLICABLE IN EACH CASE.		

2. PROHIBITIONS

A. The national control system prohibits authorization of transfers as defined by Article 2(2), of conventional arms covered under Article 2(1) and items covered under Articles 3 and 4, in the circumstances specified in Articles 6(1) to 6(3) (if 'No' in any respect, please elaborate below)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
B. International agreements to which the country is a Party, and which are considered relevant for the application of Article 6(2) (please list below)		
International human rights conventions, the Programme of Action of the United Nations to Prevent, Combat and Eradicate the Illicit Trade in Small Arms and Light Weapons in All Its Aspects, the Protocol against the Illicit Manufacturing of and trafficking in firearms, their parts and components and ammunition Convention against Transnational Organized Crime, among others. This list is indicative and not exhaustive.		
C. International agreements to which the country is a Party, and which are considered relevant for the application of Article 6(3). (please list below)		
International human rights conventions, United Nations Convention against Transnational Organized Crime, the Convention on Certain Conventional Weapons, Res 1540/2004, Resolution No.68 / 55-Measures for Confidence in the regional and sub regional context, Declaration Geneva on Armed Violence and Development, among others. This list is indicative and not exhaustive.		

3. EXPORTS

A. The national control system includes the following:	Yes	No
i) an authorization or licensing system for arms exports [Article 5(2)]	<input type="checkbox"/>	X
ii) prohibition assessment guidelines [Article 6]	<input type="checkbox"/>	X
iii) export assessment criteria [Article 7]	<input type="checkbox"/>	X
iv) a risk assessment procedure [Article 7]	<input type="checkbox"/>	X
If 'No' to any of the items above, please provide background below		
NOT APPLICABLE - DOMINICAN REPUBLIC DOES NOT MANUFACTURE NOR EXPORT FIREARMS, PARTS OR AMMUNITIONS.		
B. National export controls apply to the conventional arms covered under Article 2(1), and the items covered under Articles 3 and 4 (if 'No', please provide further information below)	Yes <input type="checkbox"/>	X
NOT APPLICABLE - DOMINICAN REPUBLIC DOES NOT MANUFACTURE NOR EXPORT FIREARMS, PARTS OR AMMUNITIONS.		
C. The national control system includes measures to ensure that all export authorizations are detailed and issued prior to export [Article 7(5)] (if 'No', please provide further information below)	Yes <input type="checkbox"/>	X
NOT APPLICABLE - DOMINICAN REPUBLIC DOES NOT MANUFACTURE NOR EXPORT FIREARMS, PARTS OR AMMUNITIONS.		
D. The national risk assessment procedure includes all the criteria described in Article 7(1)(a) and (b), and Article 7(4) (if 'No' in any respect, please elaborate below)	Yes <input type="checkbox"/>	X

NOT APPLICABLE - DOMINICAN REPUBLIC DOES NOT MANUFACTURE NOR EXPORT FIREARMS, PARTS OR AMMUNITIONS.		
E. The competent national authority(-ies) for the control of exports [Article 5(5)] (please specify below)		
NOT APPLICABLE - DOMINICAN REPUBLIC DOES NOT MANUFACTURE NOR EXPORT FIREARMS, PARTS OR AMMUNITIONS		
F. The national control system allows appropriate information about an export authorization to be made available, upon request, to the importing State Party and/or to the transit or trans-shipment States Parties [Article 7(6)] (if 'No' please elaborate below)	Yes <input type="checkbox"/>	X
NOT APPLICABLE - DOMINICAN REPUBLIC DOES NOT MANUFACTURE NOR EXPORT FIREARMS, PARTS OR AMMUNITIONS.		

4. IMPORTS

A. The national control system includes measures that allow the regulation, where necessary, of imports of conventional arms covered under Article 2(1). [Articles 6(1) to 6(3) and Article 8(2)] (if 'Yes', please provide further information below on the nature of control measures, if 'No', please elaborate below)	Yes X	No <input type="checkbox"/>
In the D.R. is prohibited to imports firearms, parts and ammunition for trade with individuals. However if an import not contravene this prohibition, the interested present a solicitude or permission request, with supporting documents, the request is referred to a joint committee chaired by the Minister of Interior and Police (the other member is the Ministry Defense). If 'Yes', please also answer the following question		
B. The competent national authority(-ies) for the regulation of imports [Article 5(5)] (please specify below)		
The competent authority and is the Ministry of Interior and Police. With the support of the Ministry of Defense, Ministry of Foreign Affairs and the General Directorate of Customs.		
C. The national control system allows for the provision, pursuant to national law and upon request, of appropriate and relevant information to assist an export assessment by a potential exporting State Party [Article 8(1)] (if 'No', please elaborate below)	Yes X	No <input type="checkbox"/>

5. TRANSIT & TRANS-SHIPMENT

A. The national control system includes measures that allow the regulation, where necessary and feasible, of transit of conventional arms covered under Article 2(1) [Articles 6(1) to 6(3) and Article 9] (if 'Yes', please provide further information below on the nature of control measures and confirm whether they apply to all items in the national control list. If 'No', please elaborate below)	Yes X	No <input type="checkbox"/>
National legislation and specially the Law No.36 require on a mandatory basis, that all the weapons that temporarily access the country have a license and presents all documentation provided fulfilling all the national and international legal standards for both the importing and exporting. We call your attention in the point that is infrequently activity, from 2010 to the date, only was a case of transit of arms, which, which was returned to his country of origin, without making the solicited transit because the petitioner did not met requirement established by the D. R. to grant the permission.		
B. The national control system includes measures that allow the regulation, where necessary and feasible, of trans-shipment of conventional arms covered under Article 2(1) [Articles 6(1) to 6(3) and Article 9] if 'Yes', please provide further information below on the nature of control measures and confirm whether they apply to all items in the national control list. If 'No', please elaborate below)	Yes <input type="checkbox"/>	No x
In the practice such transactions does not take place, however, the draft of the project for the new law in control arms that is in the Senate, this figure is taken in consideration. If were necessary, the Minister of Interior and Police by the authority given in the Constitution, Law No.36, Law No. 247-12, among others, may take control measures to give and adequate legal response to this issue.		
C. Control measures for the regulation of transit and/or trans-shipment cover : (If 'Yes' to (ii) or (iii), please indicate in the free text field how enforcement is conceived - systematic control or only when information is available?)	Yes	No

i)	Transit / trans-shipment through land territory (including internal waters)	X	<input type="checkbox"/>
ii)	Transit / trans-shipment through territorial waters	X	<input type="checkbox"/>
iii)	Transit / trans-shipment through national air space	X	<input type="checkbox"/>
VIEW DETAIL FOR THIS LITERAL C IN THE ANSWERS A AND B OF THIS SECTION ON TRANSIT AND TRANSFER.			
D. The competent national authority(-ies) for the regulation of transit and trans-shipment [Article 5(5)] (please specify below)			
Ministry of Interior and Police, with support from the Ministry of Defense, Ministry of Foreign Affairs and the General Directorate of Customs.			

6. BROKERING

A. The national control system includes measures that allow the regulation, pursuant to national laws, of brokering of conventional arms covered under Article 2(1) [Articles 6(1) to 6(3) and Article 10] (if 'Yes', please provide further information below on the nature of control measures and confirm that they apply to all items in the national control list. If 'No', please elaborate below)	Yes <input type="checkbox"/>	No X
THE FIGURE OF BROKERING FOR FIREARMS DOES NOT EXISTS IN THE NATIONAL LEGISLATION IN FORCE APPLICABLE TO THESE PURPOSES. HOWEVER, IN THE DRAFT PROJECT FOR THE NEW LAW FOR CONTROL ARMS IN THE SENATE, IS REFERED THIS ISSUE.		
B. The definition of brokering used in national legislation [Articles 6(1) to 6(3) and Article 10] please specify in particular if there are extraterritorial elements in the definition, for instance the activities of nationals resident abroad, or transfers that take place between two third countries)		
The definition, as noted above is contained in the draft of the project for the new law in control arms coursing in the Senate, is as follows: Arms brokers and ammunition intermediaries are defined as natural or legal persons that in exchange for economic or financial compensation, bonus, commission, or otherwise, act as an agent in negotiating or arranging a contract of sale, exchange or payment in kind for the acquisition or transfer of weapons and conventional ammunition; the provision of documentation, payment, transportation or freight, or any combination of these in relation to the purchase, sale or transfer of any conventional firearm, and act as an intermediary between any manufacturer or supplier of conventional arms or service provider or any buyer or recipient of them. Brokering: The action taken by any person who from his position is involved in the negotiation or settlement of a contract of sale, exchange or given payment for the acquisition or transfer of conventional arms, or facilitating, transfer of any document, payment, transportation or freight or a combination of these in relation to the purchase, sale or transfer of any conventional firearm, including any manufacturer or supplier of conventional arms or service provider or any buyer or recipient they.		
C. The competent national authority(-ies) for the regulation of brokering [Article 5(5)] (please specify below)		
When the new law takes effect, national authority will be the Ministry of Interior and Police.		

7.DIVERSION

A. Measures foreseen in the national control system to prevent the diversion of conventional arms covered by Article 2(1) [Article 11(1)]		Yes	No
i)	assessing the risk of diversion of an export [Article 11(2)] (if 'No', please provide background below)	<input type="checkbox"/>	X
Does not apply, D.R. does not export firearms			
ii)	cooperation and information exchange, where appropriate and feasible and pursuant to national law, with other States Parties [Article 11(3)] (if 'No', please provide background below)	X	<input type="checkbox"/>

B. The national control system includes appropriate measures to be taken, pursuant to national law and in accordance with international law, when a diversion of transferred conventional arms under Article 2(1) has been detected [Article 11(4)] (if 'No', please elaborate below)	X	<input type="checkbox"/>

8. RECORD KEEPING

A. The national control system includes provisions for maintaining records regarding: [Article 12(1)] (mandatory to retain records for one of the two options below)	Yes	No
i) issued authorizations for the export of conventional arms covered under Article 2(1) of the Treaty [Article 12(1)]	<input type="checkbox"/>	X
ii) actual exports of conventional arms covered under Article 2(1) of the Treaty [Article 12(1)] (if 'No' to both (i) and (ii), please elaborate below)	<input type="checkbox"/>	X
Does not apply, D.R. does not export firearms		
B. Records are kept for a minimum of 10 years [Article 12(4)] (if 'No', please elaborate below)	Yes X	No <input type="checkbox"/>

9. REPORTING

A. The national control system allows for the provision of information as required by Article 13(3) (if 'No', please elaborate below)	Yes X	No <input type="checkbox"/>

10. ENFORCEMENT

A. Measures are in place that provide the ability to enforce of the national laws and regulations that implement the provisions of the Arms Trade Treaty [Article 14] (if 'No', please elaborate below)	Yes X	No <input type="checkbox"/>
B. National legislation allows the provision to another State Party of jointly agreed assistance in investigations, prosecutions and judicial proceedings in relation to violations of national measures established pursuant to this Treaty [Article 15(5)] (if 'No', please elaborate below)	Yes X	No <input type="checkbox"/>

11. INTERNATIONAL COOPERATION

A. Cooperation is possible with other States Parties of the Treaty with a view to its effective implementation, where such cooperation is consistent with national law and security interests [Article 15(1)] (if 'No', please elaborate below)	Yes X	No <input type="checkbox"/>

12. INTERNATIONAL ASSISTANCE

A. National regulations and policy allow for the provision - upon request and if in a position to do so - of implementation assistance as set out in Article 16(1) (if 'No', please elaborate below)	Yes X	No <input type="checkbox"/>

13. DISPUTE SETTLEMENT

A. National regulations and policy allow for consultations and, by mutual consent, cooperation in the settlement of disputes regarding the interpretation or application of the Treaty by at least one of the means outlined in Article 19(1) (if 'No', please elaborate below)	Yes X	No <input type="checkbox"/>

SECTION B.

INFORMATION RELATED TO NON-BINDING PROVISIONS IN THE TREATY

States Parties are obliged according to Article 13(1) to provide information on the measures listed below, to the extent that such measures have been undertaken to implement the Treaty. On a voluntary basis, more information may also be provided

1. NATIONAL CONTROL SYSTEM AND LIST

F. Arms for recreational, cultural, historical and sporting purposes are included in the national control list [Article 2(1)(h) & Preamble, 13th para]	Yes X	No <input type="checkbox"/>
If 'No', please provide further information below, for instance whether a separate set of controls are applied to these types of arms		
G. Additional categories not listed under Section A1.D are included in the national control list [Article 5(3)] (If 'Yes', please specify below)	Yes X	No <input type="checkbox"/>
IN D.R. ALL CATEGORIES ITEMS LINK TO ARMS ARE CONTROLLED. THE LIST IS INDICATIVE NOT EXHAUSTIVE. ARE NCLUDED FOR CONTROL, ALL DEFENSE AND PERSONAL ARTICLES FOR SAFETYM, EXAMPLE ARMORED VESTS, POINTER, PEPPER GAS, ETC.		
H. Control list definitions are supplemented by more detailed definitions not in the control list itself [Article 5(3)] (If 'Yes', please provide further information below)	Yes X	No <input type="checkbox"/>
UNDER THE DISCRETION OF THE NATIONAL AUTHORITY CAN BE SUPPLEMENTEED, CLARIFIED OR EXPANDED A DEFINITION FOR THE IMPLEMENTATION OF A CONTROLLED ARTICLE.		
I. The national control list is publicly available [Article 5(4)] (if 'Yes', please provide information below as to how your control list is made publicly available - if available on the open internet please provide the hyperlink)	Yes X	No <input type="checkbox"/>
THE INFORMATION IS PUBLISHED IN THE WEBSITE OF THE MINISTRY OF INTERIOR AND POLICE. PUBLIC INFORMATION IS AVAILABLE VIA THE OFFICE OF ACCESS TO PUBIC INFORMATION MINISTRY (OAI) ONLINE AND ALSO PERSONAL. IN CASES OF RESERVED OR CLASSIFIED INFORMATION, THIRD PARTY OR NATIONAL SECURITY INFORMATION THE INTERESTED HAS TO JUSTIFY THE LEGITIMATE INTEREST TO ASK AND GET THE INFORMATION. WWW.MIP.GOB.DO		
J. Additional voluntary information about non-obligatory aspects of a national control system (please elaborate below - for instance regarding inter-agency coordination structures, training systems for relevant officials, transparency and accountability mechanisms, outreach to private actors such as industry, or any ongoing / planned review or change of the national control system or parts thereof)		
EXCHANGE OF INFORMATION, BEST PRACTICES, CAPACITY BUILDING AT NATIONAL, REGIONAL AND INTERNATIONAL PROGRAMS AND PROJECTS FOR CONTROL ARMS, SUCH CASAC, ORCA, SICA, UNLIREC, ATF, INTERPOL, NYPD. TWG-SALW. ALSO WITH NATIONAL AND INTERNATIONAL NGOs (EXAMPLE : UNIDIR, CITIZEN PARTICIPATION (DOMINICAN REPUBLIC), ARIAS FOUNDATION (COSTA RICA), ETC		

2. PROHIBITIONS

D. Additional voluntary information relevant to prohibitions under Article 6 (please elaborate below)
D.R. DOES NOT AUTHORIZED ANY TRANSACTION, IN ANY AREA, WHEN TAKE PLACE ANDY EMBARGO O SANCTION OF THE COUNCIL OF UN SECURITY.

3. EXPORTS

EXPORTS

G. The national risk assessment procedure includes the consideration of risk mitigation measures that could be undertaken to mitigate identified risks [Article 7(2)] (if 'Yes' please elaborate below, including an indication of types of risk mitigation measures that are most often used)	Yes <input type="checkbox"/>	No X
NOT APPLICABLE, D.R. DOES NOT EXPORT FIREARMS, PARTS OR AMMUNITIONS.		
H. The national control system allows exports of controlled equipment without a licence or under simplified procedure under certain circumstances [for instance temporary exports or exports to trusted partners] (if 'Yes' please provide further information below)	Yes <input type="checkbox"/>	No X
NOT APPLICABLE, D.R. DOES NOT EXPORT FIREARMS, PARTS OR AMMUNITIONS.		
I. The national risk assessment procedure includes other criteria <u>not</u> mentioned in the articles cited in 3.D above (if 'Yes' please specify below)	Yes <input type="checkbox"/>	No X
NOT APPLICABLE, D.R. DOES NOT EXPORT FIREARMS, PARTS OR AMMUNITIONS.		
J. Measures to exercise control over exports are applicable also to other categories of conventional arms than those covered in Articles 2(1), 3 and 4 [Article 5(3)] (if 'Yes', please elaborate below)	Yes <input type="checkbox"/>	No X
NOT APPLICABLE, D.R. DOES NOT EXPORT FIREARMS, PARTS OR AMMUNITIONS		
K. An export authorization can be reassessed if new relevant information becomes available [Art 7(7)] (if 'Yes', please provide further information below. Are there also legal provisions for suspension or withdrawal of a license?)	Yes <input type="checkbox"/>	No X
NOT APPLICABLE, D.R. DOES NOT EXPORT FIREARMS, PARTS OR AMMUNITIONS		
L. Information / documentation included in an application for an export authorization (please specify below)		
NOT APPLICABLE, D.R. DOES NOT EXPORT FIREARMS, PARTS OR AMMUNITIONS		
M. Apart from the competent national authority, the following ministries or government authorities may be involved in the decision-making process for an export authorization [Article 5(5)] (please specify below)		
NOT APPLICABLE, D.R. DOES NOT EXPORT FIREARMS, PARTS OR AMMUNITIONS		
N. The national control system allows a State of final destination to request information concerning pending or actual export authorizations pertaining to it [Article 8(3)] (if 'No', please elaborate below)	Yes <input type="checkbox"/>	No X
NOT APPLICABLE, D.R. DOES NOT EXPORT FIREARMS, PARTS OR AMMUNITIONS		
O. Additional voluntary information relevant to national export controls (please specify below - for instance on the control of re-exports.)		

4. IMPORTS

D. Imports of conventional arms subject to control are under specific circumstances permitted without regulation or under simplified procedure (if 'Yes' please provide further information below)	Yes <input type="checkbox"/>	No X
E. Measures to regulate imports are applicable also to other categories of conventional arms than those covered in Article 2(1) [Article 5(3)] (if 'Yes', please elaborate below)	Yes X	No <input type="checkbox"/>
IN D.R. CONTROL MEASURES ARE APPLICABLE TO ALL ITEMS, BEYOND THE CATEGORIES REFERRED IN ARTICLE 2 (1) [Section 5 (3)], INCLUDING ALL ITEMS FOR DEFENSE AND PERSONAL SAFETY.		

F. Apart from the competent national authority, the following ministries or government authorities may be involved in the decision-making process for an import authorization (when such an authorization is required) [Article 5(5)] (please specify below)
THE NATIONAL AUTHORITY (WHICH IS THE MINISTRY OF INTERIOR AND POLICE), MAY BE INVOLVED THE MINISTRY OF DEFENSE, MINISTRY OF FOREIGN AFFAIR AND THE GENERAL DIRECTORATE OF CUSTOMS.
G. Information / documentation required for an import authorization (please specify below)
THE PRIMORDIAL CONDITION IS THAT ARTICLES TO IMPORT DO NOT CONTRAVENE THE PROVISION OF DECREE NO. 309-06 THAT PROHIBITS THE FIREARMS, PARTS AND AMMUNITION FOR TRADE WITH INDIVIDUALS. THE PETITIONER MUST SUBMIT AN APPLICATION TO THE MINISTER ON INTERIOR AND POLICE INDICATING THE USE AND ITS FINAL DESTINATION AND CERTIFICATES, DOCUMENTS IN FORCE OF THE COMPANY AND DEMONSTRATE THAT COMPANY OBJECTIVE IS COMPATIBLE WITH THE GOODS AND SERVICES INTENDED TO IMPORT, REQUESTED QUANTITIES, ETC.
H. Additional voluntary information relevant to national import controls (please specify below)
IF IS NECESSARY THE MINISTRY CAN ORDER AN INSPECTIONS TO THE STORES, WAREHOUSE. ALSO THE AUTHORIZED QUANTITY NOT NECESSARY IS THE ORIGINALLY AMOUNT REQUESTED.

5. TRANSIT & TRANS-SHIPMENT

E. Transit / trans-shipment of controlled equipment is permitted without regulation or under simplified procedure under certain circumstances (for instance in a free trade area) (if 'Yes' please provide further information below)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
F. National control of transit and/or trans-shipment goes beyond the fulfillment of obligations under Article 6 of the Treaty (if 'Yes', please specify the additional scope of control and indicate whether the additional control applies to all items in the national control list)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
AS POINTED ABOVE, TRANSIT AND EVEN MORE TRANSSHIPMENT, FIGURES ARE LOW FREQUENCY (1 IN THE LAST 5 YEARS FOR TRANSIT AND NONE TO TRANSSHIPMENT IN THE SAME PERIOD) AND AUTHORITY MAY TAKE ACTION BEYOND THE INDICATED IN ART. 6 TO FORBID A TRANSIT UNDER CONDITIONS THAT ARE NOT FEASIBLE TO THE DOMINICAN STATE, WHETHER THIS OPERATION DOES NOT CAUSE GENOCIDE, CRIMES AGAINST HUMANITY, ETC. OR ANY OTHER OF THE STATED ISSUES IN ARTICLE 6..		
G. Measures to regulate transit and/or trans-shipment are applicable also to other categories of conventional arms than those covered in Article 2(1) [Article 5(3)] (if 'Yes', please elaborate below)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
IS THE SAME ANSWER GIVEN IN POINT F OF THIS SECTION 5, APPLIED TO CONVENTIONAL ARMS CONTAINED IN ARTICLE 2 (1)		
H. Apart from the competent national authority, the following ministries or government authorities may be involved in the decision-making process for a transit or trans-shipment authorization (when such an authorization is required) [Article 5(5)] (please specify below)		
BESIDES THE NATIONAL AUTHORITY (WHICH IS THE MINISTRY OF INTERIOR AND POLICE), MAY BE INVOLVED THE MINISTRY OF DEFENSE, MINISTRY OF FOREIGN AFFAIR AND THE GENERAL DIRECTORATE OF CUSTOMS.		
I. Information / documentation required in an application for a transit / trans-shipment authorization (please specify below)		
LICENSE / AUTHORIZATION OF THE AUTHORITY. DOCUMENTS OF THE EXPORTER, IMPORTER, USER, FINAL USE, AMONG OTHERS.		
J. Additional voluntary information relevant to national transit / trans-shipment controls (please specify below)		
SEE ANSWER POINTED IN SECTION F OF THIS SAME CHAPTER		

5. BROKERING

N

D. National brokering controls contain exemptions (for instance for national armed forces or defence industry) [Articles 6 & 10] (if 'Yes', please provide further information below)	Yes <input type="checkbox"/>	No X
E. National brokering controls go beyond the fulfillment of obligations under Article 6 of the Treaty (for instance regulating brokering in other situations) (if 'Yes', please specify the additional scope of control)	Yes <input type="checkbox"/>	No X
F. Measures to regulate brokering are applicable also to other categories of conventional arms than those covered in Article 2(1) [Article 5(3)] (if 'Yes', please elaborate below)	Yes <input type="checkbox"/>	No X
G. Apart from the competent national authority, the following ministries or government authorities may be involved in the decision-making process for a control measure related to brokering [Article 5(5)] (please specify below)		
DOES NOT APPLY UNDER THE LAW IN FORCE IS NOT REFERRED TO THE FIGURE OF BROKERING. IN THE PROPOSED NEW DRAFT LAW IF PROVIDED.		
H. Information / documentation required in an application related to brokering (please elaborate below)		
DOES NOT APPLY UNDER THE LAW IN FORCE		
I. Additional voluntary information relevant to national brokering controls (please specify below)		
DOES NOT APPLY UNDER THE LAW IN FORCE		

7. DIVERSION

C. Measures included in the national control system to prevent the diversion of conventional arms covered by Article 2(1) [Article 11(1)] :		Yes	No
iii)	establishment of mitigation measures [Article 11(2)]	X	<input type="checkbox"/>
iv)	provision, upon request, of end use / end user documentation to the exporting State [Article 8(1)]	X	<input type="checkbox"/>
v)	requirement for end use / end user assurances from an importing State (or industry) [Article 8(1)]	X	<input type="checkbox"/>
vi)	examination, where appropriate, of parties involved in a transfer [Article 11(2)]	X	<input type="checkbox"/>
viii)	requirement, where appropriate, for additional documentation, certificates, assurances for a transfer [Article 11(2)]	X	<input type="checkbox"/>
ix)	exchange of relevant information with other States Parties on effective measures to address diversion, as well as on illicit activities and actors [Articles 11(5) & 15(4)]	X	<input type="checkbox"/>
x)	reporting through the Secretariat to other States Parties on measures taken to address diversion of transferred conventional arms covered under Article 2(1) [Articles 11(6) & 13(2)]	<input type="checkbox"/>	X
xi)	other measures [Article 11(1)] (if 'Yes', please specify below)	<input type="checkbox"/>	X

D. Measures included in the national control system, to be taken when a diversion of transferred conventional arms has been detected [Article 11(4)]		Yes	No
i)	alerting potentially affected States Parties	X	<input type="checkbox"/>
ii)	investigative and law enforcement measures at the national level	X	<input type="checkbox"/>
iii)	using international tracing mechanisms to identify points of diversion	X	<input type="checkbox"/>
iv)	other measures (if 'Yes', please specify below)	<input type="checkbox"/>	<input type="checkbox"/>
E. Measures taken to prevent or address diversion are applicable also to other categories of conventional arms than those covered in Article 2(1) [Article 5(3)] (if 'Yes', please elaborate below)		Yes X	No <input type="checkbox"/>
EXCHANGE OF INFORMATION WITH ATF			
F. Additional voluntary information relevant to the prevention of diversion of conventional arms (please specify below - for instance regarding measures in place to avoid diversion in the context of the international movement of conventional arms referred to in Article 2(3) of the Treaty)			
YES. CONTROLS APPLY TO PREVENT THE DIVERSION IN USE AND DESTINATION OF CONVENTIONAL WEAPONS COVERED BY ARTICLE 2 (1) [Section 5 (3)] AND IN ALL ITEMS RELATED TO SECURITY AND PERSONAL DEFENSE.			

8. RECORD KEEPING

C. The national control system includes provisions for maintaining records regarding :		Yes	No
i)	imports of conventional arms covered under Article 2(1) of the Treaty into national territory as final destination [Article 12(2)]	X	<input type="checkbox"/>
ii)	authorizations for the transit and/or trans-shipment through national territory of conventional arms covered under Article 2(1) of the Treaty [Article 12(2)]	X	<input type="checkbox"/>
iii)	authorizations related to the conduct of brokering activities included in the scope of the national control system (for instance relating to a register of brokers) [Article 10]	<input type="checkbox"/>	X
D. Records cover other categories of conventional arms than those specified in Article 2(1) (if 'Yes', please elaborate below)		Yes x	No <input type="checkbox"/>
ALL ITEMS FOR PERSONAL SECURITY AND DEFENSE, SUCH AS ARMORED VESTS, PEPPER SPRAY, POINTERS, ETC.			
E. Additional voluntary information relevant to national record keeping (please specify below - for instance types of information preserved in national records for exports and imports respectively)			

9. REPORTING

B. Additional voluntary information relevant to national reporting (please specify below, for instance if national reports are publicly available - if available on the open internet please provide the relevant hyperlink)

10. ENFORCEMENT

C. National measures have been taken to prevent, in cooperation with other States Parties, the transfer of conventional arms covered under Article 2(1) of the Treaty becoming subject to corrupt practices [Article 15(6)] (please elaborate below))	Yes X	No <input type="checkbox"/>
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YES. THROUGH PARTICIPATION AND COOPERATION IN REGIONAL PROGRAMS AND PROJECTS SUCH AS CASAC, ORCA, AND WITH ORGANISIMS AS A ATF, UNLIREC, BAFA, OSAC, AMONG OTHERS.

D. Additional voluntary information relevant to national enforcement

(please elaborate below - for instance measures taken to criminalize offences against national laws and regulations that implement the provisions of the Arms Trade Treaty and to prescribe legal penalties in these cases.)

INCLUSION IN THE DRAFT OF THE PROJECT FOR THE NEW LAW IN CONTROL ARMS THAT IS ACTUALLY IN THE SENATE ISSUES SUCH TRANSIT AND TRANSHIPMENT OF ARMS, BROKERING, MARKING, BIOMETRIC PRINTS, INCREASING PENALTIES, NEW OFFENSES, ETC.

11. INTERNATIONAL COOPERATION

B. Additional voluntary information relevant to participation in international cooperation

(please elaborate below - for instance in terms of the measures suggested in Article 15, or in terms of participation in international or regional cooperation in the transfer control area)

D.R. ACTIVELY PARTICIPATE IN NATIONAL, REGIONAL AND INTERNATIONAL EFFORTS TO AIM THE PURPOSE OF THE ATT, EXCHANGE OF EXPERIENCES , COOPERATION AN CAPACITY BUILDING FOR BEST PRACTICES, SEMINARSAS A HOST AND AS A PARTICIPANT WITH UNLIREC, CASAC and ORCA / SICA, ATF, BAFA, among others.

12. INTERNATIONAL ASSISTANCE

B. National regulations and policy allow the provision of financial resources to the voluntary trust fund established under Article 16(3) of the Treaty
(if 'No', please elaborate below)

Yes
X

No

C. Additional voluntary information relevant to the provision- or receipt of implementation assistance
(please specify below - for instance regarding assistance provision capacities or assistance needs,)

13. DISPUTE SETTLEMENT

B. National regulations and policy allow for the settlement of disputes concerning the interpretation or application of the Treaty through recourse by mutual consent to arbitration as outlined in Article 19(2)
(if 'No', please elaborate below)

Yes
X

No

C. Additional voluntary information relevant to dispute settlement under the terms of the Treaty
(please specify below)