

Ref: FA/UN/32/19(41)

Note No. 1017

The Ministry of Foreign Affairs of Belize presents its compliments to the Arms Trade Treaty Secretariat and has the honor to refer to the reporting obligations by States Parties of the Arms Trade Treaty.

In this regard, the Ministry of Foreign Affairs of Belize has the honor to append Belize's Initial Report in accordance with Article 13(1) of the Treaty. The Ministry of Foreign Affairs of Belize has the further honor to request that the report be made available in the public area of the Arms Trade Treaty website.

The Ministry of Foreign Affairs of Belize avails Itself of this opportunity to renew to the the Arms Trade Treaty Secretariat the assurances of its highest consideration.

October 3, 2019

Arms Trade Treaty Secretariat Geneva



THE ARMS TRADE TREATY

REPORTING TEMPLATE

INITIAL REPORT ON MEASURES UNDERTAKEN TO IMPLEMENT THE ARMS TRADE TREATY, IN ACCORDANCE WITH ARTICLE 13(1)

This template is intended for use by States Parties to the Arms Trade Treaty when preparing their initial report in accordance with the Treaty's Article 13(1).

Article 13(1) requires States Parties to "report to the Secretariat on any new measures undertaken to implement the Treaty, where appropriate" and thus does not limit information-giving only to measures related to binding obligations in the Treaty. However, in terms of national implementation, binding obligations have a special significance. To highlight this, the template distinguishes between two types of information: (A) information which relates to binding obligations under the Treaty, and (B) information which relates to provisions in the Treaty which are estimated to be binding to a lesser degree, or non-binding. Where the template touches upon information related to the (B) type of provisions in the Treaty, the rows are shaded to make this distinction clear.

The shading does <u>not</u> indicate that certain information is purely voluntary, its purpose is to facilitate the use of this template as a diagnostic tool for assessing at the national level the need for implementation work to fulfil requirements of the Treaty. The un-shaded items are necessary to implement, the shades items represent desirable features of a national control system - which under certain circumstances may also be necessary to implement.

The division of obligations into binding and non-binding has been undertaken - solely for the purpose of this Template - on the basis of a strict observance of the qualifiers included in the text of the Treaty. Thus,

- if a provision in the text is prefaced with "shall" only, it is considered binding and information on that topic should be provided in the initial report.
- if a provision in the text has qualifiers, such as "shall...subject to its national laws", or "shall...pursuant to national law", or "shall...consistent with national law", or "shall....where necessary/appropriate", then a binding obligation is deemed to exist if certain pre-requisites are fulfilled. In this case, information on the topic should be provided in the initial report. If the pre-requisites are not fulfilled, the provision is deemed to be non-binding. In this case information need not be provided unless measures have in fact been taken in the national context to comply with such a provision.
- if States Parties are only encouraged to take, or invited to consider taking, certain actions, the provision is
 deemed non-binding. This category also includes qualifiers such as "may include..." or actions to be
 initiated "by mutual consent" with another State Party. <u>Information should be provided if measures have
 been taken in the national context that fulfil this type of provision.</u>

On a voluntary basis, more information may always be provided.

Please note that Article 13.1 also requires States Parties to "report to the Secretariat on any new measures undertaken in order to implement this Treaty, when appropriate". This template may be used to supply such updates as well. Only changes need then be indicated.

| GOVERNMENT OF | BELIZE |
|---------------|--------|
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INITIAL REPORT ON MEASURES UNDERTAKEN TO IMPLEMENT THE ARMS TRADE TREATY, IN ACCORDANCE WITH ITS ARTICLE 13(1)

DATE OF SUBMISSION: October 19, 2019

| This | Initial Report is available only to States Parties | | |
|-------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------|-----------|
| | | | |
| This | Report contains only updates to a previously submitted initial report dated_ | | |
| 1. N | ATIONAL CONTROL SYSTEM AND LIST | | |
| plea | verview of legislation and ordinances governing the national control system [/ se list below. If the national control system is governed in whole or part by other nances, please indicate these also) | Article 5(2) neans than legis | lation or |
| | ational Control List. Currently taking steps to ensure full compliance with re te Treaty. | quirements of | the Arms |
| B. T | ne national control system includes the following: | Yes | No |
| i) | competent national authorities (further specified below) [Article 5(5)] | X | |
| ii) | a control list [Article 5(2)] | | X |
| iii) | one or more national points of contact to exchange information on ATT implementation [Article 5(6)] | x | |
| lf 'N | o' to any of the items above, please provide background below. | | |
| the T | ne national point(s) of contact has (have) been notified to the Secretariat of freaty [Article 5(6)] o', please clarify below) | Yes 🗌 | No X |
| D. TI | ne national control list covers the following: | Yes | No |
| i) | Battle tanks [Article 2(1a)] | | X |
| ii) | Armored combat vehicles [Article 2(1b)] | | X |
| iii) | Large-caliber artillery systems [Article 2(1c)] | | X |
| iv) | Combat aircraft [Article 2(1d)] | | X |
| v) | Attack helicopters [Article 2(1e)] | | X |
| vi) | Warships [Article 2(1f)] | | X |
| vii) | Missiles and missile launchers [Article 2(1g)] | | X |
| iii) | Small arms and light weapons [Article 2(1h)] | | X |
| | national control list also includes the following, in order to enable the | Yes | 120 |
| ippli | cation of Articles 3 and 4: | 1.03 | No |

| x) | Parts and components in a form that provides the capability to assemble the conventional arms covered in Article 2(1) [for the application of Article 4] | | х |
|-----------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|----------------------|
| If 'N | o' to any of the items above, please provide background below | | |
| Arti | ne national control list has been provided to the Secretariat of the Treaty cle 5.4] lo', please elaborate further below) | Yes 🗆 | X |
| F. Ar | rms for recreational, cultural, historical and sporting purposes are included in ational control list [Article 2(1)(h) & Preamble, 13 th para] | Yes 🗌 | Х |
| If 'No these | o*, please provide further information below, for instance whether a separate set of co types of arms | ontrols are app | lied to |
| contr | dditional categories not listed under Section A1.D are included in the national rol list [Article 5(3)] (es', please specify below) | Yes 🗌 | х |
| contr | ontrol list definitions are supplemented by more detailed definitions not in the ol list itself [Article 5(3)] es', please provide further information below) | Yes 🗆 | X |
| if 'Y | e national control list is publicly available [Article 5(4)] es', please provide information below as to how your control list is made publicly able - if available on the open internet please provide the hyperlink) | Yes 🗌 | х |
| (pleas offici | ditional voluntary information about the national control system see elaborate below - for instance regarding inter-agency coordination structures, trainals, transparency and accountability mechanisms, outreach to private actors such as in ned review or change of the national control system or parts thereof) | ing systems fo ndustry, or any | r relevan ongoing |
| , bi | ROHIBITIONS | | |
| A. Th Artic | the national control system prohibits authorization of transfers as defined by the 2(2), of conventional arms covered under Articles 2(1) and items covered a Articles 3 and 4, in the circumstances specified in Articles 6(1) to 6(3) to in any respect, please elaborate below) | Yes 🗆 | х |
| applie | ternational agreements to which the country is a Party, and which are considered eation of Article 6(2) e list below) | d relevant for | the |
| 2. Into Fraffi Convo 3. Into Amm 4. Pro and A | S-CIFTA er-American, Conventions on Cluster Munitions, Protocol Against the Illicit Ma icking in Firearms, Their Parts and Components and Ammunition, Supplement ention Against Transnational Organized Crime (2003) er-American Convention Against the Illicit Manufacturing of and Trafficking in unition, Explosives, and Other Related Materials (1997) stocol Against the Illicit Manufacturing of and Trafficking in Firearms, Their Pa ammunition, supplementing the UN Convention Against Transnational Organize Program of Action to Prevent, Combat and Eradicate the Illicit Trade in Small | ing the United Firearms, arts and Comed Crime (200 | d Nations ponents |

| 6. I | apons In All Its Aspects (2001) nternational Instrument to Enable States to Identify and Trace in a Timely an all Arms and Light Weapons (2005) | d Reliable N | Ianner, Illici |
|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|--------------------|
| C. I app | nternational agreements to which the country is a Party, and which are considication of Article 6(3) | lered releva | nt for the |
| | 1949 Geneva Convention I for the Amelioration of the Condition of the Varmed Forces in the Field 1949 Geneva Convention II for the Amelioration of the Condition of the Shipwrecked Members of Armed Forces at Sea 1949 Geneva Convention III Relative to the Treatment of Prisoners of W 1949 Geneva Convention IV Relative to the Protection of Civilian Person 1977 Additional Protocol I to the Geneva Convention Convention on the Prohibition of the Use, Stockpiling, Production and Tomines and on their Destruction (1997) Convention on the Elimination of All Forms of Violence Against Women Convention on the Prevention and Punishment of Crime of Genocide | Wounded ar ar s in Time of ransfer of A | nd Sick and War |
| app | Guidelines exist for the assessment of whether a sanctions decision is licable or not to an individual case No* in any respect, please elaborate below) | Yes 🗌 | No X |
| | EXPORTS | | |
| J 1 11 1 2 | The national control system includes the following: | Yes | No |
| i) | an authorization or licensing system for arms exports [Article 5(2)] | x | |
| i) | export assessment criteria [Article 7] | | × |
| ii) | a risk assessment procedure [Article 7] | | X |
| f 'N | lo* to any of the items above, please provide background below | | W |
| (1) | ational export controls apply to the conventional arms covered under Article, and the items covered under Articles 3 and 4 No*, please provide further information below) | Yes 🗌 | X |
| C. T | he national control system includes measures to ensure that all export orizations are detailed and issued prior to export [Article 7(5)] | x | l v |

(if 'No', please provide further information below)

Article 7(1)(a) and (b), and Article 7(4)

(if 'No' in any respect, please elaborate below)

D. The national risk assessment procedure includes all the criteria described in

X

X

Yes 🗌

| i) | Ministry of National Security | | |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|------------|
| ii) | Ministry of Trade | | |
| authorizat and/or to | tional control system allows appropriate information about an export tion to be made available, upon request, to the importing State Party the transit or trans-shipment States Parties [Article 7(6)] ease elaborate below) | х | |
| C 701 | | | |
| mitigation 7(2)] (if 'Yes' pl | tional risk assessment procedure includes the consideration of risk measures that could be undertaken to mitigate identified risks Article lease elaborate below, including an indication of types of risk mitigation that are most often used) | Yes 🗌 | х |
| II The not | tional control system allows exports of controlled equipment without a | | |
| licence or temporary | under simplified procedure under certain circumstances [for instance exports or exports to trusted partners] ease provide further information below) | Yes 🗌 | х |
| . The nati | onal risk assessment procedure includes other criteria not mentioned in | | |
| he articles | s cited in 3.D above ease specify below) | Yes 🗌 | Х |
| of convent | es to exercise control over exports are applicable also to other categories ional arms than those covered in Articles 2(1), 3 and 4 [Article 5(3)] lease elaborate below) | Yes 🗌 | X |
| C. An expo | ort authorization can be reassessed if new relevant information becomes | | |
| wailable [. if 'Yes', pl | Article 7(7) lease provide further information below. Are there also legal provisions for or withdrawal of a license?) | х | No [|
| . Informa | ation / documentation included in an application for an export authorization for a | on | |
| 1) 1D | Ministry of National Security Ministry of Trade | | |
| A. Apart f | rom the competent national authority, the following ministries or government the decision-making process for an export authorization [Article 5(5)] cify below) | nent authorit | ties may l |
| i) ii) iii) | Ministry of National Security Ministry of Trade Customs and Excise Department | | |
| The national Tricle 8(3 | ional control system allows a State of final destination to request n concerning pending or actual export authorizations pertaining to it | Yes 🗌 | х |

4. IMPORTS

| A. The national control system includes measures that allow the regulation, where necessary, of imports of conventional arms covered under Article 2(1) [ref Article 8(2)], as well as items covered under Articles 3 and 4. [ref Articles 6(1) to 6(3)] (if "Yes", please provide further information below on the nature of control measures and confirm whether they apply to all items in the national control list. If "No", please elaborate below) | Yes 🗌 | x |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------|-----------|
| If "Yes", please also answer the following question | | |
| B. The competent national authority(-ies) for the regulation of imports [Article 5(5) (please specify below) | Ĺ | |
| i) Ministry of National Security ii) Ministry of Trade iii) Customs and Excise Department | | |
| C. The national control system allows for the provision, pursuant to national law and upon request, of appropriate and relevant information to assist an export assessment by a potential exporting State Party [Article 8(1)] (if 'No', please elaborate below) | X | No 🗌 |
| D. Imports of conventional arms subject to control are under specific circumstances permitted without regulation or under simplified procedure (if 'Yes' please provide further information below) | Yes 🗌 | x |
| E. Measures to regulate imports are applicable also to other categories of conventional arms than those covered in Article 2(1) [Article 5(3)] (if 'Yes', please elaborate below) | Yes 🗆 | X |
| F. Apart from the competent national authority, the following ministries or government involved in the decision-making process for an import authorization (when such an required) [Article 5(5)] (please specify below) | nent authoriti authorization | es may be |
| G. Information / documentation required for an import authorization (please specify below) | | |
| H. Additional voluntary information relevant to national import controls (please specify below) | | |
| | | \$ 5×5, 1 |

5. TRANSIT & TRANS-SHIPMENT

| A. The national control system includes measures that allow the regulation, where necessary and feasible, of transit of conventional arms covered under Article 2(1) [ref Article 9], as well as items covered under Articles 3 and 4. [ref Articles 6(1) to 6(3)] (if 'Yes', please provide further information below on the nature of control measures and confirm whether they apply to all items in the national control list. If 'No', please elaborate below) | Yes 🗌 | х | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|---|--|
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|---|--|

| Arti | The national control system includes measures that allow the regulation, where essary and feasible, of trans-shipment of conventional arms covered under icle 2(1) [ref Article 9], as well as items covered under Articles 3 and 4. [ref icles 6(1) to 6(3)] Yes', please provide further information below on the nature of control measures confirm whether they apply to all items in the national control list. If 'No', please orate below) | Yes 🗌 | х |
|-----------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------|-----------------|
| | | | |
| (If ' | Yes' to (ii) or (iii), please indicate in the free text field how enforcement is serived - systematic control or only when information is available?) | Yes | No |
| i) | Transit / trans-shipment through land territory (including internal waters) | х | |
| ii) | Transit / trans-shipment through territorial waters | X | |
| iii) | Transit / trans-shipment through national air space | X | |
| (plea | The competent national authority(-ies) for the regulation of transit and trans-ship ase specify below) | oment [Article | 5(5) |
| Cus | toms and Excise Department | | |
| regu insta | ransit / trans-shipment of controlled equipment is permitted without clation or under simplified procedure under certain circumstances (for ance in a free trade area) Yes' please provide further information below) | Yes 🗌 | х |
| | | | |
| oblig (if 'Y | ational control of transit and/or trans-shipment goes beyond the fulfillment of gations under Article 6 of the Treaty Yes', please specify the additional scope of control and indicate whether the tional control applies to all items in the national control list) | Yes 🗌 | x |
| | | | |
| cate | leasures to regulate transit and/or trans-shipment are applicable also to other gories of conventional arms than those covered in Article 2(1) [Article 5(3)] (es', please elaborate below) | Yes 🗆 | X |
| | | - 1 - 1 | |
| invo auth | part from the competent national authority, the following ministries or government of the decision-making process for a transit or trans-shipment authorization orization is required) [Article 5(5)] see specify below) | ent authoriti n (when such | es may be an |
| Mini | stry of National Security | Significan | |
| I. In: (plea | formation / documentation required in an application for a transit / trans-shipm se specify below) | ent authoriza | tion |
| | | | |
| | dditional voluntary information relevant to national transit / trans-shipment con- se specify below) | trols | |
| | | | |

| 6. BROKERING | | |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------|---------|
| A. The national control system includes measures that allow the regulation, pursuant to national laws, of brokering of conventional arms covered under Article 2(1) [ref Article 10], as well as items covered under Articles 3 and 4. [ref Articles 6(1) to 6(3)] (if 'Yes', please provide further information below on the nature of control measures and confirm that they apply to all items in the national control list, If 'No', please elaborate below) | Yes 🗌 | х |
| B. The definition of brokering used in national legislation [Articles 6(1) to 6(3) and (please specify in particular if there are extraterritorial elements in the definition, for ins nationals resident abroad, or transfers that take place between two third countries) | Article 10] tance the activi | ties of |
| C. The competent national authority(-ies) for the regulation of brokering [Article 5 (please specify below) | 5(5) | |
| D. National brokering controls contain exemptions (for instance for national armed forces or defense industry) [Articles 6 & 10] (if 'Yes', please provide further information below) | Yes 🗌 | х |
| E. National brokering controls go beyond the fulfillment of obligations under Article 6 of the Treaty (for instance regulating brokering in other situations) (if 'Yes', please specify the additional scope of control) | Yes 🗆 | х |
| F. Measures to regulate brokering are applicable also to other categories of conventional arms than those covered in Article 2(1) [Article 5(3)] (if 'Yes', please elaborate below) | Yes 🗆 | х |
| G. Apart from the competent national authority, the following ministries or govern involved in the decision-making process for a control measure related to brokering (please specify below) | ment authoriti [Article 5(5)] | es may |
| H. Information / documentation required in an application related to brokering (please elaborate below) | | |
| I. Additional voluntary information relevant to national brokering controls (please specify below) | | |
| | | |
| 7. DIVERSION | | |
| A. Measures foreseen in the national control system to prevent the diversion of conventional arms covered by Article 2(1) [Article 11(1)] | Yes | No |
| i) assessing the risk of diversion of an export [Article 11(2)] (if 'No', please provide background below) | | X |
| ii) cooperation and information exchange, where appropriate and feasible and pursuant to national law, with other States Parties [Article 11(3)] | X | |

| (if 'No', please provide background below) | | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| The state of the s | | |
| e national control system includes appropriate measures to be taken, and to national law and in accordance with international law, when a sion of transferred conventional arms under Article 2(1) has been detected the 11(4)] o', please elaborate below) | | x |
| easures included in the national control system to prevent the diversion of entional arms covered by Article 2(1) [Article 11(1)]: | Yes | No |
| establishment of mitigation measures [Article 11(2)] | | |
| provision, upon request, of end use / end user documentation to the exporting State [Article 8(1)] | | |
| requirement for end use / end user assurances from an importing State (or industry) [Article 8(1)] | | |
| examination, where appropriate, of parties involved in a transfer [Article 11(2)] | | |
| requirement, where appropriate, for additional documentation, certificates, assurances for a transfer [Article 11(2)] | | |
| exchange of relevant information with other States Parties on effective measures to address diversion, as well as on illicit activities and actors [Articles $11(5)$ & $15(4)$] | | |
| reporting through the Secretariat to other States Parties on measures taken to address diversion of transferred conventional arms covered under Article 2(1) [Articles 11(6) & 13(2)] | x | 0 |
| other measures [Article 11(1)] (if 'Yes', please specify below) | x | |
| asures included in the national control system, to be taken when a diversion asferred conventional arms has been detected [Article 11(4)] | Yes | No |
| alerting potentially affected States Parties | X | |
| investigative and law enforcement measures at the national level | X | |
| using international tracing mechanisms to identify points of diversion | X | |
| other measures: Strong provisions in legislation | x | |
| asures taken to prevent or address diversion are applicable also to other ries of conventional arms than those covered in Article 2(1) [Article s', please elaborate below) | Yes 🗌 | No 🗌 |
| | ant to national law and in accordance with international law, when a sion of transferred conventional arms under Article 2(1) has been detected le 11(4)] "assures included in the national control system to prevent the diversion of intional arms covered by Article 2(1) [Article 11(1)]: establishment of mitigation measures [Article 11(2)] provision, upon request, of end use / end user documentation to the exporting State [Article 8(1)] requirement for end use / end user assurances from an importing State (or industry) [Article 8(1)] examination, where appropriate, of parties involved in a transfer [Article 11(2)] requirement, where appropriate, for additional documentation, certificates, assurances for a transfer [Article 11(2)] exchange of relevant information with other States Parties on effective measures to address diversion, as well as on illicit activities and actors [Articles 11(5) & 15(4)] exchange of relevant information with other States Parties on measures taken to address diversion of transferred conventional arms covered under Article 2(1) [Articles 11(6) & 13(2)] other measures [Article 11(1)] (if 'Yes', please specify below) assures included in the national control system, to be taken when a diversion inferered conventional arms has been detected [Article 11(4)] alerting potentially affected States Parties investigative and law enforcement measures at the national level using international tracing mechanisms to identify points of diversion other measures: Strong provisions in legislation | and to national law and in accordance with international law, when a ion of transferred conventional arms under Article 2(1) has been detected let 11(4)] assures included in the national control system to prevent the diversion of ntional arms covered by Article 2(1) [Article 11(1)]: establishment of mitigation measures [Article 11(2)] provision, upon request, of end use / end user documentation to the exporting State [Article 8(1)] requirement for end use / end user assurances from an importing State (or industry) [Article 8(1)] examination, where appropriate, of parties involved in a transfer [Article 11(2)] requirement, where appropriate, for additional documentation, certificates, assurances for a transfer [Article 11(2)] exchange of relevant information with other States Parties on effective measures to address diversion, as well as on illicit activities and actors [Articles 11(5) & 15(4)] reporting through the Secretariat to other States Parties on measures taken to address diversion of transferred conventional arms covered under Article 2(1) [Articles 11(6) & 13(2)] other measures [Article 11(1)] (if 'Yes', please specify below) x assures included in the national control system, to be taken when a diversion seferred conventional arms has been detected [Article 11(4)] alerting potentially affected States Parties investigative and law enforcement measures at the national level x investigative and law enforcement measures at the national level x using international tracing mechanisms to identify points of diversion x cother measures: Strong provisions in legislation x |

| rega | he national control system includes provisions for maintaining records rding: icle 12(1)] (it is mandatory to retain records for one of the two options below) | Yes | No |
|-----------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|---------|
| i) | issued authorizations for the export of conventional arms covered under Article 2(1) of the Treaty [Article 12(1)] | Х | |
| ii) | actual exports of conventional arms covered under Article 2(1) of the Treaty [Article 12(1)] (if *No* to both (i) and (ii), please elaborate below) | Х | |
| B. R (if ') | ecords are kept for a minimum of 10 years [Article 12(4)] No*, please elaborate below) | X | No [|
| C. T | he national control system includes provisions for maintaining records rding: | Yes | No |
| i) | imports of conventional arms covered under Article 2(1) of the Treaty into national territory as final destination [Article 12(2)] | | x |
| ii) | authorizations for the transit and/or trans-shipment through national territory of conventional arms covered under Article 2(1) of the Treaty [Article 12(2)] | | x |
| iii) | authorizations related to the conduct of brokering activities included in the scope of the national control system (for instance relating to a register of brokers) [Article 10] | | х |
| Arti | ecords cover other categories of conventional arms than those specified in ele 2(1) 'es', please elaborate below) | | х |
| (plea respe | dditional voluntary information relevant to national record keeping se specify below - for instance types of information preserved in national records for ctively) EPORTING | exports and | imports |
| | | | |
| A. Ti | ne national control system allows for the provision of information as required rticle 13(3) o', please elaborate below) | Х | No 🗌 |
| A. Ti oy A if 'N B. Ac | rticle 13(3) | | |
| A. Ti by A if 'N B. Ac plea | rticle 13(3) o', please elaborate below) Iditional voluntary information relevant to national reporting se specify below, for instance if national reports are publicly available - if availal | | |

| B. National legislation allows the provision to another State Party of jointly agreed assistance in investigations, prosecutions and judicial proceedings in relation to violations of national measures established pursuant to this Treaty [Article 15(5)] (if 'No', please elaborate below) | х | No 🗆 |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------|
| C. National measures have been taken to prevent, in cooperation with other States Parties, the transfer of conventional arms covered under Article 2(1) of the Treaty becoming subject to corrupt practices [Article 15(6)] (please elaborate below) | Yes 🗆 | x |
| D. Additional voluntary information relevant to national enforcement (please elaborate below - for instance measures taken to criminalize offences against nati- that implement the provisions of the Arms Trade Treaty and to prescribe legal penalties in | onal laws and n these cases. | l regulation |
| 11. INTERNATIONAL COOPERATION | | |
| A. Cooperation is possible with other States Parties of the Treaty with a view to its effective implementation, where such cooperation is consistent with national law and security interests [Article 15(1)] (if "No", please elaborate below) | X | No 🗌 |
| B. Additional voluntary information relevant to participation in international cooper (please elaborate below - for instance in terms of the measures suggested in Article 1 participation in international or regional cooperation in the transfer control area) | ration 5, or in term | s of |
| 12. INTERNATIONAL ASSISTANCE | | |
| A. National regulations and policy allow for the provision - upon request and if in a position to do so - of implementation assistance as set out in Article 16(1) (if 'No', please elaborate below) | х | No 🗌 |
| B. National regulations and policy allow the provision of financial resources to the voluntary trust fund established under Article 16(3) of the Treaty (if 'No', please elaborate below) | Yes 🗌 | No 🗆 |
| C. Additional voluntary information relevant to the provision- or receipt of impleme (please specify below - for instance regarding assistance provision capacities or assistance | ntation assis | tance |
| 13. DISPUTE SETTLEMENT | | |
| A. National regulations and policy allow for consultations and, by mutual consent, cooperation in the settlement of disputes regarding the interpretation or application of the Treaty by at least one of the means outlined in Article 19(1) (if 'No', please elaborate below) | Yes 🗌 | X |
| In process of development. | | |

| B. National regulations and policy allow for the settlement of disputes concerning the interpretation or application of the Treaty through recourse by mutual consent to arbitration as outlined in Article 19(2) (if 'No', please elaborate below) | Yes 🗆 | х |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|----------|
| In process of development. | | |
| C. Additional voluntary information relevant to dispute settlement under the terms (please specify below) | of the Treaty | |
| Notwithstanding information provided in A and B above, Belize is bound by the prothe UN Charter to settle any disputes by pacific means. | visions of Arti | cle 33 o |

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