

GOVERNMENT OF THE REPUBLIC OF MOLDOVA

INITIAL REPORT ON MEASURES UNDERTAKEN TO IMPLEMENT THE ARMS
TRADE TREATY, IN ACCORDANCE WITH ITS ARTICLE 13(1)

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| This Initial Report may be made publicly available | <input checked="" type="checkbox"/> |
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1. NATIONAL CONTROL SYSTEM AND LIST

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| A. Overview of legislation and ordinances governing the national control system [Article 5(2)] (please list below. If the national control system is governed in whole or part by other means than legislation or ordinances, please indicate these also) | | | |
| National legal framework and ordinances consists of Law on Export, Re-export, Import and Transit Control of Strategic Goods (No.1163-XIV of 26.07.2000), Law on the regime of arms and munitions of civil destination (No. 130 of 08.06.2012) and the Government Decision on National Control System on Export, Re-export, Import and Transit Control of Strategic Goods in the Republic of Moldova (No.606 of 15.05.2002). The Interdepartmental Commission of control of export, re-export, import and transit of strategic goods represents the permanent governmental body supervising the strategic goods circulation control being responsible for applying the national policy in this area. The Dual-use Goods Circulation Control Division within the Ministry of Economy is the authorized governmental body responsible for the control of export, re-export, import and transit of strategic goods, as well as for issuing the authorizations and certificates. The Division also insures the activity of the Commission. For the control of conventional arms, the Division is using the List of weapons and ammunitions included in the National control list of strategic goods approved by the above mentioned Government Decision No.606 of 15.05.2002. | | | |
| B. The national control system includes the following: | | Yes | No |
| i) | competent national authorities (further specified below) [Article 5(5)] | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| ii) | a control list [Article 5(2)] | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| iii) | one or more national points of contact to exchange information on ATT implementation [Article 5(6)] | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| If 'No' to any of the items above, please provide background below. | | | |
| | | | |
| C. The national point(s) of contact has (have) been notified to the Secretariat of the Treaty [Article 5(6)] (if 'No', please clarify below) | | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| | | | |
| D. The national control list covers the following: | | Yes | No |
| i) | Battle tanks [Article 2(1a)] | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| ii) | Armored combat vehicles [Article 2(1b)] | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| iii) | Large-caliber artillery systems [Article 2(1c)] | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| iv) | Combat aircraft [Article 2(1d)] | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| v) | Attack helicopters [Article 2(1e)] | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| vi) | Warships [Article 2(1f)] | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| vii) | Missiles and missile launchers [Article 2(1g)] | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| viii) | Small arms and light weapons [Article 2(1h)] | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| The national control list also includes the following, in order to enable the application of Articles 3 and 4: | | Yes | No |
| ix) | Ammunition/Munitions for the conventional arms covered in Article 2(1) [for the application of Article 3] | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

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| x) | Parts and components in a form that provides the capability to assemble the conventional arms covered in Article 2(1) [for the application of Article 4] | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| If 'No' to any of the items above, please provide background below | | | |
| | | | |
| E. The national control list has been provided to the Secretariat of the Treaty [Article 5.4] (if 'No', please elaborate further below) | | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| | | | |
| F. Arms for recreational, cultural, historical and sporting purposes are included in the national control list [Article 2(1)(h) & Preamble, 13th para] | | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| If 'No', please provide further information below, for instance whether a separate set of controls are applied to these types of arms | | | |
| | | | |
| G. Additional categories not listed under Section A1.D are included in the national control list [Article 5(3)] (If 'Yes', please specify below) | | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| | | | |
| H. Control list definitions are supplemented by more detailed definitions not in the control list itself [Article 5(3)] (If 'Yes', please provide further information below) | | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| <p>ML1. Arms and automatic weapons with a calibre of 12.7 mm (calibre 0.50 inches) or less and accessories, as follows, and specially designed components.</p> <p>ML2. Armament or weapons with a calibre greater than 12.7 mm (calibre 0.50 inches), projectors and accessories, as follows, and specially designed components</p> <p>ML3. Ammunition, as well as specially designed components therefore, for the weapons controlled by ML1., ML2. or ML12.</p> <p>ML4. Bombs, torpedoes, rockets, missiles, and related equipment and accessories, as follows, specially designed for military use, and specially designed components</p> <p>ML6. Ground vehicles and components therefore, specially designed or modified for military use.</p> <p>ML9. Vessels of war, special naval equipment and accessories, as follows, and components therefore, specially designed for military use</p> <p>ML10. "Aircraft", unmanned airborne vehicles, aero-engines and "aircraft" equipment, related equipment and components, specially designed or modified for military use</p> | | | |
| I. The national control list is publicly available [Article 5(4)] (if 'Yes', please provide information below as to how your control list is made publicly available - if available on the open internet please provide the hyperlink) | | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| http://lex.justice.md/md/296547/ | | | |
| For the time being available in Romanian and Russian languages only | | | |
| J. Additional voluntary information about the national control system (please elaborate below - for instance regarding inter-agency coordination structures, training systems for relevant officials, transparency and accountability mechanisms, outreach to private actors such as industry, or any ongoing / planned review or change of the national control system or parts thereof) | | | |
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2. PROHIBITIONS

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| A. The national control system prohibits authorization of transfers as defined by Article 2(2), of conventional arms covered under Article 2(1) and items covered under Articles 3 and 4, in the circumstances specified in Articles 6(1) to 6(3) (if 'No' in any respect, please elaborate below) | | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| | | | |

B. International agreements to which the country is a Party, and which are considered relevant for the application of Article 6(2)

(please list below)

The international obligations under international agreements to which the Republic of Moldova is a Party, in particular those relating to the transfer of, or illicit trafficking in, conventional arms, emanate from:

- The United Nations Charter and measures adopted by Security Council acting under Chapter VII, in particular arms embargoes
- The Arms Trade Treaty
- The Hague Code of Conduct Against Ballistic Missile Proliferation

C. International agreements to which the country is a Party, and which are considered relevant for the application of Article 6(3) (please list below)

- Convention on the Prevention and Punishment of the Crime of Genocide
- Convention Against Torture and Other Cruel, Inhuman or Degrading Treatment or Punishment
- International Convention on the Elimination of All Forms of Racial Discrimination
- Protocol for the Prohibition of the Use of Asphyxiating, Poisonous or Other Gases, and of Bacteriological Methods of Warfare (Geneva, 17 June, 1925)
- Convention (I) for the Amelioration of the Condition of the Wounded and Sick in Armed Forces in the Field (Geneva, 12 August 1949)
- Convention (II) for the Amelioration of the Condition of Wounded, Sick and Shipwrecked Members of Armed Forces at Sea (Geneva, 12 August, 1949)
- Convention (III) relative to the Treatment of Prisoners of War (Geneva, 12 August 1949)
- Convention (IV) relative to the Protection of Civilian Persons in Time of War (Geneva, 12 August 1949)
- Protocol (I) Additional to the Geneva Conventions of 12 August 1949, and relating to the Protection of Victims of International Armed Conflicts (Geneva, 10 June, 1977)
- Protocol (II) Additional to the Geneva Conventions of 12 August 1949, and relating to the Protection of Victims of Non-International Armed Conflicts (Geneva, 10 June 1977)
- Protocol (III) additional to the Geneva Conventions of 12 August 1949, and relating to the Adoption of an Additional Distinctive Emblem (Geneva, 8 December 2005)
- Convention for the Protection of Cultural Property in the Event of Armed Conflict (The Hague, 14 May 1954)
- Protocol (I) for the Protection of Cultural Property in the Event of Armed Conflict (The Hague, 14 May 1954)
- Convention on the Prohibition of the Development, Production and Stockpiling of Bacteriological (Biological) and Toxin Weapons and on their Destruction (10 April 1972)
- Convention on Prohibitions or Restrictions on the Use of Certain Conventional Weapons Which May be Deemed to be Excessively Injurious or to Have Indiscriminate Effects (Geneva, 10 October, 1980) including its Protocols:
 - Protocol (I) on Non-Detectable Fragments (Geneva, 10 October 1980)
 - Protocol (II) on Prohibitions or Restrictions on the Use of Mines, Booby-Traps and Other Devices (Geneva, 10 October 1980)
 - Protocol (III) on Prohibitions or Restrictions on the Use of Incendiary Weapons (Geneva, 10 October 1980)
 - Protocol (IV) on Blinding Laser Weapons (Vienna, 13 October 1995)
 - Protocol (V) on Explosive Remnants of War (Geneva, 28 November 2003)
- Convention on the prohibition of the development, production, stockpiling and use of chemical weapons and on their destruction (Paris, 13 January 1993)
- Convention on the Prohibition of the Use, Stockpiling, Production and Transfer of Anti-Personnel Mines and on their Destruction (Oslo, 18 September 1997)
- Statute of the International Criminal Court (Rome, 17 July 1998).

D. Guidelines exist for the assessment of whether a sanctions decision is applicable or not to an individual case

(if 'No' in any respect, please elaborate below)

Yes No **E. Additional voluntary information relevant to prohibitions under Article 6**

(please elaborate below - for instance if prohibitions are applied to a wider range of products than defined in Articles 2(1), 3 and 4)

| 3. EXPORTS | | | |
|--|--|---|--|
| A. The national control system includes the following: | | Yes | No |
| i) | an authorization or licensing system for arms exports [Article 5(2)] | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| ii) | export assessment criteria [Article 7] | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| iii) | a risk assessment procedure [Article 7] | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| If 'No' to any of the items above, please provide background below | | | |
| | | | |
| B. National export controls apply to the conventional arms covered under Article 2(1), and the items covered under Articles 3 and 4 (if 'No', please provide further information below) | | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| | | | |
| C. The national control system includes measures to ensure that all export authorizations are detailed and issued prior to export [Article 7(5)] (if 'No', please provide further information below) | | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| | | | |
| D. The national risk assessment procedure includes all the criteria described in Article 7(1)(a) and (b), and Article 7(4) (if 'No' in any respect, please elaborate below) | | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| | | | |
| E. The competent national authority(-ies) for the control of exports [Article 5(5)] (please specify below) | | | |
| Ministry of Economy of the Republic of Moldova | | | |
| F. The national control system allows appropriate information about an export authorization to be made available, upon request, to the importing State Party and/or to the transit or trans-shipment States Parties [Article 7(6)] (if 'No' please elaborate below) | | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| | | | |
| G. The national risk assessment procedure includes the consideration of risk mitigation measures that could be undertaken to mitigate identified risks [Article 7(2)] (if 'Yes' please elaborate below, including an indication of types of risk mitigation measures that are most often used) | | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| | | | |
| H. The national control system allows exports of controlled equipment without a licence or under simplified procedure under certain circumstances [for instance temporary exports or exports to trusted partners] (if 'Yes' please provide further information below) | | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| | | | |
| I. The national risk assessment procedure includes other criteria <u>not</u> mentioned in the articles cited in 3.D above (if 'Yes' please specify below) | | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| | | | |
| J. Measures to exercise control over exports are applicable also to other categories of conventional arms than those covered in Articles 2(1), 3 and 4 [Article 5(3)] (if 'Yes', please elaborate below) | | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

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| K. An export authorization can be reassessed if new relevant information becomes available [Article 7(7)] (if 'Yes', please provide further information below. Are there also legal provisions for suspension or withdrawal of a license?) | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| It is mentioned in the Government Decision No.606 from 15/05/2002 "About the National Control System on Export, Re-export, Import and Transit Control of Strategic Goods in the Republic of Moldova" that authorization can be reassessed if new information appears. | | |
| L. Information / documentation included in an application for an export authorization (please specify below) | | |
| 1) Company registration certificate 2) License 3) Information about origin of arms, technical specifications 4) Information about external partner 5) End-user certificate 6) International import certificate 7) Contract, invoice | | |
| M. Apart from the competent national authority, the following ministries or government authorities may be involved in the decision-making process for an export authorization [Article 5(5)] (please specify below) | | |
| Ministry of Defence, Customs Service/Ministry of Finance, Ministry of Foreign Affairs and European Integration, Ministry of Internal Affairs, Information and Security Service, Licensing Chamber | | |
| N. The national control system allows a State of final destination to request information concerning pending or actual export authorizations pertaining to it [Article 8(3)] (if 'No', please elaborate below) | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| | | |
| O. Additional voluntary information relevant to national export controls (please specify below - for instance on the control of re-exports, or further detail on national measures indicated in 3A-D and F) | | |
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4. IMPORTS

| | | |
|---|---|--|
| A. The national control system includes measures that allow the regulation, where necessary, of imports of conventional arms covered under Article 2(1) [ref Article 8(2)], as well as items covered under Articles 3 and 4. [ref Articles 6(1) to 6(3)] (if 'Yes', please provide further information below on the nature of control measures and confirm whether they apply to all items in the national control list. If 'No', please elaborate below) | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| If 'Yes', please also answer the following question | | |
| B. The competent national authority(-ies) for the regulation of imports [Article 5(5)] (please specify below) | | |
| Ministry of Economy | | |
| C. The national control system allows for the provision, pursuant to national law and upon request, of appropriate and relevant information to assist an export assessment by a potential exporting State Party [Article 8(1)] (if 'No', please elaborate below) | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| | | |
| D. Imports of conventional arms subject to control are under specific circumstances permitted without regulation or under simplified procedure (if 'Yes' please provide further information below) | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

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| E. Measures to regulate imports are applicable also to other categories of conventional arms than those covered in Article 2(1) [Article 5(3)] (if 'Yes', please elaborate below) | | | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| F. Apart from the competent national authority, the following ministries or government authorities may be involved in the decision-making process for an import authorization (when such an authorization is required) [Article 5(5)] (please specify below) | | | | |
| Ministry of Defence, Customs Service/Ministry of Finance, Ministry of Foreign Affairs and European Integration, Ministry of Internal Affairs, Information and Security Service, Licensing Chamber | | | | |
| G. Information / documentation required for an import authorization (please specify below) | | | | |
| 1) company registration certificate 2) license 3) information about origin of arms, technical specifications 4) information about external partner 5) contract, invoice | | | | |
| H. Additional voluntary information relevant to national import controls (please specify below) | | | | |
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5. TRANSIT & TRANS-SHIPMENT

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|--|---|-------------------------------------|---|--|
| A. The national control system includes measures that allow the regulation, where necessary and feasible, of transit of conventional arms covered under Article 2(1) [ref Article 9], as well as items covered under Articles 3 and 4. [ref Articles 6(1) to 6(3)] (if 'Yes', please provide further information below on the nature of control measures and confirm whether they apply to all items in the national control list. If 'No', please elaborate below) | | | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| B. The national control system includes measures that allow the regulation, where necessary and feasible, of trans-shipment of conventional arms covered under Article 2(1) [ref Article 9], as well as items covered under Articles 3 and 4. [ref Articles 6(1) to 6(3)] (if 'Yes', please provide further information below on the nature of control measures and confirm whether they apply to all items in the national control list. If 'No', please elaborate below) | | | | |
| C. Control measures for the regulation of transit and/or trans-shipment cover : (If 'Yes' to (ii) or (iii), please indicate in the free text field how enforcement is conceived - systematic control or only when information is available?) | | | Yes | No |
| i) | Transit / trans-shipment through land territory (including internal waters) | <input checked="" type="checkbox"/> | <input type="checkbox"/> | |
| ii) | Transit / trans-shipment through territorial waters | <input type="checkbox"/> | <input checked="" type="checkbox"/> | |
| iii) | Transit / trans-shipment through national air space | <input type="checkbox"/> | <input checked="" type="checkbox"/> | |
| D. The competent national authority(-ies) for the regulation of transit and trans-shipment [Article 5(5)] (please specify below) | | | | |
| Ministry of Economy | | | | |
| E. Transit / trans-shipment of controlled equipment is permitted without | | | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

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| regulation or under simplified procedure under certain circumstances (for instance in a free trade area) (if 'Yes' please provide further information below) | | |
| F. National control of transit and/or trans-shipment goes beyond the fulfillment of obligations under Article 6 of the Treaty (if 'Yes', please specify the additional scope of control and indicate whether the additional control applies to all items in the national control list) | | |
| | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| G. Measures to regulate transit and/or trans-shipment are applicable also to other categories of conventional arms than those covered in Article 2(1) [Article 5(3)] (if 'Yes', please elaborate below) | | |
| | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| H. Apart from the competent national authority, the following ministries or government authorities may be involved in the decision-making process for a transit or trans-shipment authorization (when such an authorization is required) [Article 5(5)] (please specify below) | | |
| Ministry of Defence, Customs Service/Ministry of Finance, Ministry of Foreign Affairs and European Integration, Ministry of Internal Affairs, Information and Security Service, Licensing Chamber | | |
| I. Information / documentation required in an application for a transit / trans-shipment authorization (please specify below) | | |
| 1) company registration certificate 2) license 3) information about origin of arms, technical specifications 4) information about companies involved in transaction 5) contract, invoice | | |
| J. Additional voluntary information relevant to national transit / trans-shipment controls (please specify below) | | |
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6. BROKERING

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| A. The national control system includes measures that allow the regulation, pursuant to national laws, of brokering of conventional arms covered under Article 2(1) [ref Article 10], as well as items covered under Articles 3 and 4. [ref Articles 6(1) to 6(3)] (if 'Yes', please provide further information below on the nature of control measures and confirm that they apply to all items in the national control list. If 'No', please elaborate below) | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| Moldovan legislation doesn't define such a term as brokering of strategic goods. At the moment, the national procedure has started for the introduction of license for brokering services related to strategic goods. By the end of 2017 all necessary amendments to legislation are expected to be finalised. | | |
| B. The definition of brokering used in national legislation [Articles 6(1) to 6(3) and Article 10] (please specify in particular if there are extraterritorial elements in the definition, for instance the activities of nationals resident abroad, or transfers that take place between two third countries) | | |
| - | | |
| C. The competent national authority(-ies) for the regulation of brokering [Article 5(5)] (please specify below) | | |
| - | | |
| D. National brokering controls contain exemptions (for instance for national armed forces or defence industry) [Articles 6 & 10] (if 'Yes', please provide further information below) | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
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| E. National brokering controls go beyond the fulfilment of obligations under Article 6 of the Treaty (for instance regulating brokering in other situations) (if 'Yes', please specify the additional scope of control) | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| | | |
| F. Measures to regulate brokering are applicable also to other categories of conventional arms than those covered in Article 2(1) [Article 5(3)] (if 'Yes', please elaborate below) | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| | | |
| G. Apart from the competent national authority, the following ministries or government authorities may be involved in the decision-making process for a control measure related to brokering [Article 5(5)] (please specify below) | | |
| - | | |
| H. Information / documentation required in an application related to brokering (please elaborate below) | | |
| - | | |
| I. Additional voluntary information relevant to national brokering controls (please specify below) | | |
| - | | |

7. DIVERSION

| | | | |
|---|--|-------------------------------------|--------------------------|
| A. Measures foreseen in the national control system to prevent the diversion of conventional arms covered by Article 2(1) [Article 11(1)] | | Yes | No |
| i) | assessing the risk of diversion of an export [Article 11(2)] (if 'No', please provide background below) | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| | | | |
| ii) | cooperation and information exchange, where appropriate and feasible and pursuant to national law, with other States Parties [Article 11(3)] (if 'No', please provide background below) | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| | | | |
| B. The national control system includes appropriate measures to be taken, pursuant to national law and in accordance with international law, when a diversion of transferred conventional arms under Article 2(1) has been detected [Article 11(4)] (if 'No', please elaborate below) | | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| | | | |
| C. Measures included in the national control system to prevent the diversion of conventional arms covered by Article 2(1) [Article 11(1)] : | | Yes | No |
| iii) | establishment of mitigation measures [Article 11(2)] | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| iv) | provision, upon request, of end use / end user documentation to the exporting State [Article 8(1)] | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| v) | requirement for end use / end user assurances from an importing State (or industry) [Article 8(1)] | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| vi) | examination, where appropriate, of parties involved in a transfer [Article 11(2)] | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| viii) | requirement, where appropriate, for additional documentation, certificates, assurances for a transfer [Article 11(2)] | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

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| ix) | exchange of relevant information with other States Parties on effective measures to address diversion, as well as on illicit activities and actors [Articles 11(5) & 15(4)] | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| x) | reporting through the Secretariat to other States Parties on measures taken to address diversion of transferred conventional arms covered under Article 2(1) [Articles 11(6) & 13(2)] | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| xi) | other measures [Article 11(1)] (if 'Yes', please specify below) | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| D. Measures included in the national control system, to be taken when a diversion of transferred conventional arms has been detected [Article 11(4)] | | Yes | No |
| i) | alerting potentially affected States Parties | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| ii) | investigative and law enforcement measures at the national level | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| iii) | using international tracing mechanisms to identify points of diversion | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| iv) | other measures (if 'Yes', please specify below) | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| E. Measures taken to prevent or address diversion are applicable also to other categories of conventional arms than those covered in Article 2(1) [Article 5(3)] (if 'Yes', please elaborate below) | | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| F. Additional voluntary information relevant to the prevention of diversion of conventional arms (please specify below - for instance regarding measures in place to avoid diversion in the context of the international movement of conventional arms referred to in Article 2(3) of the Treaty) | | | |

8. RECORD KEEPING

| | | | |
|--|---|---|-----------------------------|
| A. The national control system includes provisions for maintaining records regarding: [Article 12(1)] (it is mandatory to retain records for one of the two options below) | | Yes | No |
| i) | issued authorizations for the export of conventional arms covered under Article 2(1) of the Treaty [Article 12(1)] | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| ii) | actual exports of conventional arms covered under Article 2(1) of the Treaty [Article 12(1)] (if 'No' to both (i) and (ii), please elaborate below) | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| B. Records are kept for a minimum of 10 years [Article 12(4)] (if 'No', please elaborate below) | | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| C. The national control system includes provisions for maintaining records regarding : | | Yes | No |
| i) | imports of conventional arms covered under Article 2(1) of the Treaty into national territory as final destination [Article 12(2)] | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| ii) | authorizations for the transit and/or trans-shipment through national territory of conventional arms covered under Article 2(1) of the Treaty [Article 12(2)] | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

| | | | |
|---|--|------------------------------|--|
| iii) | authorizations related to the conduct of brokering activities included in the scope of the national control system (for instance relating to a register of brokers) [Article 10] | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| D. Records cover other categories of conventional arms than those specified in Article 2(1) (if 'Yes', please elaborate below) | | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| E. Additional voluntary information relevant to national record keeping (please specify below - for instance types of information preserved in national records for exports and imports respectively) | | | |
| | | | |

9. REPORTING

| | | |
|---|---|-----------------------------|
| A. The national control system allows for the provision of information as required by Article 13(3) (if 'No', please elaborate below) | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| B. Additional voluntary information relevant to national reporting (please specify below, for instance if national reports are publicly available - if available on the open internet please provide the relevant hyperlink) | | |
| At the moment there are no records posted on the open internet, but national experts are in the process of elaborating a new electronic system which will include such information. This service is expected to be available by mid 2017. | | |

10. ENFORCEMENT

| | | |
|---|---|--|
| A. Measures are in place that provide the ability to enforce of the national laws and regulations that implement the provisions of the Arms Trade Treaty [Article 14] (if 'No', please elaborate below) | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| B. National legislation allows the provision to another State Party of jointly agreed assistance in investigations, prosecutions and judicial proceedings in relation to violations of national measures established pursuant to this Treaty [Article 15(5)] (if 'No', please elaborate below) | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| C. National measures have been taken to prevent, in cooperation with other States Parties, the transfer of conventional arms covered under Article 2(1) of the Treaty becoming subject to corrupt practices [Article 15(6)] (please elaborate below) | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| D. Additional voluntary information relevant to national enforcement (please elaborate below - for instance measures taken to criminalize offences against national laws and regulations that implement the provisions of the Arms Trade Treaty and to prescribe legal penalties in these cases.) | | |
| | | |

11. INTERNATIONAL COOPERATION

| | | |
|---|---|-----------------------------|
| A. Cooperation is possible with other States Parties of the Treaty with a view to its effective implementation, where such cooperation is consistent with national law and security interests [Article 15(1)] (if 'No', please elaborate below) | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
|---|---|-----------------------------|

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|---|--|--|
| | | |
| B. Additional voluntary information relevant to participation in international cooperation (please elaborate below - for instance in terms of the measures suggested in Article 15, or in terms of participation in international or regional cooperation in the transfer control area) | | |
| | | |

12. INTERNATIONAL ASSISTANCE

| | | |
|---|---|-----------------------------|
| A. National regulations and policy allow for the provision - upon request and if in a position to do so - of implementation assistance as set out in Article 16(1) (if 'No', please elaborate below) | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| | | |
| B. National regulations and policy allow the provision of financial resources to the voluntary trust fund established under Article 16(3) of the Treaty (if 'No', please elaborate below) | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| | | |
| C. Additional voluntary information relevant to the provision- or receipt of implementation assistance (please specify below - for instance regarding assistance provision capacities or assistance needs,) | | |
| | | |

13. DISPUTE SETTLEMENT

| | | |
|---|---|-----------------------------|
| A. National regulations and policy allow for consultations and, by mutual consent, cooperation in the settlement of disputes regarding the interpretation or application of the Treaty by at least one of the means outlined in Article 19(1) (if 'No', please elaborate below) | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| | | |
| B. National regulations and policy allow for the settlement of disputes concerning the interpretation or application of the Treaty through recourse by mutual consent to arbitration as outlined in Article 19(2) (if 'No', please elaborate below) | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| | | |
| C. Additional voluntary information relevant to dispute settlement under the terms of the Treaty (please specify below) | | |
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