
Arms Trade Treaty
First Conference of States Parties
Cancun, Mexico, 24-27 August, 2015

Final Report

I. Introduction

1. Article 22 paragraph 1 of the Arms Trade Treaty (ATT) states that “This Treaty shall enter into force ninety days following the date of the deposit of the fiftieth instrument of ratification, acceptance or approval with the Depository.” Accordingly, the Arms Trade Treaty entered into force on the 24 December 2014, 90 days after a jointly undertaken deposit of the last eight instruments needed to reach the threshold for entry into force.
2. At the time of the opening of the first Conference of States Parties (CSP1) to the ATT, on 24 August 2015, the Treaty had 130 signatories of which 69 are States Parties. In addition to these, the following three (3) States: Mauritius, Niger and San Marino have expressed their consent to be bound by the ATT as at present date will become parties to the ATT after the end of the CSP1 (respectively, on 21, 22 and 27, October 2015).
3. Article 17 paragraph 1 of the Arms Trade Treaty provides that “A Conference of States Parties shall be convened by the provisional Secretariat, established under Article 18, no later than one year following the entry into force of this Treaty” and “thereafter at such other times as may be decided by the Conference of States Parties”.
4. Article 17 paragraph 2-3 further provides that “The Conference of States Parties shall adopt by consensus its rules of procedure at its first session” and that “the Conference of States Parties shall adopt financial rules for itself as well as governing the funding of any subsidiary bodies it may establish as well as financial provisions governing the functioning of the Secretariat” and finally that “at each ordinary session, it shall adopt a budget for the financial period until the next ordinary session”.
5. Article 17 paragraph 4 (a-g) also provides that “The Conference of States Parties shall:
 - (a) Review the implementation of this Treaty, including developments in the field of conventional arms;
 - (b) Consider and adopt recommendations regarding the implementation and operation of this Treaty, in particular the promotion of its universality;
 - (c) Consider amendments to this Treaty in accordance with Article 20;
 - (d) Consider issues arising from the interpretation of this Treaty;
 - (e) Consider and decide the tasks and budget of the Secretariat;
 - (f) Consider the establishment of any subsidiary bodies as may be necessary to improve the functioning of this Treaty; and
 - (g) Perform any other function consistent with this Treaty”

6. In accordance with Article 18 paragraph 1, and “pending the first Conference of States Parties, a provisional Secretariat will be responsible for the administrative functions covered under this Treaty” and further that it shall undertake the responsibilities described in Article 18 paragraph 3, among them (d) “Facilitate the work of the Conference of States Parties, including making arrangements and providing the necessary services for meetings under this Treaty.”
7. Following two informal consultations in Mexico City (8-9 September 2014) and Berlin (27-28 November 2014) and in cooperation with the host, the provisional Secretariat made arrangements, and provided the necessary services for, the first Preparatory Meeting in advance of the First Conference of States Parties, held in Port of Spain, Trinidad and Tobago on 23-24 February 2015. Eighty-two (82) States and a number of international and regional inter-governmental and non-governmental organisations and representatives of industry participated in the meeting. A procedural report, ATT/1CSP/2015/PM.1/3 was prepared upon conclusion of the First Preparatory meeting, circulated to States on 11 March 2015.
8. Further to this, an informal Preparatory Meeting in advance of the First Conference of States Parties was held in Vienna, Austria, 20-21 April 2015. Ninety-seven (97) States and a number of international and regional inter-governmental and non-governmental organisations and representatives of industry participated in the meeting. Beyond discussing effective implementation and best practice revised versions of working papers were informally presented and discussed with a view to further inform Facilitator’s contributions to the second Preparatory Meeting.
9. On 6-8 July 2015, the second and Final Preparatory Meeting was held in Geneva, Switzerland co-chaired by the host and Mr. Jorge Lomónaco, Ambassador and Permanent Representative of Mexico to the United Nations Office at Geneva, as Chair of the Preparatory Process and designated Chair of the Conference of States Parties. One hundred and five (105) States and a number of international and regional inter-governmental and non-governmental organisations and representatives of industry participated in the meeting. A procedural report, ATT/1CSP/2015/PM.2/5 was prepared upon conclusion of the Final Preparatory Meeting, reviewed by States and adopted, as orally amended, at the final plenary meeting and thereafter made available to States Parties on the Treaty website at www.thearmstradetreaty.org.

II. Organization of the First Conference of States Parties

10. Pursuant with Article 18 paragraph 1 of the Treaty, and in accordance with decisions of States Parties contained in ATT/CSP1/2015/PM.1/3, paragraph 23-28 and in cooperation with the Government of Mexico, as the host, and the United Nations Development Programme (UNDP), the provisional Secretariat made arrangements and provided the necessary services for the first Conference of States Parties, including the preparation of this Report.
11. The First Conference of States Parties to the Arms Trade Treaty was held in Cancún, Mexico on 24-27 August 2015 and was attended by 119 States, a number of international and regional intergovernmental organisations and representatives of civil society, including NGOs, and industry.
12. The following sixty-seven (67) States Parties to the Treaty participated in the work of the Conference: Albania, Antigua and Barbuda, Argentina, Australia, Austria, Bahamas, Barbados, Belgium, Belize, Bosnia and Herzegovina, Bulgaria, Burkina Faso, Chad, Costa Rica, Cote d’Ivoire, Croatia, Czech Republic, Denmark, Dominica, Dominican Republic, El Salvador, Estonia, Finland, France, Germany, Grenada, Guinea, Guyana, Hungary, Iceland, Ireland, Italy, Jamaica, Japan, Latvia,

Liberia, Liechtenstein, Lithuania, Luxembourg, Mali, Mexico, Montenegro, the Netherlands, New Zealand, Nigeria, Norway, Panama, Paraguay, Poland, Portugal, Romania, Saint Lucia, Saint Vincent and the Grenadines, Samoa, Senegal, Serbia, Sierra Leone, Slovakia, Slovenia, South Africa, Spain, Sweden, Switzerland, the former Yugoslav Republic of Macedonia, Trinidad and Tobago, United Kingdom of Great Britain and Northern Ireland and Uruguay.

13. The following State that has ratified or acceded to the Treaty, but for which it was not yet in force, participated in the work of the Conference: Niger.
14. The following forty (40) States signatories to the Treaty participated in the work of the Conference: Angola, Bangladesh, Brazil, Burundi, Cameroon, Cape Verde, Chile, Colombia, Comoros, Cyprus, Georgia, Ghana, Guatemala, Guinea Bissau, Haiti, Honduras, Israel, Kiribati, Lesotho, Madagascar, Malaysia, Mauritania, Mongolia, Mozambique, Namibia, Peru, the Philippines, Republic of Korea, Republic of Moldova, Singapore, Swaziland, Thailand, Togo, Turkey, Tuvalu, Ukraine, the United Arab Emirates, United Republic of Tanzania, United States of America and Zambia.
15. The following eleven (11) States also attended the Conference as observers: Algeria, Botswana, China, Fiji, the Holy See, Jordan, Kenya, Qatar, Saudi Arabia, Viet Nam and Yemen.
16. The following organisations participated in the work of the Conference as observers: United Nations Development Programme, United Nations Institute for Disarmament Research, United Nations Office for Disarmament Affairs, United Nations Office on Drugs and Crime, African Union, East African Community, European Union, Organization of American States, and International Committee of the Red Cross, Wassenaar Arrangement.
17. The following international coalitions of NGOs and associations representing industry, as well as civil society representatives, participated in the work of the Conference as observers: Control Arms Coalition [Acronym Institute for Disarmament Diplomacy; Action Sécurité Éthique Républicaines; Africa Peace Forum; Alianza Cívica- Monterrey; Amnesty International; Anglican Church; Asociación para Políticas Públicas; Asociación Transiciones; Association of Women's Organizations of Jamaica; Cameroon Youths and Students Forum for Peace; Campaña Colombiana Contra Minas; Caribbean Coalition for Development and Reduction of Armed Violence; Centre de Recherches sur la Sécurité et le Développement; Centre for Peace, Security and Armed Violence Prevention; Centre for Trust, Peace and Social Relations, Coventry University; Centro de Estudios Ecueménicos, A.C.; Church of Sweden; Conectas Direitos Humanos; Control Arms; Desarma México A.C.; End Child Prostitution, Child Pornography and Trafficking of Children for Sexual Purposes; Fundación Arias para la Paz y el Progreso Humano; Groupe de Recherche et d'Information sur la Paix et la Sécurité; Instituto de Enseñanza para el Desarrollo Sostenible; International Physicians for the Prevention of Nuclear War; Movimiento por la Paz con Justicia y Dignidad; Mozambican Force for Crime Investigation and Social Reintegration; Nonviolence International Southeast Asia; Norwegian Ecumenical Peace Platform; Norwegian Forum for Environment and Development; Oxfam; Pacific Small Arms Action Group; Parliamentary Forum on Small Arms and Light Weapons; Partners for Peace and Development; Pax Christi Institute; Pax for Peace; Peace Research Institute Oslo; Permanent Peace Movement; Project Ploughshares; Saferworld; Seguridad Humana en Latinoamérica y el Caribe; Small Arms Survey; South Sudan Action Network on Small Arms; Stimson Centre; Swedish Peace and Arbitration Society; Thunder PR; West African Action Network on Small Arms; Women for Peace and Democracy Nepal; Women's International League for Peace and Freedom; Yabra

Citizen Development], SaferGlobe Research Network, World Forum on the Future Shooting Activities, The Boeing Company, Business and Diplomacy, Defense Small Arms Advisory Council, Dynamit Nobel Defence GmbH, Fundación Arias para la Paz y el Progreso Humano, The Heritage Foundation, International Peace Information Service, National Rifle Association of America, Second Amendment Foundation, Sporting Arms and Ammunition Manufacturers' Institute, Stockholm International Peace Research Institute, Universidad Anahuac.

18. The provisional Secretariat presented a report contained in the document ATT/CSP1/2015/INF.4, as a transparency and accountability measure to States Parties, in which it is described some of the activities and administrative functions carried out by Mexico alongside with the support of the UNDP Office in Geneva, since the Arms Trade Treaty's entry into force, on 24 December 2014, until the celebration of the First Conference of States Parties, held in Cancun, on 24-27 August 2015. Among other aspects, the provisional Secretariat's report presents data on the Sponsorship Programme and thanks donors for their voluntary contributions.

III. Decisions and recommendations

19. Pursuant to the confirmation by States Parties at the First Preparatory Meeting, held in Port of Spain, Trinidad and Tobago (23-24 February 2015), to designate Mexico as the Chair of the First Conference of States Parties, as contained in document ATT/1CSP/2015/PM.1/3, the Conference proceeded with the election, by acclamation, of Ambassador Jorge Lomónaco, Permanent Representative of Mexico to the United Nations Office in Geneva, and President of the Preparatory Process, as the President of the First Conference of States Parties.
20. The Conference proceeded with the adoption of the Agenda as contained in document ATT/CSP1/2015/1/Rev.1, circulated 24 August 2015.
21. The Conference considered and adopted, by consensus, the draft Rules of Procedure, hereafter the Rules of Procedure, as contained in document ATT/CSP1/2015/WP.1/Rev.1, submitted by Mexico (Facilitator for matters pertaining to Rules of Procedure). The Rules of Procedure will be issued as ATT/CSP1/CONF.1.
22. Pursuant to Rule 9.1 of the Rules of Procedure, and with reference to this being the first Conference of States Parties, as a provisional measure, the Conference elected Montenegro, Nigeria, Samoa and the United Kingdom as the four (4) vice-Presidents, to serve up until the end of the CSP1, at which time an election will be undertaken to elect the President and four (4) vice-Presidents for the following session.
23. Pursuant to Rule 10 of the Rules of Procedure, and with reference to this being the first Conference of States Parties, as a provisional measure, the Conferences decided to suspend Rule 10 as it stands with reference to the absence of a Head of the permanent Secretariat. It confirmed Mexico as the Secretary of the Conference and to serve in this capacity, in this session, until such time at which the Head of the Secretariat has been appointed.
24. Pursuant to Article 17 paragraph 3, the Conference considered and adopted Financial Rules for itself as well as governing for the funding of any subsidiary bodies it may establish and the financial provisions governing the functioning of the Secretariat, as contained in document ATT/CSP/2015/WP.3/Rev.1 submitted by Australia and Ghana (Facilitators on matters pertaining to financial rules). The Financial Rules will be issued as ATT/CSP1/CONF.2.

25. As per provisions under Article 18, the Conference approved by consensus to designate *Geneva, Switzerland* as the location of the Secretariat.
26. With reference to the evolution of the preparatory process, the limited time available and the expressed wish of States Parties for a prompt realisation of the establishment of a permanent Secretariat, the Conference decided to consider an appointment of the first Head of the Secretariat to the Arms Trade Treaty, to serve until the second Conference of State Parties convenes in Geneva, with the understanding that a full-scale merit based selection process would be launched at a later stage which would conclude with the selection of a Head of the Secretariat of the Arms Trade Treaty for a second term, at the CSP2.
27. Pursuant to the agreement reached among States at the Final Preparatory Meeting on the process of how to reach a decision on the most suitable candidate through, to the extent possible, a merit based selection for the appointment of the Head of the Secretariat, the Conference considered the recommendations made by the Chairperson, as contained in document ATT/CSP1/2015/4 and decided upon the appointment of Mr Simeon Dumisani Dladla from South Africa, as the first Head of the Secretariat of the ATT.
28. Pursuant to Article 17 paragraph 4 (e), the Conference decided the tasks of the Secretariat as contained in document ATT/CSP/2015/WP.2/Rev.2, submitted by France, Facilitator on matters pertaining to the Secretariat. The Directive will be issued as ATT/CSP1/CONF.3.
29. Pursuant to Article 17 paragraph 4 (f) the Conference decided to establish a Management Committee in accordance with the Terms of Reference outlined in document ATT/CSP/2015/WP.5/Rev.2, submitted by the Facilitators France, Australia/Ghana, to provide oversight of financial matters as well as on other matters related to the Secretariat with the aim of ensuring maximum accountability, efficiency and transparency of certain functions of the Secretariat and of financial matters on its behalf.
30. Pursuant to the decision to establish a Management Committee, the following five State Party representatives designated by each UN regional group, were appointed by the Conference as Members of the Management Committee in addition to the President of the Conference: *Côte d'Ivoire, Czech Republic, France, Jamaica and Japan*.
31. Pursuant to Article 17 paragraph 4 (e) and in recognition of the need to develop a proposal concerning the administrative arrangements of the Secretariat for the consideration of the Conference, the CSP1 adopted a provisional budget for the Secretariat for the financial period up to the next ordinary session as contained in document ATT/CSP/2015/WP.6/Rev.1, as amended, to be issued as ATT/CSP/2015/WP.6/Rev.1/Corr., including relevant expenditures foreseen for 2015, as well as a provisional budget for the Conference including a cost estimated for its second session, submitted by the provisional Secretariat.
32. The Conference decided, as an interim measure, to bridge the institutional arrangements between the CSP1 and the time at which the newly appointed Head of the Secretariat take up his duties, to entrust the Management Committee, with the support of UNDP Geneva, to assist with the administrative tasks as necessary, in support of the work of the Conference. The interim administrative arrangements will be covered on a cost recovery basis.

33. Further to the decisions pursuant to paragraph 32, the Conference decided to hold an Extraordinary Meeting to review and consider for adoption, the draft proposal concerning the administrative arrangements of the Secretariat and subsequent to this, a revision of the provisional budgets referred to.
34. The Conference decided that the Extraordinary Meeting would be held early in the new year of 2016 at the seat of the Secretariat, for the duration of one (1) day and considered for adoption the Provisional Agenda as contained in ATT/CSP1/2015/WP.7 submitted by the President, to be issued as ATT/CSP1/2015/EM.1.
35. The Conference of the States Parties agreed to consider actions and activities for its Programme of Work, as contained in ATT/CSP1/2015/WP.8.Rev.1 and as orally amended, in the period up to the second ordinary session that could be foreseen in follow up to decisions by the Conference of States Parties at its first session. Such activities may include, *inter alia*:
- In the absence of the Head of the Secretariat and until the day at which he enters into office, plans for the further operationalization of the Secretariat including the development of a workplan for the Secretariat,
 - In the absence of the Head of the Secretariat and until the day at which he enters into office, initiation of the assessment of States Parties enabling contributions for the CSP and any subsidiary bodies it may establish and for the Secretariat
 - A programme for the Management Committee,
 - In the absence of the Head of the Secretariat and until the day at which he enters into office, a mechanism for receiving reports under Article 13, and national control lists pursuant to Article 5.4, and national point(s) of contact pursuant to Article 5.6 as well as identifying competent national authorities in accordance with 5.5,
 - Provisions for further development of the functions of the Trust Fund,
 - Activities with the objective to identify how States wish to address matters under Article 17.4 (a-d) over the intersessional period up to the next ordinary session

as well as further suggestions by the President relevant to Article 17.4 such as:

- Identifying and assessing developments in the field of conventional arms,
- Collating best practice on the implementation and operation of the Treaty,
- Promoting universalization of the Treaty
- Identifying lessons learnt and need for adjustments in implementation,
- Collate practice among states designed on the basis of Treaty interpretation

the actions of which could be reported to Conference at the next annual ordinary session.

36. The Conference decided to take note with appreciation, of the reporting templates, as found in ATT/CSP1/2015/WP.4/Rev.2, and as orally amended, submitted by Sweden, Facilitator on matters pertaining to reporting, and decided to establish an informal working group on reporting.
37. Pursuant to Rule 9.1 of the Rules of Procedure, the Conference elected, by acclamation, Ambassador Emmanuel E. Imohe from Nigeria as the President for the following session of the Conference.

38. Pursuant to the same Rule, the Conference elected, by acclamation, *Costa Rica, Finland, Montenegro and New Zealand*, as the four vice-Presidents for the following session of the Conference.
39. The Conference decided to hold its next annual ordinary sessions at the seat of the Secretariat, for a duration of up to 5 days in the second half of 2016.
40. The Conference warmly thanked Mexico for the exceptional dedication and outstanding work done by the Chairperson and the provisional Secretariat in supporting States and leading the Preparatory Process up to and through a successful first Conference of States Parties.
41. At its last plenary meeting, on Thursday 27 August 2015, the Conference adopted its Final Report as contained in document ATT/CSP1/2015/WP.12, and as orally amended, to be issued as document ATT/CSP1/2015/6.

LIST OF DOCUMENTS

ATT/CSP1/2015	Informal ballot procedure, submitted by the Secretariat
ATT/CSP1/2015/1	Provisional agenda, submitted by the Chairperson of the Preparatory Process
ATT/CSP1/2015/1/Rev.1	Revised Provisional agenda, submitted by the Chairperson of the Preparatory Process
ATT/CSP1/2015/2	Provisional programme of work, submitted by the Chairperson of the Preparatory Process
ATT/CSP1/2015/3	Annotated provisional programme of work, submitted by the Chairperson of the Preparatory Process
ATT/CSP1/2015/4	Report of the Evaluation Committee, submitted by the Chairperson of the Preparatory Process
ATT/CSP1/2015/5	Participant list, submitted by the Secretariat
ATT/CSP1/2015/6	Final Report, submitted by the Secretariat
ATT/CSP1/2015/INF.1	Questionnaire for candidates cities, submitted by France as Facilitator on Secretariat
ATT/CSP1/2015/INF.2	Arms Trade Treaty Secretariat costing spreadsheet, submitted by Ghana and Australia as facilitators on Financial Rules
ATT/CSP1/2015/INF.3	Letter of the Chair of the preparatory process, submitted by Chairperson of the Preparatory Process

ATT/CSP1/2015/INF.4	Report on the activities of the provisional Secretariat, submitted by the provisional Secretariat
ATT/CSP1/2015/WP.1	Draft Rules of Procedure, submitted by Mexico as facilitator on Rules of Procedure
ATT/CSP1/2015/WP.1/Rev.1	Revised Draft Rules of Procedure, submitted by Mexico as facilitator on Rules of Procedure
ATT/CSP1/2015/WP.2	Secretariat, submitted by France as facilitator on Secretariat
ATT/CSP1/2015/WP.2/Rev.1	Revision - Secretariat, submitted by France as facilitator on Secretariat
ATT/CSP1/2015/WP.2/Rev.2	2 nd Revision, Secretariat, submitted by France as facilitator on Secretariat
ATT/CSP1/2015/WP.3	Report on Financial Rules, submitted by Ghana and Australia as facilitators on Financial Rules
ATT/CSP1/2015/WP.3/Rev.1	Revision - Financial Rules, submitted by Ghana and Australia as facilitators on Financial Rules
ATT/CSP1/2015/WP.4	Reporting templates, submitted by Sweden as facilitator on Reporting
ATT/CSP1/2015/WP.4/Rev.1	Revision - Reporting templates, submitted by Sweden as facilitator on Reporting
ATT/CSP1/2015/WP.4/Rev.2	2 nd Revision, Reporting templates, submitted by Sweden as facilitator on Reporting
ATT/CSP1/2015/WP.5	Terms of Reference for the Management Committee, submitted by Australia/France as facilitators on Financial Rules/Secretariat
ATT/CSP1/2015/WP.5/Rev.1	Revision - Terms of Reference for the Management Committee, submitted by Australia/France as facilitators on Financial Rules/Secretariat
ATT/CSP1/2015/WP.5/Rev.2	2 nd Revision - Terms of Reference for the Management Committee, submitted by Australia/France as facilitators on Financial Rules/Secretariat
ATT/CSP1/2015/WP.6	Provisional budget for the Secretariat and the Conference for the financial period up to the next ordinary session, including a cost estimate for the CSP2, submitted by the provisional Secretariat
ATT/CSP1/2015/WP.6/Rev.1	Provisional budget for the Secretariat and the Conference for the financial period up to the next ordinary session, including a cost estimate for the CSP2, submitted by the provisional Secretariat

ATT/CSP1/2015/WP.6/Rev.1/Corr	Provisional budget for the Secretariat and the Conference for the financial period up to the next ordinary session, including a cost estimate for the CSP2, submitted by the provisional Secretariat
ATT/CSP1/2015/WP.7	Provisional Agenda for Extraordinary Meeting
ATT/CSP1/2015/WP.8	Non-paper - Elements for a Programme of Work in the period up to the second ordinary session, submitted by the President
ATT/CSP1/2015/WP.8/Rev.1	Revised, Non-paper - Elements for a Programme of Work in the period up to the second ordinary session, submitted by the President
ATT/CSP1/2015/WP.9	Facilitators Report on Financial Rules, submitted by Australia/Ghana
ATT/CSP1/2015/WP.10	Facilitators Report, Secretariat, submitted by France
ATT/CSP1/2015/WP.11	Non-paper - Assumptions for the budget related to the functioning of the Secretariat for the Arms Trade Treaty, submitted by the President