

Arms Trade Treaty: Status of ATT Finances

Background

- ATT Financial Rules (Rule 5 and Rule 6 formula)
- Considerations for calculation of assessed contributions:
 - ✓ CSP approved budget estimates
 - ✓ Number of States Parties
 - ✓ Number of other States in attendance at last CSP
 - ✓ UN scale of assessment
 - ✓ ATT scale of effort

Source of revenue



- Exclusive financial contributions from states
- States Parties:
 - ✓ Conference costs
 - ✓ ATT Secretariat costs
- Signatory and Observer states in attendance at last CSP
 - ✓ Conference costs (attendance fee)
 - ✓ ATT Secretariat costs (activities related to conference organisation)

Financial Management Process



- Financial period = calendar year (Rule 3)
- Budget estimates approved by a CSP
- Invoices: October of every year (Rule 8)
- Payable in 3 months (end of Jan (Rule 8))
- Reminders: March including info on all outstanding contributions
- Status of contributions posted on ATT website (regularly)
- Rollover register posted on ATT website (annually)

CSP6 Decision on Finances



- Adopted the ATT Budget for FY 2021

Overview of Contributions received (30 April)

	2015/16 Budget	2017 Budget	2018 Budget	2019 Budget	2020 Budget	2021 Budget
Contributions Assessed	993,102.49	1,046,194.66	975,927.00	1,084,125.00	1,069,785.00	1,068,200.00
Contributions Received	928,009.64	981,301.98	919,920.59 (incl. RO: 270,771.90)	1,004,562.10	960,803.98 (incl. RO: 179,815.53)	920,291.41 (incl. RO: 211,418.73)
Contributions Outstanding	-65,092.85	-64,892.09	-56,407.88	-79,562.90	-108,981.02	-147,908.59
% of Budget Received	93.45%	93.80%	94.26%	92.66%	89.81%	86.15%
States Assessed	124	121	119	127	122	121
States having paid	98	102	101	101	89	62
% of Assessed States having paid	79.03%	84.30%	84.87%	79.53%	72.95%	51.24%
In-kind Contribution by Switzerland	458,230.00	242,873.00	257,057.00	234,387.00	247,454.00	249,523.00

Outstanding Contributions

2015/16 Budget	2017 Budget	2018 Budget	2019 Budget	2020 Budget	2021 Budget	Total Deficit
-65,092.85	-64,892.09	-56,407.88	-79,562.90	-108,981.02	-147,908.59	-522,845.34
26 States	19 States	18 States	26 States	33 States	59 States	

Rollover Implication



- CSP5 decision reaffirmed the application of Financial Rule 8.4 (Rollover of total uncommitted balance of appropriations i.e. cash and deficits).
- Balance of total credits due (FY 2015/16, 2017 and 2018) will be paid over 4 years
- Rollover effected to paid-up states is covered by received contributions and by deficit thereby reducing cash available for current planned operations.
- Since the ATT is building a deficit of 7% avg, the implication is that liquidity challenges will materialize in the medium term if outstanding contributions remain unpaid.

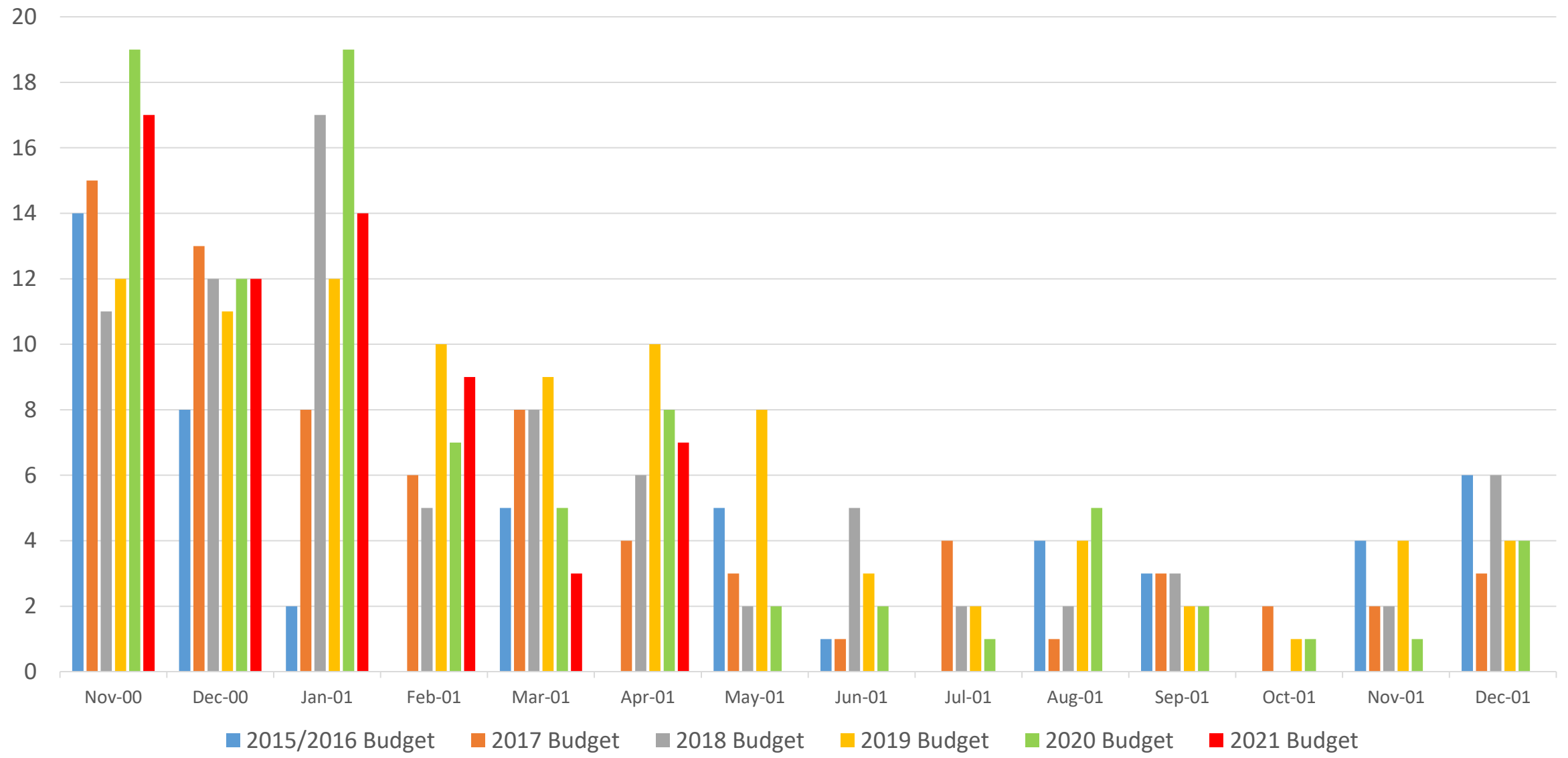
ATT Reserve Fund



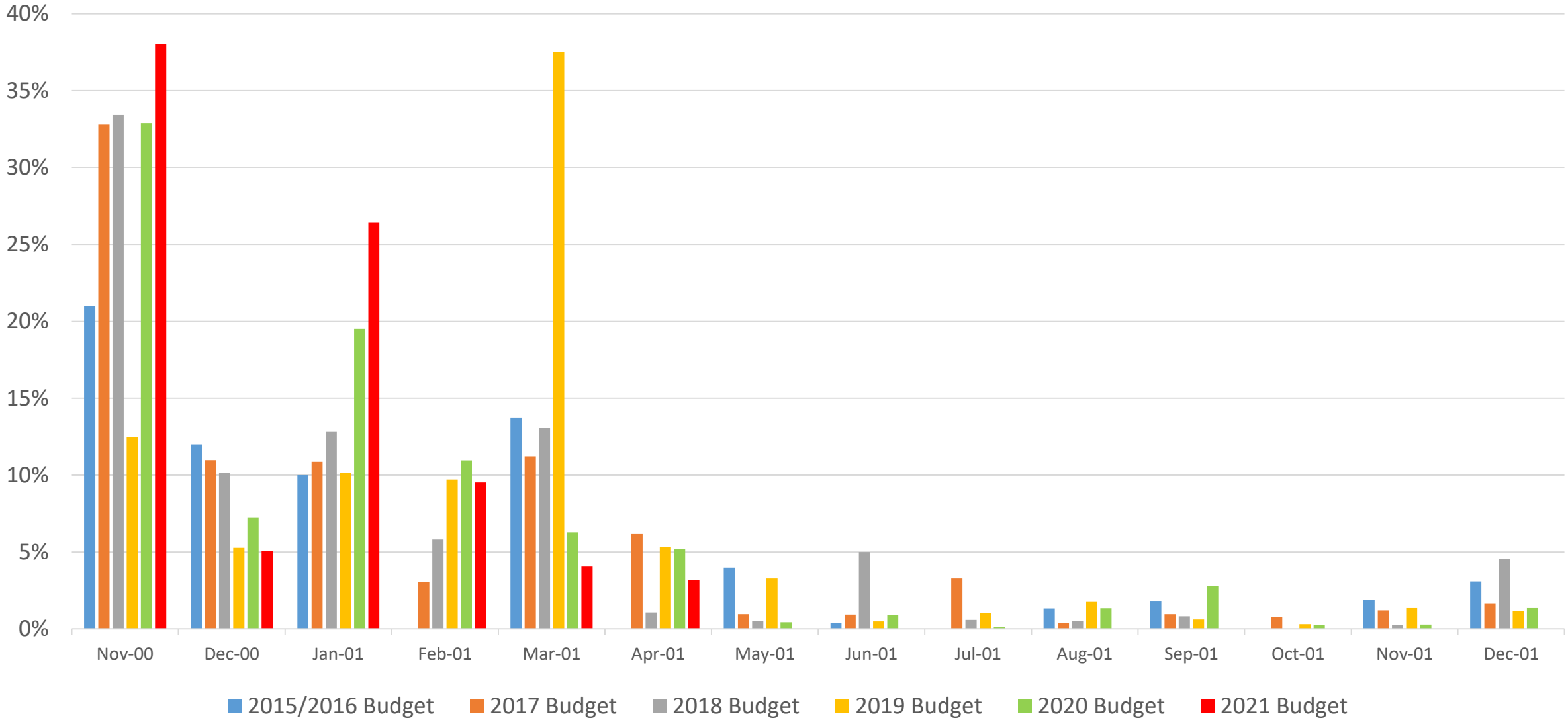
- Reserve Fund established by CSP5 decision in 2019
- Financed from voluntary contributions by states

Reserve Fund	2019	2020	2021
Contributions Received	0.00	0.00	0.00

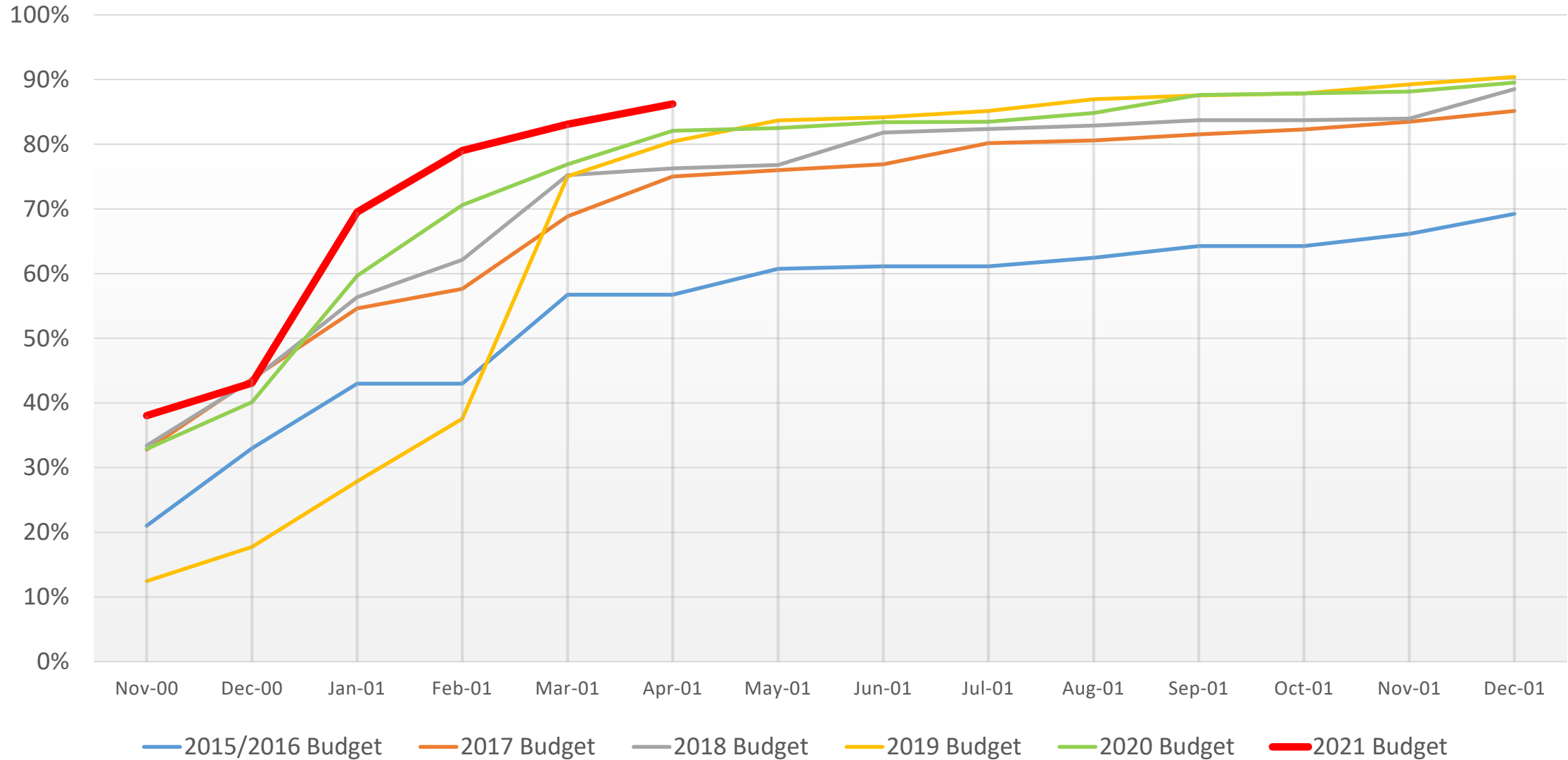
Number of States having paid per Month



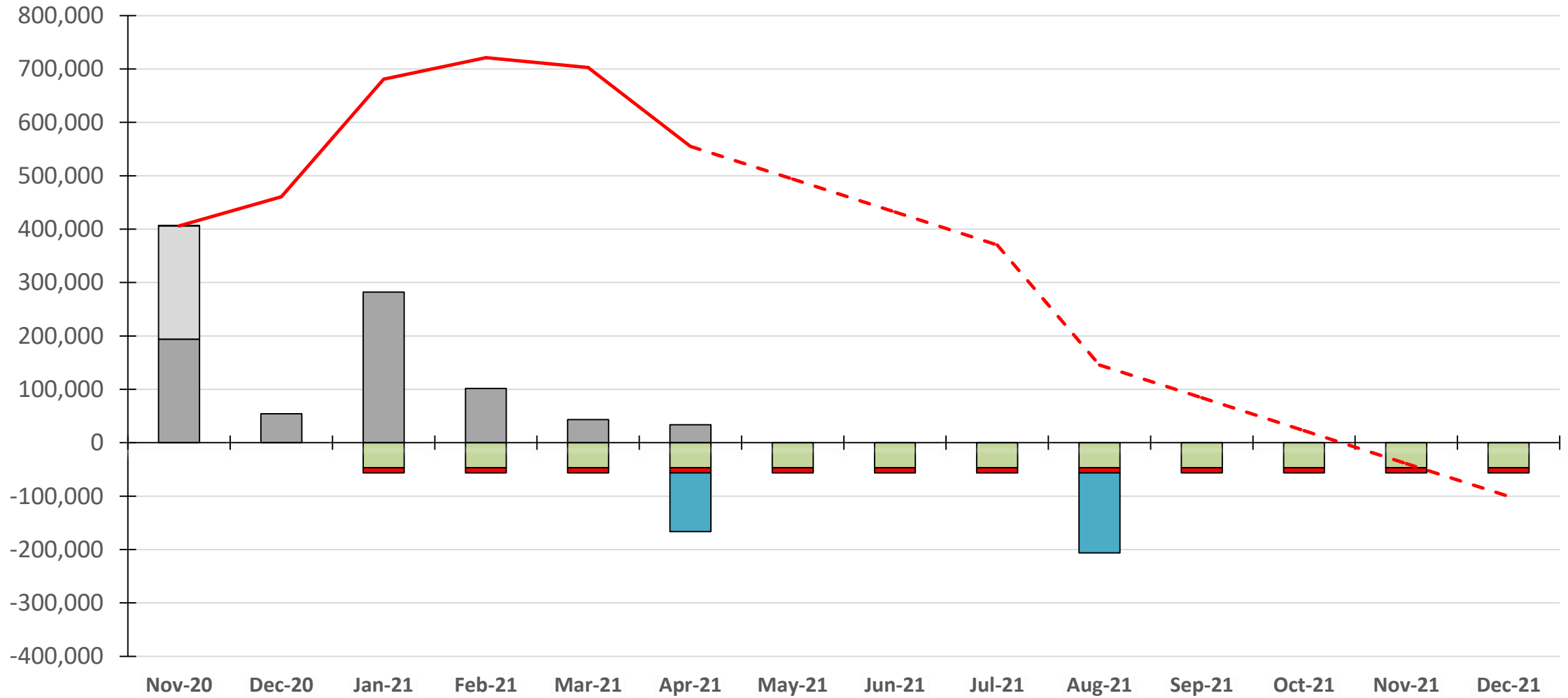
Percentage of Budget received per Month



Percentage of Budget received (trend)



Cash Flow Projection: 2021



Contributions ATT 2021 Budget Received
 Rollover
 Staff Costs
 Operations
 Preparatory Meetings and CSP6
 Liquidity [USD]

End