Arms Trade Treaty:
Status of ATT Finances

Dumisani DLADLA, Head of the ATT Secretariat
30 April 2021
Background

- ATT Financial Rules (Rule 5 and Rule 6 formula)

- Considerations for calculation of assessed contributions:
  - CSP approved budget estimates
  - Number of States Parties
  - Number of other States in attendance at last CSP
  - UN scale of assessment
  - ATT scale of effort
Source of revenue

- Exclusive financial contributions from states

- States Parties:
  - Conference costs
  - ATT Secretariat costs

- Signatory and Observer states in attendance at last CSP
  - Conference costs (attendance fee)
  - ATT Secretariat costs (activities related to conference organisation)
Financial Management Process

• Financial period = calendar year (Rule 3)

• Budget estimates approved by a CSP

• Invoices: October of every year (Rule 8)

• Payable in 3 months (end of Jan (Rule 8))

• Reminders: March including info on all outstanding contributions

• Status of contributions posted on ATT website (regularly)

• Rollover register posted on ATT website (annually)
CSP6 Decision on Finances

- Adopted the ATT Budget for FY 2021
## Overview of Contributions received (30 April)

<table>
<thead>
<tr>
<th></th>
<th>2015/16 Budget</th>
<th>2017 Budget</th>
<th>2018 Budget</th>
<th>2019 Budget</th>
<th>2020 Budget</th>
<th>2021 Budget</th>
</tr>
</thead>
<tbody>
<tr>
<td>Contributions Assessed</td>
<td>993,102.49</td>
<td>1,046,194.66</td>
<td>975,927.00</td>
<td>1,084,125.00</td>
<td>1,069,785.00</td>
<td>1,068,200.00</td>
</tr>
<tr>
<td>Contributions Received</td>
<td>928,009.64</td>
<td>981,301.98</td>
<td>919,920.59</td>
<td>1,004,562.10</td>
<td>960,803.98</td>
<td>920,291.41</td>
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<td>(incl. RO: 270,771.90)</td>
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</tr>
<tr>
<td>Contributions Outstanding</td>
<td>-65,092.85</td>
<td>-64,892.09</td>
<td>-56,407.88</td>
<td>-79,562.90</td>
<td>-108,981.02</td>
<td>-147,908.59</td>
</tr>
<tr>
<td>% of Budget Received</td>
<td>93.45%</td>
<td>93.80%</td>
<td>94.26%</td>
<td>92.66%</td>
<td>89.81%</td>
<td>86.15%</td>
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<tr>
<td>States Assessed</td>
<td>124</td>
<td>121</td>
<td>119</td>
<td>127</td>
<td>122</td>
<td>121</td>
</tr>
<tr>
<td>States having paid</td>
<td>98</td>
<td>102</td>
<td>101</td>
<td>101</td>
<td>89</td>
<td>62</td>
</tr>
<tr>
<td>% of Assessed States having paid</td>
<td>79.03%</td>
<td>84.30%</td>
<td>84.87%</td>
<td>79.53%</td>
<td>72.95%</td>
<td>51.24%</td>
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<tr>
<td>In-kind Contribution by</td>
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<tr>
<td>Switzerland</td>
<td>458,230.00</td>
<td>242,873.00</td>
<td>257,057.00</td>
<td>234,387.00</td>
<td>247,454.00</td>
<td>249,523.00</td>
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</tbody>
</table>
# Outstanding Contributions

<table>
<thead>
<tr>
<th></th>
<th>2015/16 Budget</th>
<th>2017 Budget</th>
<th>2018 Budget</th>
<th>2019 Budget</th>
<th>2020 Budget</th>
<th>2021 Budget</th>
<th>Total Deficit</th>
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<tbody>
<tr>
<td></td>
<td>-65,092.85</td>
<td>-64,892.09</td>
<td>-56,407.88</td>
<td>-79,562.90</td>
<td>-108,981.02</td>
<td>-147,908.59</td>
<td>-522,845.34</td>
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<td>26 States</td>
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<td>59 States</td>
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</table>
Rollover Implication

- CSP5 decision reaffirmed the application of Financial Rule 8.4 (Rollover of total uncommitted balance of appropriations i.e. cash and deficits).

- Balance of total credits due (FY 2015/16, 2017 and 2018) will be paid over 4 years

- Rollover effected to paid-up states is covered by received contributions and by deficit thereby reducing cash available for current planned operations.

- Since the ATT is building a deficit of 7% avg, the implication is that liquidity challenges will materialize in the medium term if outstanding contributions remain unpaid.
ATT Reserve Fund

- Reserve Fund established by CSP5 decision in 2019
- Financed from voluntary contributions by states

<table>
<thead>
<tr>
<th>Reserve Fund</th>
<th>2019</th>
<th>2020</th>
<th>2021</th>
</tr>
</thead>
<tbody>
<tr>
<td>Contributions Received</td>
<td>0.00</td>
<td>0.00</td>
<td>0.00</td>
</tr>
</tbody>
</table>

Number of States having paid per Month

2015/2016 Budget
2017 Budget
2018 Budget
2019 Budget
2020 Budget
2021 Budget
Percentage of Budget received per Month

- Nov-00
- Dec-00
- Jan-01
- Feb-01
- Mar-01
- Apr-01
- May-01
- Jun-01
- Jul-01
- Aug-01
- Sep-01
- Oct-01
- Nov-01
- Dec-01

- 2015/2016 Budget
- 2017 Budget
- 2018 Budget
- 2019 Budget
- 2020 Budget
- 2021 Budget
Cash Flow Projection: 2021

- Contributions ATT 2021 Budget Received
- Rollover
- Staff Costs
- Operations
- Preparatory Meetings and CSP6
- Liquidity [USD]
End