

LIST OF POSSIBLE REFERENCE DOCUMENTS TO BE CONSIDERED BY STATES PARTIES  
TO PREVENT AND ADDRESS DIVERSION<sup>1</sup>

*The following public documents with associated links are referenced as optional sources that States Parties may choose to draw from, when relevant and useful, to assist them to prevent and address diversion. Use of these documents is not to be seen as mandatory. A State Party may also draw on other sources of information to assist it in implementing its obligations, such as reports from Government agencies, Embassies, foreign Governments, international and regional organizations.*

*The list is not exhaustive and the fact that a document is referenced on the list does not imply that States Parties endorse its findings.*

A. INTERNATIONAL ORGANISATIONS

- United Nations Office for Disarmament Affairs (UNODA)      [ATT Implementation Toolkit](#): Module 10: Preventing diversion

B. REGIONAL ORGANISATIONS AND OTHER MULTILATERAL ORGANISATIONS/MECHANISMS

- **European Union (EU)**      [EU Common Position on Arms Exports](#)  
[User's Guide to the EU Common Position on Arms Exports](#)
  
- **International Small Arms Control Standards (ISACS)**      The ISACS has produced a number of [Standards Modules](#) on small arms and light weapons, including, of particular relevance:  
[National controls over the international transfer of small arms and light weapons](#)  
[National controls over the end-user and end-use of internationally transferred SALW](#)
  
- **Organisation for Security and Cooperation in Europe (OSCE)**      The OSCE has produced a range of [guidance documents on small arms and light weapons](#), including, of particular relevance:  
[OSCE Handbook of Best Practices on Small Arms and Light Weapons](#)  
[OSCE Decision on introducing best practices to prevent destabilizing transfers of small arms and light weapons through air transport and on an associated Questionnaire](#)

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<sup>1</sup> Annex C to the Draft Report to the Fourth Conference of States Parties (CSP4) ([ATT/CSP4.WGETI/2018/CHAIR/355/Conf.Rep](#)) presented by the Chair of the Working Group on Effective Treaty Implementation (WGETI).

[Standard elements of end-user certificates and verification procedures for SALW exports](#)

- **Regional Centre on Small Region, the Horn of Africa and Bordering States (RECSA)** Best Practice Guidelines for the Implementation of the Nairobi Declaration and the Nairobi Protocol on Small Arms and Light and Light Weapons
  
- **Wassenaar Arrangement** The Wassenaar arrangement has also collated a range of [Best Practices and Guidelines on Arms Transfers](#), including, of particular relevance:  
  
[Best practice guidelines for exports of small arms and light weapons](#)  
  
[Introduction to End User/End Use Controls for Exports of Military-List Equipment](#)  
  
[Statement of Understanding on Implementation of End-Use Controls for Dual-Use Items](#)  
  
[End-Use Assurances Commonly Used – Consolidated Indicative List](#)  
  
[Elements for objective analysis and advice concerning potentially destabilising accumulations of conventional weapons](#)  
  
[Best Practices to Prevent Destabilising Transfers of Small Arms and Light Weapons \(SALW\) through Air Transport](#)

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