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Arms Trade Treaty  
**Fifth Conference of States Parties**  
Geneva, 26 – 30 August 2019

## FINAL REPORT

The Final Report of the Fifth Conference of States Parties to the Arms Trade Treaty, which took place from 26-30 August 2019 in Geneva, Switzerland, consists of three parts and an Annex as follows:

- I Introduction
- II Organization of the Conference
- III Decisions and Recommendations

**Annex** List of Documents

### I. INTRODUCTION

1. The Arms Trade Treaty entered into force on 24 December 2014 in line with the provisions of Article 22(1) of the Treaty.
2. Article 17(1) of the Treaty states that “A Conference of States Parties shall be convened by the provisional Secretariat, established under Article 18, no later than one year following the entry into force of this Treaty and thereafter at such other times as may be decided by the Conference of States Parties”. Article 17(4) (a-g) further states that “The Conference of States Parties shall:
  - (a) Review the implementation of this Treaty, including developments in the field of conventional arms;
  - (b) Consider and adopt recommendations regarding the implementation and operation of this Treaty, in particular the promotion of its universality;
  - (c) Consider amendments to this Treaty in accordance with Article 20;
  - (d) Consider issues arising from the interpretation of this Treaty;
  - (e) Consider and decide the tasks and budget of the Secretariat;
  - (f) Consider the establishment of any subsidiary bodies as may be necessary to improve the functioning of this Treaty; and
  - (g) Perform any other function consistent with this Treaty.”
3. In accordance with the provisions of Article 17, the First, the Second, the Third and the Fourth Conferences of States Parties to the Arms Trade Treaty were held in Mexico, 24–27 August 2015, in Switzerland, 22–26 August 2016, and 11-15 September 2017 respectively and in Japan, 20 – 24 August 2018. The Fifth Conference of States Parties was held in Geneva, Switzerland, on 26-30 August 2019 in

compliance with Article 17. The Conference was held at the Centre International de Conférences Genève (CICG).

4. To support the implementation of the Treaty at the national level, the Voluntary Trust Fund (VTF) established under Article 16(3), made further progress through disbursement of funds to Treaty implementation projects at a national level. To date, the VTF has funded 44 implementation projects in different regions. In the short period of its existence, the VTF has presented a useful ATT facility to support practical implementation of the Treaty.

5. The three ATT Working Groups established by the Third Conference of States Parties - the Working Group on Effective Treaty Implementation, the Working Group on Transparency and Reporting and the Working group on Treaty Universalization - progressed in their work. In the intersessional period of the Fifth Conference of States Parties, the three ATT Working Groups convened two meetings on 29-31 January and 02-04 April 2019, during which States Parties and other stakeholders exchanged information and views on practices and challenges related to Treaty implementation, transparency and reporting, and universalization.

6. To prepare for this Conference, two informal preparatory meetings were convened alongside meetings of the Working Groups on 01 February and 05 April 2019 in Geneva, Switzerland, the seat of the Secretariat to the Treaty.

7. The Secretariat convened the Conference in fulfilment of Article 17(1) of the Treaty. On 24 May 2019, pursuant to Rule 12 of the Rules of Procedure, the Secretariat notified States Parties, Signatory States and the Secretary-General of the United Nations, in his capacity as depositary of the Treaty, of the Conference, its date and venue. Furthermore, the Conference's draft provisional agenda was circulated on 24 June 2019 in accordance with Rule 15.1 of the Rules of Procedure and, on 26 July 2019, all the Conference documents were circulated and made publicly available on the Treaty website at <http://www.thearmstradetreaty.org/>.

8. As at 26 August 2019, the opening of the Conference, the Treaty had one hundred and two (102) States Parties. In addition, two (2) states, Botswana and Canada, have acceded to the Treaty and the Treaty will enter into force for them on 05 and 17 September 2019 respectively. Meanwhile the Treaty has thirty-three (33) Signatory States that have not yet deposited their instruments of ratification, acceptance or approval. On 18 July 2019, one of those Signatory States – the United States of America – notified the Secretary-General of the United Nations, acting in his capacity as depositary, that it does not intend to become a party to the treaty.

## **II. ORGANIZATION OF THE CONFERENCE**

9. The Secretariat to the Treaty made arrangements and provided the necessary services for the Conference, including the preparation of this Report.

10. The Conference was held in Geneva, Switzerland, 26–30 August 2019 and was attended by 106 States, a number of international and regional organisations and representatives of civil society and industry.

11. Eighty-six (86) States Parties participated in the work of the Conference in accordance with Rule 1 of the Rules of Procedure: Albania, Antigua and Barbuda, Argentina, Australia, Austria, The Bahamas, Barbados, Belgium, Benin, Bosnia and Herzegovina, Brazil, Bulgaria, Burkina Faso, Cameroon, Chile, Costa Rica, Côte d'Ivoire, Croatia, Cyprus, Czech Republic, Dominican Republic, El Salvador, Estonia, Finland, France, Georgia, Germany, Ghana, Greece, Guatemala, Guinea, Guyana, Honduras, Hungary, Iceland, Ireland, Italy, Jamaica, Japan, Kazakhstan, Latvia, Lebanon, Lesotho, Lithuania, Luxembourg, Madagascar, Mali, Malta, Mauritania, Mauritius, Mexico, Monaco, Montenegro, Mozambique, Netherlands, New Zealand, Nigeria, Norway, Panama, Paraguay, Peru, Poland, Portugal, Republic of Korea, Republic of Moldova, Republic of North Macedonia, Romania, Samoa, San Marino, Senegal, Serbia, Seychelles, Sierra Leone, Slovakia, Slovenia, South Africa, Spain, State of Palestine, Sweden, Switzerland, Togo, Trinidad and Tobago, Tuvalu, United Kingdom of Great Britain and Northern Ireland, Uruguay and Zambia.

12. Botswana and Canada who have acceded to the Treaty, but for which the Treaty has not yet entered into force, participated in the work of the Conference in accordance with Rule 2 of the Rules of Procedure.

13. Fifteen (15) Signatory States participated in the work of the Conference in accordance with Rule 2 of the Rules of Procedure: Angola, Burundi, Congo, Eswatini, Gabon, Haiti, Israel, Malaysia, Namibia, Philippines, Singapore, Thailand, United Arab Emirates, United Republic of Tanzania and Vanuatu.

14. The following three (3) States participated in the work of the Conference as observers in accordance with Rule 3 of the Rules of Procedure: China, Fiji and Tonga.

15. The following eight (8) organisations participated in the work of the Conference as observers in accordance with Rule 4 of the Rules of Procedure: European Union (EU), International Committee of the Red Cross (ICRC), Interpol, Regional Centre on Small Arms (RECSA), United Nations Institute for Disarmament Research (UNIDIR), United Nations Office for Disarmament Affairs (UNODA), United Nations Office on Drugs and Crime (UNODC) and the Wassenaar Arrangement.

16. The following thirty-nine (39) civil society organizations, including NGOs, international coalitions of NGOs, associations representing industry and implementing agencies, participated in the work of the Conference as observers in accordance with Rule 5.1 and 5.2 of the Rules of Procedure: Action Sécurité Ethique Républicaines (ASER), Aerospace and Defence Industries Association of Europe (ASD), African Union Economic, Social and Cultural Council (AU-ECOSOCC), Ammunition Management Advisory Team (AMAT), Association of European Manufactures of Sporting Ammunition (AFEMS), Associazione Nazionale Produttori Armi e Munizioni Sportive e Civili (ANPAM), Bundesamt für Wirtschaft und Ausfuhrkontrolle (BAFA), Canada's National Firearms Association (NFA), Centre for Armed Violence Reduction (CAVR) Center for Peace and Development Effectiveness (CEPDE), Changemaker Norway, Conflict Armament Research (CAR), the Control Arms Coalition with representatives from the following NGOs: African Artists Peace Initiative (AAPI), Amnesty International France, Belgique Francophone and UK, Arias Foundation for Peace and Human Progress, Asociación para Políticas Públicas, Cabinet d'Avocats B49, Cameroon Youths and Students Forum for Peace (CAMYOSFOP), Campaign against Arms Trade (CAAT), Caribbean Coalition for Development and the Reducation of Armed Violence (CDRAV), Center for International Security and Policy, Centre for Peace Education – Miriam College, Center for Peace, Security and Armed Violence Prevention (CPS-AVIP), Centre de Recherche et d'Etude sur la Sécurité et le Développement (CRESED), Centro de Estudios Ecuμένicos (CEE), Changemaker: Society for Social and Economic Development, Control Arms, Kingston and St. Andrew Action Forum (KSAAF), Liberia Action Network on Small Arms (LANSA), Mwatana Organisation for Human Rights, Norwegian Forum for Environment and Development,

Oxfam, Pacific Foundation for the Advancement of Women (PACFAW), Pax, Pax Christi Institute, Permanent Peace Movement, Project Ploughshares, Recherches et Documentation Juridiques Africaines, Regional Centre for International Development Cooperation (RCIDC), Rete Italiana per il Disarmo, Réveil Communautaire d'Assistance aux Victimes (RECOVI), Saferworld, Save the Children, Security Research and Information Centre, Sierra Leone Action Network on Small Arms, SCRAP (Strategic Concept for the Removal of Arms and Proliferation) Weapons Project (SOAS University of London), South Sudan Action Network on Small Arms (SSANSA), Stimson Center, Swedish Peace and Arbitration Society (SPAS), Transcend Philippines, University of Bradford, West African Action Network on Small Arms and Light Weapons in Africa (WAANSA, Mali), West African Action Network on Small Arms and Light Weapons in Africa (WAANSA, Nigeria), Women for Peace and Democracy Nepal. Further participation came with Control Arms Foundation of India, Disarmament and Arms Control, Dynamit Nobel Defence (DND), Expertise France, Geneva Centre for Security Policy (GCSP), Global Compliance, Greenpeace, Groupe de Recherche et d'Information sur la Paix et la Sécurité (GRIP), Humanium Metal, International Action Network on Small Arms, International Alliance for Peace and Development, International Institute for Humanitarian Law (IIHL), Liberians United to Expose Hidden Weapons, Meji University Research Institute for the History of Global Arms Transfer, National Rifle Association of America – Institute for Legislative Action, Nonviolence International South East Asia, Parliamentary Forum on Small Arms and Light Weapons, Quaker United Nations Office, Small Arms Survey, Sporting Arms and Ammunition Manufacturers' Institute (SAAMI), Stockholm International Peace Research Institute (SIPRI), Terra Renaissance Japan, Transparency International, University of Essex, World Forum for Shooting Activities (WFSA), Women's International League for Peace and Freedom (WILPF), Women's Rights to Education Programme.

17. In reference to Rule 7.4 of the Rules of Procedure, the Secretariat circulated a draft List of Participants to all States Parties on 22 August 2019, contained in document ATT/CSP5/2019/SEC/535/Conf.PartList, informing them of the composition of all delegations that have registered as Participants to the Conference under Rules 1 and 2 as well as those that have registered to attend as observers under Rules 3, 4, and 5, and requesting any possible objection by a State Party against the representation of a delegation of a State Party, Signatory State or observer at the Conference to be presented to the President no later than 10:00 local time, Saturday 24 August 2019. No such objection was presented.

18. At its opening session, the Conference was opened by Her Excellency Ms. Izumi NAKAMITSU, Under-Secretary-General and UN High Representative for Disarmament Affairs. In addition, statements were delivered to the Conference by H.E. Ms. Christine //HOEBES, Deputy Minister of International Relations and Cooperation, Republic of Namibia; Mr. Gilles CARBONNIER, Vice President, International Committee of the Red Cross; H.E. Mr. Andris PELŠS, Secretary of State, Ministry of Foreign Affairs, Latvia; H.E. Ms. Fiorella SALAZAR ROJAS, Deputy Minister of Public Security, Costa Rica; and Ms. Nounou Booto MEETI, Control Arms, Director at the Center for Peace Security and Armed Violence Prevention.

19. The high level panel discussion on gender and gender based violence took place after the opening session. Panel was composed by H.E. Ms. Vaira VĪĶE-FREIBERGA, former President of Latvia; H.E. Ms. Christine //HOEBES, Deputy Minister of International Relations and Cooperation, Republic of Namibia; Mr. Gilles CARBONNIER, Vice President, International Committee of the Red Cross; H.E. Ms. Izumi NAKAMITSU, Under-Secretary-General and UN High Representative for Disarmament Affairs; Ms. Annie Matundu MBAMBI, President of Women's League for Peace and Freedom (WILPF), Democratic Republic of the Congo.

### III. DECISIONS AND RECOMMENDATIONS

20. At its first plenary session on 26 August 2019, under item 2, the Conference adopted its Agenda referenced ATT/CSP5/2019/SEC/523/Conf.Agenda.

21. At the same plenary session and in accordance with Rule 10 of the Rules of Procedure, the Conference confirmed Mr. Dumisani DLADLA, in his capacity as the Head of the Secretariat, as the Secretary of the Conference.

22. The Conference welcomed the thematic discussion on Gender and Gender Based Violence, the priority theme for the Conference, that explored how this subject could be articulated in the context of the ATT. To that end, the Conference considered the draft decision contained in document ATT/CSP5/2019/PRES/528/Conf.Gender GBV submitted by the CSP5 President. Having examined different aspects of gender and gender-based violence related issues in the context of the ATT, the Conference decided that:

- a. On issues related to representation and participation in future CSP meetings:
  - i. States Parties, Signatory and Observer States attending ATT Working Groups, preparatory meetings and CSP itself should strive for gender balance in their delegations.
  - ii. The ATT Secretariat should report to CSPs on overall progress among delegations in achieving gender balance.
  - iii. States, civil society organizations and industry are encouraged to share with CSPs policies and practices they have adopted to achieving greater gender balance, when appropriate during formal sessions or side events.
  - iv. Gender balanced panels should be encouraged in plenary sessions, side events and other fora. Event organizers should strive to achieve gender balanced panels at an early stage in their planning.
  - v. The sponsorship programme should consider gender balance as one of its selection criteria.
- b. In order to increase understanding of the gendered impact of armed violence in the context of the ATT:
  - i. All Working Group Chairs and facilitators are encouraged to consider gender aspects in their sessions.
  - ii. States Parties are encouraged to collect gender disaggregated data within their national crime and health statistics, including gender disaggregated data on victims of armed violence and conflict, and make this data publicly available.

- iii. States are encouraged to support research that helps increase understanding of the gendered impact of armed violence in the context of the ATT. Lists of existing research and data sources should be compiled and made available to all interested stakeholders. All stakeholders, including civil society, are encouraged to work towards elaboration and explanation of gender terms, to assist States in applying and working with gender issues within the ATT context.
    - iv. Delegations are encouraged to consider including delegates with gender expertise where possible. Such delegates should actively contribute to Working Groups' discussions and elaboration of recommendations as appropriate.
  - c. On Gender-based violence (GBV) risk assessment criteria, the Working Group on Effective Treaty Implementation should consider the following issues in conjunction with other relevant elements to enhance States Parties' ability to implement Articles 6 and 7:
    - i. Encourage discussion on States' practice in interpreting the language and standards entailed in Article 7(4), including "serious", "facilitate" and "overriding" risk, in order to assist States Parties in considering GBV issues in implementing the Treaty.
    - ii. Encourage States Parties to provide information on their national practices relating to "mitigating measures" in the context of Article. 7(4): what these can be and how they are implemented.
    - iii. Encourage States Parties to provide information on their national practices in GBV risk assessment in order to facilitate learning between States Parties.
    - iv. Elements for a voluntary training guide to assist States Parties on the issues of GBV, including best practices for risk assessment, should be developed with voluntary funding, and with the participation of all stakeholders.
  - d. States Parties and others with planned regional meetings and projects supported by the VTF are encouraged to consider how work on gender and GBV can take place within their projects in order to make them more effective in contributing toward achievement of the object and the purpose of the Treaty.
  - e. States Parties agreed to review progress on gender and GBV on an ongoing basis.

23. The Conference re-emphasized the importance of the ATT Voluntary Trust Fund, a facility established under Article 16(3) of the Treaty to give effect to international implementation assistance to States. The Conference welcomed the work of the Voluntary Trust Fund undertaken in the 2018-2019 period, with respect to consolidation of the VTF governance infrastructure, management of received financial contributions, monitoring the VTF funded projects, evaluation and approval of proposals for funding in the 2019 project cycle, and took note of its Report (contained in document ATT/VTF/2019/CHAIR/531/Conf.Rep) and the different views expressed thereon. The Conference again expressed appreciation to the States that have made voluntary financial contributions to the Fund and encouraged all other States, in a position to do so, to contribute to the Fund in support of effective national Treaty implementation.

24. Furthermore, the Conference took the following decisions regarding the VTF:
- a. Noted the ‘Guidance for the VTF Project Evaluation’ as contained in Annex H of the VTF Report to CSP5 referenced ATT/VTF/2019/CHAIR/531/Conf.Rep
  - b. Welcomed the decision that the VTF will undertake further outreach activities to promote the VTF in the period leading up to the Sixth Conference of States Parties.

25. The Conference underscored the importance of effective Treaty implementation in advancing the object and purpose of the Treaty. The Conference considered the Working Group on Effective Treaty Implementation Chair’s Draft Report to CSP5, contained in document ATT/CSP5.WGETI/2019/CHAIR/529/Conf.Rep, as well as the Working Paper submitted by Costa Rica and Mexico, contained in document ATT/CSP5/2019/CRI.MEX/538/Conf.WP. Regarding the activities to be undertaken in the intersessional period of the Sixth Conference of States Parties, it endorsed the conclusions by the Working Group Chair regarding initiating work on Article 9 (transit and transshipment) and developing a medium-term workplan to that effect, continuing work on Articles 6 and 7, including further voluntary sharing of experience on key aspects of these articles, including Article 7(4), and considering the utility of a medium-term plan for work on these Articles, as well as conducting further work on Article 11 as per the proposed multi-year workplan.

26. In addition, the Conference welcomed the documents listed below, contained as annexes to the Working Group on Effective Treaty Implementation Chair’s Draft Report to CSP5, as living documents of a voluntary nature to be reviewed and updated regularly by the Working Group, as appropriate, and taking account of work undertaken by the different Working Groups, and to be posted on the ATT website:

- a. Voluntary Basic Guide to Establishing a National Control System, (Annex A to the Chair’s report),
- b. List of Possible Reference Documents to Be Used by States Parties in Conducting Risk Assessments under Article 7 (included in ATT/CSP4.WGETI/2018/CHAIR/355/Conf.Rep, Annex B) with the inclusion of existing guidance documents relating to the implementation of Article 7.4, (Annex B to the Chair’s report),
- c. Multi-year workplan for the WGETI sub-working group on Article 11, to guide continued work in this area, (Annex C to the Chair’s report).

27. The Conference emphasized the significance of transparency and reporting as highlighted in the overview presentation by the ATT Secretariat on the current status of reporting under the Treaty. The Conference considered the Working Group on Transparency and Reporting Co-chairs’ Draft Report to CSP5, contained in document ATT/CSP5.WGTR/2019/CHAIR/533/Conf.Rep, and:

- a. Expressed its concern that for calendar year 2018, less than half of States Parties due to report had submitted an annual report to the ATT Secretariat by the deadline of 31 May 2019, continuing a downward trend.
- b. Recalled that submitting initial and annual reports is a legal obligation for all States Parties and that those States Parties that do not report are in breach of the Treaty.

- c. Urged States Parties that are in arrears with their reporting to submit their reports to the ATT Secretariat and requested the President to remind those States Parties of their obligations on an individual basis.
- d. Called on all relevant stakeholders to advocate for reporting in line with the outreach strategy on reporting that was adopted at CSP4.
- e. Supported the development of a system of voluntary practical bilateral and regional assistance with reporting (peer-to-peer).
- f. Welcomed the introduction of the online reporting tool as an additional optional means to submit initial and annual reports.
- g. Endorsed the proposed amendments to voluntary guidance in the living document “Reporting Authorized or Actual Exports and Imports of Conventional Arms: Questions & Answers” to reflect the introduction of the online reporting tool (Annex B to the Co-Chairs’ report).
- h. Mandated the ATT Secretariat to draft instructions or guidance on the use of the information exchange platform.
- i. Endorsed the standing agenda-items and the recurring and specific tasks for the WGTR in the period between CSP5 and CSP6, as included in Annex C of the Co-Chairs’ report.
- j. Welcomed the first informal meeting to discuss concrete cases of detected or suspected diversion that States Parties and Signatories are dealing or have dealt with as a solid basis for further exchanges and took note of the open session for all stakeholders.

28. Acknowledging the importance of universal adherence to the Treaty, the Conference welcomed new States Parties to the Treaty and all Treaty universalization efforts undertaken, in particular those of the President of the Fifth Conference of States Parties, Ambassador Jānis KĀRKLIŅŠ. To take forward Treaty universalization, acknowledging and building on efforts by successive presidencies, the Conference considered the Working Group on Treaty Universalization Co-Chairs’ Draft Report to CSP5, contained in document ATT/CSP5.WGTU/2019/CHAIR/532/Conf.Rep, and:

- a. Adopted the ATT Universalization Toolkit (Annex A to the Co-Chairs’ report) as well as the ‘Welcome Pack for New States Parties to the ATT’ (Annex B to the Co-Chairs’ report), as living documents of a voluntary nature to be reviewed and updated regularly by the Working Group, as appropriate, and encouraged ATT stakeholders to utilize the materials in their bilateral, multilateral, and regional meetings and workshops when appropriate.
- b. Encouraged ATT stakeholders, to the extent possible, to translate the two documents to target languages (other than UN official languages) to promote understanding of the Treaty. To that end, the Conference welcomed the offer of the Republic of Korea to translate the ATT Universalization Toolkit as well as the ‘Welcome Pack for New States Parties to the ATT’.

- c. Requested the ATT Secretariat to upload both documents and available translations to the resources section of the ATT website and to mention the link in the welcome letter sent to new States Parties upon becoming States Parties to the Treaty.
  - d. Requested the ATT Secretariat to continue to analyse the trends and pace of universalization of the ATT and report annually to the CSP.
  - e. Encouraged continued regular exchanges of views among the CSP President, Co-Chairs of the WGTU, the VTF Chairperson and civil society representatives on the promotion of ATT universalization.
29. Recognising the importance of the ATT Sponsorship Programme in facilitating broad participation in Conferences of States Parties and meetings of the Working Groups, the Conference welcomed the report on the state of operation of the ATT Sponsorship Programme, as contained in document ATT/CSP5/2019/SEC/530/SponProgRep. The Conference expressed appreciation to the States that have made voluntary financial contributions to the ATT Sponsorship Programme and encouraged all other States, in a position to do so, to contribute to the Programme.
30. The Conference considered the Draft Administrative Guidelines for the ATT Sponsorship Programme, Annex A to the document referenced ATT/CSP5/2019/SEC/530/SponProgRep, elaborated by the ATT Secretariat and the Management Committee, in consultation with States Parties and Signatory States, in accordance with the CSP4 decision. After consideration, the Conference adopted the Draft Administrative Guidelines for the ATT Sponsorship Programme, including the protocol to guide the selection process and the financial protocol in Annexes A and B respectively, for implementation by the ATT Secretariat in its administration of the Programme. Paragraph 2 on selection criteria of Annex A of the Draft Administrative Guidelines is subject to provisions of paragraph 36 of this Report. The Conference recalled that the Management Committee will report on the ATT Secretariat's performance on the administration of the sponsorship programme at the Sixth Conference of States Parties, in accordance with the decision of CSP4.
31. The Conference welcomed the report submitted by the ATT Secretariat for the 2018/2019 period contained in document ATT/CSP5/2019/SEC/526/Conf.SecRep.
32. The Conference also approved the ATT Secretariat provisional budget for the year 2020 as contained in document ATT/CSP5/2019/SEC.FIN/473/Conf.2020Bud.
33. The Conference welcomed the report submitted by the Management Committee contained in document ATT/CSP5.MC/2019/MC/527/Conf.Rep.
34. In reference to decisions of previous Conferences of States Parties regarding ATT financial contributions, the Conference, again, expressed deep concern about the unpaid contributions of States and called on States that have not done so to address their financial obligations in a prompt and timely manner. The Conference highlighted the risks that the ATT process and its essential activities, including the organization of future ATT meetings, will face if the situation is not addressed.
35. The Conference requested the Management Committee to prepare guidelines on the issue of "arrangements with the Secretariat in relation to the discharge of its financial obligations" (reference Financial Rule 8.1.d) for consideration at CSP6.

36. No State shall be prejudiced by Financial Rule 8.1.d in applying for support from the ATT Voluntary Trust Fund or the ATT Sponsorship Programme until CSP6, when this matter will be considered.

37. Following its tasking by the Fourth Conference of States Parties (see paragraph 36 (b) of ATT/CSP4/2018/SEC/369/Conf.RinRep.Rev1), the Management Committee presented its report (referenced ATT/CSP5.MC/2019/MC/534/Conf.PropFinLiq) setting out recommendations to address the problems related to financial liquidity. After consideration, the Conference:

- a. Reaffirmed the importance of the full implementation of all of the Financial Rules.
- b. Recommended that the ATT Secretariat use the flexibility in the current Financial Rules to close the accounts of a financial period at a later stage than the current practice, as stipulated in paragraph 4 of the Management Committee's report (referenced ATT/CSP5.MC/2019/MC/534/Conf.PropFinLiq), but not later than 12 months after the end of that financial period.
- c. Agreed that from the next invoice (for 2020), Financial Rule 8.4 will be fully implemented. Therefore, from 2019, the uncommitted balance of appropriations from the previous financial period shall be rolled-over to the next financial period, thereby reducing the States' contributions for the next financial period. This will be reflected in invoices.
- d. Agreed as an exceptional measure, as the Financial Rule 8.4 had not been fully implemented to date, that the total credits due to States that paid all their contributions until 2018, which are not currently available (and not showing as 'ring-fenced') in the accounts at the start of CSP5, will not be fully rolled-over at the time of the next invoice (for 2020). These will be rolled-over (offset against the next contribution payments) for a period of 5 years at least in equal parts annually, starting from the next invoice. For the purpose of transparency, the full amount still due from the previous years should be reflected in the subsequent invoices, and any spreadsheet on the status of the payment of contributions, until the uncommitted balance of appropriations still owed to States Parties has been fully rolled-over.
- e. Agreed on establishing a reserve fund, sourced from voluntary contributions, on the basis of the annexed Terms of Reference.
- f. Requested the Management Committee to continue monitoring the financial situation of the Treaty, review the effectiveness of the financial measures in paragraph 37(c) and 37(d), and report on it at CSP7 for consideration by the States Parties.

38. The Conference decided to hold its next formal annual session, the Sixth Conference of States Parties, in Geneva, Switzerland on 17 – 21 August 2020, at the Centre International de Conférences Genève (CICG), a venue generously provided for by the Swiss government. Furthermore, the Conference decided that the informal preparatory meetings and the meetings of the Working Groups will also be held in Geneva, Switzerland, with dates to be confirmed by the President of the Sixth Conference of States Parties.

39. Pursuant to Article 17(3) of the Treaty, the Conference adopted the budget for the Sixth Conference of States Parties, submitted by the ATT Secretariat as contained in document ATT/CSP5/2019/SEC.FIN/473/Conf.2020Bud., including costs for the informal preparatory meetings and meetings of the ATT Working Groups. The Conference further decided costs for informal preparatory and Working Groups meetings shall include costs for document translation and in-session interpretation. The Conference emphasised that any indirect meeting costs, such as for travel and accommodation, shall be borne by participants.

40. Pursuant to Rule 9.1 of the Rules of Procedure, the Conference elected, by acclamation, Ambassador Carlos FORADORI of Argentina as the President of the Sixth Conference of States Parties.

41. Pursuant to the same Rule, the Conference elected, by acclamation, Kazakhstan, Latvia, Nigeria and Switzerland as the four (4) vice-Presidents for the Sixth Conference of States Parties.

42. Pursuant to Section 3 of the Management Committee's Terms of Reference, the Conference appointed the following State Party representatives designated by each UN regional group, as members of the Management Committee to serve for a period of two years up to the Seventh Conference of States Parties: Costa Rica, Estonia, Germany, Japan and South Africa.

43. Pursuant to Article 17(4)(f) of the Treaty and Rule 42 of the Rules of Procedure, the Conference mandates the President of the Sixth Conference of States Parties to appoint the Chairs of the ATT Working Groups for a period until the conclusion of the Sixth Conference of States Parties.

44. The Conference warmly thanked Ambassador Jānis KĀRKLIŅŠ of Latvia for his dedication and for the outstanding work done as President of the Fifth Conference of States Parties in advancing the interests of the Treaty, supporting States, and skilfully leading the intersessional work, which, together with his chairmanship, resulted in a successful Conference.

45. At its last plenary meeting on Friday 30 August 2019, the Conference adopted its Final Report contained in document ATT/CSP5/2019/SEC/536/Conf.FinRep, and as orally amended to be issued as document ATT/CSP5/2019/SEC/536/Conf.FinRep.Rev1.

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## ANNEX

## LIST OF DOCUMENTS

ATT/CSP5/2019/SEC.FIN/473/Conf.2020Bud	ATT Provisional budget estimates for the financial year 2020, submitted by the Secretariat
ATT/CSP5/2019/SEC/523/Conf.Agenda	CSP5 Provisional Agenda, submitted by the President
ATT/CSP5/2019/SEC/524/Conf.PoW	CSP5 Provisional Programme of Work, submitted by the President
ATT/CSP5/2019/SEC/525/Conf.AnnPoW	CSP5 Provisional Annotated Programme of Work, submitted by the President
ATT/CSP5/2019/SEC/526/Conf.SecRep	Report on the ATT Secretariat's activities for the period 2018/2019, submitted by the Secretariat
ATT/CSP5.MC/2019/MC/527/Conf.Rep	Report on the Management Committee's activities for the period 2018/2019, submitted by the Management Committee
ATT/CSP5/2019/PRES/528/Conf.GenderGBV	Draft decision of the CSP5 on gender and gender based violence, presented by the president of the Fifth Conference of State Parties to the ATT
ATT/CSP5.WGETI/2019/CHAIR/529/Conf.Rep	ATT Working Group on Effective Treaty Implementation - Chair's Draft Report to CSP5
ATT/CSP5.WGTU/2019/CHAIR/532/Conf.Rep	ATT Working Group on Treaty Universalization - Co-chairs' Draft Report to CSP5
ATT/CSP5.WGTR/2019/CHAIR/533/Conf.Rep	ATT Working Group on Transparency and Reporting - Co-chairs' Draft Report to CSP5
ATT/CSP5.WGTR/2019/CHAIR/533/Conf.Rep.Rev1	revised ATT Working Group on Transparency and Reporting - Co-chairs' Draft Report to CSP5
ATT/VTF/2019/CHAIR/531/Conf.Rep	Report on the work of the ATT Voluntary Trust Fund (VTF) for the period August 2018 to August 2019, submitted by the Chairperson of the VTF Selection Committee

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ATT/CSP5/2019/SEC/530/SponProgRep	Report on the ATT Sponsorship Programme for the period 2018/2019, submitted by the ATT Secretariat as administrator of the ATT sponsorship programme
ATT/CSP5.MC/2019/MC/534/Conf.PropFinLiq	Draft proposal to address problems related to financial liquidity, submitted by the Management Committee
ATT/CSP5/2019/SEC/535/Conf.PartList	draft List of Participants, submitted by the Secretariat
ATT/CSP5/2019/SEC/535/Conf.PartList.Rev1	forthcoming, List of Participants, submitted by the Secretariat
ATT/CSP5/2019/SEC/536/Conf.FinRep	draft Final Report, submitted by the Secretariat
ATT/CSP5/2019/SEC/536/Conf.FinRep.Rev1	Final Report, submitted by the Secretariat
<b>Other papers</b>	
ATT/CSP5/2019/ROK/537/Conf.WP	Working Paper on Universalization and Translation, submitted by the Republic of Korea
ATT/CSP5/2019/CRI.MEX/538/Conf.WP	Working Paper on Reflections on the Process of the Conference of State Parties, submitted by Costa Rica and Mexico

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