

Arms Trade Treaty Voluntary Trust Fund

FINAL REPORT

Mali

(National Commission Against the Proliferation of Small Arms and Light
weapons (CNLPAL))

Project No.: ATT.VTF.G2019.012MLI

12.04.2021

Arms Trade Treaty Voluntary Trust Fund - Final Report

Project Number	ATT.VTF.G2019.012MLI
Grantee name	National Commission Against the Proliferation of Small Arms and Light Weapons (CNLPAL), Ministry of Security and Civil Protection
Project title	Implementation of ATT in Mali – Roadmap
Grant Amount	USD\$ 87'192
Final Report submission date	12.04.2021
Period covered under this report (MM/DD/YY – MM/DD/YY)	06.01.2020-12.02.2021

1. Project activities and outcomes

a Describe the project outcomes.

As planned, the project enabled the competent Malian actors to adopt a roadmap describing the measures to be taken for the effective implementation of the ATT. This roadmap includes 10 objectives (appointment of competent authorities, legislative reform, etc.) which, once completed, will bring Mali into line with its obligations under the ATT.

In addition, the three seminars which were organized allowed participants (political and military leaders, administrative executives, civil society, etc.) to take ownership of the ATT implementation process.

b Describe how the project has assisted your implementation of the ATT.

The first phases of the project have allowed, among other results, to assess the extent to which Mali's practices and legislation comply with the obligations undertaken by its membership of the ATT, to identify national actors involved in the international arms trade and raise their awareness, and to identify gaps in Malian practices and legislation that should be filled.

The roadmap adopted in the final phase of the project describes precisely the measures to be taken to ensure that Mali fills these gaps.

c List all States that benefitted from the project.

Mali directly. Indirectly, other countries in the region that could draw on the Malian roadmap to help them comply with the obligations arising from their accession to the ATT.

d Were all the project activities as specified in the Project Schedule (see Annex G) completed?

Yes

No

If no, explain why and describe any problems, constraints and difficulties experienced in implementing the project.

All planned activities have been completed. However, after the two seminars held in February 2020, those remaining to be completed have been delayed by the COVID-19 pandemic, which has made travel between Mali and Belgium, where GRIP (implementing partner of the CNLPAL) is based, difficult or impossible. In addition, on 18 August 2020, a coup d'état perpetrated by the Malian armed forces led to the dismissal of the President of the Republic and the dissolution of the National Assembly, which was replaced, but only in December 2020, by a National Transitional Council (CNT). The roadmap validation workshop was only held in early February 2021 and GRIP was only able to participate remotely.

As a result, the last activities were held as follows:

- Draft roadmap drafted by GRIP from August to October 2020, submitted to CNLPAL on October 9, 2020.
- Dissemination by CNLPAL of the draft roadmap among Malian stakeholders: October 2020 to January 2021.
- Preparation for the Validation Workshop (CNLPAL): January 2021.
- Holding of the validation workshop (CNLPAL): 2 and 3 February 2021 in Bamako (video connection with GRIP in Brussels).
- Incorporation by GRIP of the amendments to the roadmap: February 4 and 5, 2021.
- Checking the incorporation of amendments in the roadmap by CNLPAL: February 8 and 9, 2021.
- Transmission by GRIP to the CNLPAL of the final report of the project (with 3 main chapters: literature review, interviews with Malian officials, validated roadmap): February 22, 2021.
- Dissemination by CNLPAL of the validated roadmap to the Malian authorities: end of February 2021.

Project progress must be indicated against the Project Schedule (see Attachment 1). Please indicate the Status of all activities highlighted in YELLOW in the Project Schedule. Please also include comments where you think appropriate (e.g. to explain why certain activities have not been completed on time).

What recommendations would you make in this regard?

The main recommendation of the CNLPAL to the concerned Malian actors is to implement swiftly the roadmap, by appointing without delay the competent authorities necessary for the implementation of the ATT, by establishing a National Control List, by reforming the national legislation on international arms trade as required by the ATT and by ensuring that the public sees these measures as legitimate and useful to the country.

e How would you rate the *relevance* of the project (was the project suited to existing priorities and policies of the benefitting State(s))?

Not relevant at all	Not very relevant	Moderately relevant	Relevant	Very relevant
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Explain your answer:

The internalization of the ATT by Mali would be extremely beneficial to the Malian state as it would contribute to strengthen the legislative apparatus and better governance. However, given the serious security problems in the country, some officials and some of leaders of the Malian civil society are not necessarily convinced that the implementation of the ATT would help solve the security problems.

f How would you rate the *effectiveness* of the project (to what extent has the project attained its stated objectives)?

Not effective at all	Not very effective	Moderately effective	Effective	Very effective
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Explain your answer:

The initial objectives were fully met, although with some delay on the initial timing. Many political and administrative leaders and representatives of civil society were informed about the ATT and made aware of the usefulness of its internalization by Mali. A roadmap was developed in partnership with GRIP and was validated during a workshop with several dozen people, including about fifteen members of the CNT, the body that currently acts as a parliament.

g How would you rate the *efficiency* of the project (to what extent were the project results attained on time and within budget)?

Not efficient at all	Not very efficient	Moderately efficient	Efficient	Very efficient
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Explain your answer:

The delays in the final phases of the project were caused by unforeseen circumstances over which the applicant had no control (pandemic and coup). In addition, shortcomings in the initial budget and the replacement of a regional expert by a national expert have led to budget changes and

administrative complications, although the final budget has not been increased.

h Please describe the impact of the project (i.e. the positive or negative changes or effects that the project results have on the surrounding circumstances).

The project's effects can only be considered positive for the Malian state and society. The authorities are in possession of a detailed and validated roadmap that will serve as a guide for implementing the ATT. Awareness of the ATT has been greatly increased among the relevant State and private actors and even among the Malian population thanks to media reports. Certain sectors of civil society (hunters' associations in particular), initially hostile to the ATT and any international arms control instrument (the ECOWAS Convention on SALW in particular), had to change their attitude towards the arguments developed during the workshops held in February 2020. To this end, the fundamental differences between the ATT and the ECOWAS Convention had to be emphasized several times.

On another level, GRIP's knowledge of Mali, both its place in the international arms trade, its political-administrative architecture and its security problems, has been considerably increased.

i How would you rate the *sustainability* of the project (to what extent can the project benefits continue after the project has finished)?

Not sustainable at all	Not very sustainable	Moderately sustainable	Sustainable	Very sustainable
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Explain your answer:

The sustainability of the project will depend on the follow-up will be given, i. e. the achievement of the ten objectives of the roadmap. However, given the limited human and financial resources available to Mali, external support will remain essential to enshrine in the country's laws and institutions the obligations arising from its membership of the ATT. To this end, in 2021, the CNLPAL introduced a new project to the VTF to promote the implementation of objectives 1 and 10 of the aforementioned roadmap. These objectives concern, respectively, the establishment and adoption of a National Control List and the legitimisation of the internalisation of the ATT by the Malian population.

j How will the Project outcomes be further used or applied in the future? Are there plans for the activities to be continued or for the experience gained to be shared?

The plan for future activities is detailed in the roadmap that concluded the project. The knowledge acquired by the Malian actors during the project which is coming to an end will facilitate the achievement of the necessary steps and the objectives detailed in the roadmap.

2. Final expenditure report

Please complete the Worksheet titled 'input' in the VTF Expenditure Template – Final Report (Mali) in Attachment 2.

3. Certification

Please complete a separate certification for each consultant engaged to undertake the project activities that have been implemented.

For the purposes of this certification:

Grantee means Ministry of Security and Civil Protection

Consultant means Ousmane KORNIO

I Nema SAGARA being a person duly authorised by the Grantee hereby certify that:

1. The Consultant was engaged by the Grantee on or after the date the Grant Agreement was executed to deliver services to the Project.
2. The service provided by the Consultant to the Grantee has been completed in accordance with the Grant Agreement.
3. The amount paid by the Grantee to the Consultant for the provision of services to help implement the Grant project to date is USD 1800.
4. I have attached the tax invoice provided to the Grantee by the Consultant for the provision of services for the Grant project to date.
5. I have attached a receipt from the Consultant confirming that the amount referred to in 3 above has been paid in full by the Grantee.
6. All the information I have provided to the ATT Secretariat (including the contents of this declaration) is complete, true and correct.
7. I am aware of the Grantee's obligations under their Grant Agreement, including the need to keep the ATT Secretariat informed of any circumstances that may impact on the objectives, completion and/or outcomes of the agreed project.
8. I am aware that the Grant Agreement empowers the ATT Secretariat to terminate the Grant Agreement and to request repayment of funds paid to the Grantee where the Grantee is in breach of the Grant Agreement.

Signed Date April 2021

Colonel major Nema SAGARA
Secrétaire permanent de la CNLPAL



Please submit this report and signed declaration to ATT Secretariat along with;

- A copy of the tax invoice issued to you by the Consultant for the provision of services
- Proof the Consultant was paid. This could be a receipt issued to you by the Consultant or a bank statement.

ATT Final Expenditure Report



Name of Grant Recipient: Mali
 Department or Agency: Ministry of Foreign Affairs
 Posting Date:

INSTRUCTIONS

1) Complete all pink fields only. Compléter tous les champs en rose uniquement.
 2) Print, sign, scan and email expenditure report to trustfund@thearmstradetreaty.org along with the electronic version. Imprimer, signer, scanner et envoyer par mail le rapport des dépenses à trustfund@thearmstradetreaty.org avec la version électronique.
 3) All original receipts should be chronologically numbered according to the reference number in this report. Copies of all receipts should be emailed with the expenditure report. Original receipts must also be sent to the ATT Secretariat. Tous les reçus originaux devraient être numérotés chronologiquement selon le numéro de référence dans ce rapport. Toutes les copies des reçus devraient être envoyées par mail avec le rapport de dépenses. Les reçus originaux doivent aussi être envoyés au Secrétariat du TCA.

Project No.	ATT-VTF.G2019.012MLI
Reporting period	15.07.2019 to 12.02.2021
Local Currency Code	XOF
Grant Received USD (1st instalment)	43 676,00
Grant Received USD (2nd instalment)	37 562,00
Grant Received USD (Total)	81 238,00

[https://www.oanda.com/currency/converters/](https://www.oanda.com/currency/convert/)
 Click above first cell for exchange rate site

Accounting Details			General Details		Total budget	Actual spend to date**			Balance of budget available	Balance of funds received	
Posting Date	Project code	Budget Line	Ref. N	Description 1	Description 2 Receipt or Invoice No. - Date*	USD	Local Amount	Rate	USD	USD	USD
			1	Etude documentaire et préparation de l'étude de base (GRIP)	FACTURE 20190160 du 24/09/2019 (15000USD), ECOBANK transaction 611 du 18/10/2019 (15000USD)	2 100,00		1,0000	2 000,00	100,00	79 238,00
			2	Réalisation de l'étude de base auprès des autorités maliennes et experts compétents (GRIP)	FACTURE 20190160 du 24/09/2019 (15000USD) ECOBANK transaction 611 du 18/10/2019 (15000USD)	13 300,00		1,0000	13 000,00	300,00	66 238,00
			3	Préparation de deux séminaires (parlementaires et société civile, forces de sécurité) à Bamako (GRIP)	FACTURE 20200038 du 19/02/2020 (15000 USD), ECOBANK transaction du 20/03/2020 (15000USD)	1 400,00		1,0000	1 000,00	400,00	65 238,00
			4	Participation aux deux séminaires en tant qu'experts - 2 experts (2x5 jours) (GRIP)	FACTURE 20200038 du 19/02/2020 (15000 USD), ECOBANK transaction du 20/03/2020 (15000USD)	7 000,00		1,0000	7 000,00	-	58 238,00
			5	Conception d'un projet de feuille de route sur la mise en œuvre du TCA par le Mali (GRIP)	FACTURE 20200038 du 19/02/2020 (15000 USD), ECOBANK transaction du 20/03/2020 (15000USD)	7 000,00		1,0000	7 000,00	-	51 238,00
			6	Participation de deux experts à un séminaire de haut niveau visant à valider la feuille de route (GRIP)	FACTURE 20210054 du 23/06/2021 (9900USD), ECOBANK transaction du 11/05/2021 (13244USD)	5 600,00		1,0000	5 600,00		45 638,00
			7	Finalisation de la feuille de route et validation par la CNL PAL (GRIP)	FACTURE 20210054 du 23/06/2021 (9900USD), ECOBANK transaction du 11/05/2021 (13244USD)	3 500,00		1,0000	4 300,00	-800,00	41 338,00
			8	Honoraires consultant national	FACTURE 001-03-2020 DU 02 MARS 2020 ET N° 03-02-2021 DU 03 FEVRIER 2021	1 800,00		1,0000	1 800,00		39 538,00
			9	Billet aller-retour Bruxelles-Bamako étude de base	reporté à ligne 11	-			-	-	39 538,00
			10	DSA Bamako chercheur GRIP	FACTURE 20210055 du 23/06/2021	6 250,00		1,0000	6 250,00	-	33 288,00
			11	Transport aérien et visas 2 experts GRIP (étude de base et séminaires février 2020)	FACTURE 20210056 du 23/06/2021 + ANNEXES 1 et 2	4 000,00	3 107,71	1,1910	3 701,28	298,72	29 586,72
			12	Transports participants venant des régions pour les 2 premiers séminaires (15 participants x 2 séminaires)	ETAT DE PAIEMENT 26 et 28 FEVRIER 2020	600,00	1 600 000,00	0,0018	2 912,00	-2 312,00	26 674,72
			13	logement participants des régions (15 participants x 2 séminaires)	ETAT DE PAIEMENT 26 et 28 FEVRIER 2020 et FACTURE du 28 FEVRIER 2020 La Touraine	3 000,00	1 650 000,00	0,0018	3 003,00	-3,00	23 671,72
			14	Transports des participants de Bamako	ETAT DE PAIEMENT DU 03 FEVRIER 2021	3 000,00	1 000 000,00	0,0018	1 820,00	1 180,00	21 851,72
			15	Imprimante	FACTURE N°0031 DU 24 FEVRIER 2020	870,00	478 500,00	0,0018	870,87	-0,87	20 980,85
			16	Supports pédagogiques (blocs-note, bics, classeurs)	FACTURE N°0032 DU 24 FEVRIER 2020	870,00	478 500,00	0,0018	870,87	-0,87	20 109,98
			17	Banderoles	FACTURE N° 052 DU 01 FEVRIER 2020	348,00	191 400,00	0,0018	348,35	-0,35	19 761,63
			18	Frais d'hotesses (3 hotesses/ jour/séminaire)	ETAT DE PAIEMENT DU 28 FEVRIER 2020 ET DU 03 FEVRIER 2021	450,00	246 000,00	0,0018	447,72	2,28	19 313,91
			19	Location salle de conférence pour les séminaires (3 x 2 jours)	FACTURE D'HOTEL N° 9090 du 08 FEVRIER 2021 (offert) - ET N° 1292 du 20 FEVRIER 2020 (1406000xof) ET N° 1290 (1406000xof)	4 800,00	2 812 000,00	0,0018	5 117,84	-317,84	14 196,07

20	Pause café pour les 3 séminaires (2 pauses/ jour pour 50 participants) (4 USD/ participant)	FACTURE N°2020-053 DU 20 FEVRIER 2020 ET FACTURE N°2020-054 DU 20 FEVRIER 2020 ET FACTURE N°9090 DU 08 FEVRIER 2021	2 400,00	1 300 000,00	0,0018	2 366,00	34,00	11 830,07
21	pause dejeuner (1 déjeuner /jour)	FACTURE D'HOTEL N° 9090 du 08 FEVRIER 2021, FACTURE N°2020-053 DU 20-02-2020FACTURE N°2020-054 DU 20 FEVRIER 2020	6 000,00	3 780 000,00	0,0018	6 879,60	-879,60	4 950,47
22	Frais de diffusion et d'impression de la feuille de route	FACTURE N°036 (100 exemplaires) DU 04 MARS 2021 ET FACTURE N°037 (400 exemplaires) DU 05 MARS 2021	5 000,00	2 750 000,00	0,0018	5 005,00	-5,00	-54,53
23	Frais de communication + reportage à visibilité (200 USD /jour/ séminaire)	FACTURE N°002-03-2020 ET N° 04-02-2021 du 03 FEVRIER 2021	1 200,00		1,0000	1 200,00	-	-1 254,53
24	Frais de consultation/ organisation d'évènement (100 USD/ jour)	FACTURE NO 05-02-2021 du 03 FEVRIER 2021	1 000,00		1,0000	1 000,00	-	-2 254,53
25						-	-	-2 254,53
26						-	-	-2 254,53
27						-	-	-2 254,53
28						-	-	-2 254,53
29						-	-	-2 254,53
30						-	-	-2 254,53
31						-	-	-2 254,53
32						-	-	-2 254,53
33						-	-	-2 254,53
34						-	-	-2 254,53
35						-	-	-2 254,53
36						-	-	-2 254,53
37						-	-	-2 254,53
38						-	-	-2 254,53
39						-	-	-2 254,53
			81 488,00	16 289 507,71		83 492,53	-2 004,53	

* Description 2 - Receipt or Invoice No. - Date: Include information on the date of the receipt received, as well as the receipt number. Also include additional information e.g. If the budget line item relates to an airfare, include the airline that issued the ticket, the departure city and arrival city etc.

**Actual spend to date: insert the actual amount spent on the budget item. If the item was paid for in local currency, insert the figure in the column headed 'Local amount', and it will automatically be calculated in USD. If the item was paid for in USD, enter the figure in the column headed 'USD'.

Signature: 

Date: 26 Octobre 2021

Date: 24/11/2021.

MR S.D. SCASLA
 HEAD: ATT SECRETARIAT.

RECONCILIATION
MALI-ATT-VTF.G2019.012MLI
2019

Description	Total budget	Actual spend (Interim Report)	Actual spend (Final Report)	Actual spend (Total)	Balance of budget available	Balance of funds received	% of over- or under-spend
	USD	USD	USD	USD	USD	USD	
Personnel costs							
Etude documentaire et préparation de l'étude de base (GRIP)	2 100,00	2 000,00	0,00	2 000,00	100,00	79 238,00	95%
Réalisation de l'étude de base auprès des autorités maliennes et experts compétents (GRIP)	13 300,00	13 000,00	0,00	13 000,00	300,00	66 238,00	98%
Préparation de deux séminaires (parlementaires et société civile, forces de sécurité) à Bamako (GRIP)	1 400,00	0,00	1 000,00	1 000,00	400,00	65 238,00	71%
Participation aux deux séminaires en tant qu'experts - 2 experts (2x5 jours) (GRIP)	7 000,00	0,00	7 000,00	7 000,00	0,00	58 238,00	100%
Conception d'un projet de feuille de route sur la mise en œuvre du TCA par le Mali (GRIP)	7 000,00	0,00	7 000,00	7 000,00	0,00	51 238,00	100%
Participation de deux experts à un séminaire de haut niveau visant à valider la feuille de route (GRIP)	5 600,00	0,00	5 600,00	5 600,00	0,00	45 638,00	100%
Finalisation de la feuille de route et validation par la CNLPAL (GRIP)	3 500,00	0,00	4 300,00	4 300,00	-800,00	41 338,00	123%
Consultant							
Honoraires consultant national	1 800,00	0,00	1 800,00	1 800,00	0,00	39 538,00	100%
Travel costs							
Billet aller-retour Bruxelles-Bamako étude de base	0,00	0,00	0,00	0,00	0,00	39 538,00	
DSA Bamako chercheur GRIP	6 250,00	0,00	6 250,00	6 250,00	0,00	33 288,00	100%
Transport aérien et visas 2 experts GRIP (étude de base et séminaires février 2020)	4 000,00	0,00	3 701,28	3 701,28	298,72	29 586,72	93%
Transports participants venant des régions pour les 2 premiers séminaires (15 participants x 2 séminaires)	600,00	0,00	2 912,00	2 912,00	-2 312,00	26 674,72	485%
logement participants des régions (15 participants x 2 séminaires)	3 000,00	0,00	3 003,00	3 003,00	-3,00	23 671,72	100%
Transports des participants de Bamako	3 000,00	0,00	1 820,00	1 820,00	1 180,00	21 851,72	61%
Operating Costs							
Imprimante	870,00	0,00	870,87	870,87	-0,87	20 980,85	100%
Supports pédagogiques (blocs-note, bics, classeurs)	870,00	0,00	870,87	870,87	-0,87	20 109,98	100%
Banderoles	348,00	0,00	348,35	348,35	-0,35	19 761,63	100%
Frais d'hotesses (3 hotesses/ jour/séminaire)	450,00	0,00	447,72	447,72	2,28	19 313,91	99%
Location salle de conférence pour les séminaires (3 x 2 jours)	4 800,00	0,00	5 117,84	5 117,84	-317,84	14 196,07	107%
Pause café pour les 3 séminaires (2 pauses/ jour pour 50 participants) (4 USD/ participant)	2 400,00	0,00	2 366,00	2 366,00	34,00	11 830,07	99%
pause déjeuner (1 déjeuner /jour)	6 000,00	0,00	6 879,60	6 879,60	-879,60	4 950,47	115%
Frais de diffusion et d'impression de la feuille de route	5 000,00	0,00	5 005,00	5 005,00	-5,00	-54,53	100%
Frais de communication + reportage à visibilité (200 USD /jour/ séminaire)	1 200,00	0,00	1 200,00	1 200,00	0,00	-1 254,53	100%
Frais de consultation/ organisation d'évènement (100 USD/ jour)	1 000,00	0,00	1 000,00	1 000,00	0,00	-2 254,53	100%
Total Direct Costs	81 488,00	15 000,00	68 492,53	83 492,53	-2 004,53		102%
Total Indirect Support Costs - 7%	5 704,16	1 050,00	4 794,48	5 844,48			102%
Total	87 192,16	16 050,00	73 287,01	89 337,01			102%

Amount of 1st installment 43 676,00
 Amount of 2nd installment 37 562,00
 Amount of 3rd installment 5 954,16
 Balance owing to VTF

Handwritten signature
 24/11/2021
 Head: ATT Secretariat

Handwritten signature
 Lieutenant Colonel Abdoulaye DIARRA
 Permanent Secretary
 et de la Protection Civile