

SWEDEN

Comments on WGETI Sub-working Group on Article 11: Draft paper on the elements of a risk assessment process

ELEMENTS OF A PROCESS FOR ASSESSING THE RISK OF DIVERSION.

Point 3

Required documentation could include end-use/r certificates (EUC) **in original**, international import certificates (IIC), import authorizations, transit/transshipment authorizations, brokering authorizations, contracts or agreements, and other documents providing information on the proposed transfer or assurances from the end user or other parties to the transfer. The possible measures to prevent and address diversion provides the recommended essential and optional details to be contained in an EUC issued by the competent authorities in the importing state. Some of these details could also be contained in an import authorization.

Point 6

- Legitimacy and reliability of the other entities involved in the proposed export (e.g. has the importing **entity** been able to provide details of the brokers, shipping agents, freight forwarders, distributors and other entities involved in the export **and are these entities authorized by the importing state?**).
- The importing state's geographic position in relation to the states under arms embargo and war- affected areas, **the state's record of respecting arms embargos** as well as importing state's defence cooperation agreements.
- **The importing state's past conduct with regards to ascertained diversion (e.g. has the importing state addressed previous cases of diversion and taken implemented mitigating measures?)**

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